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Subchromatic numbers of powers of graphs with excluded minors



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ABSTRACT

A k-subcolouring of a graph G is a function $f: V(G) \to \{0, \dots, k-1\}$ such that the set of vertices coloured *i* induce a disjoint union of cliques. The subchromatic number, $\chi_{sub}(G)$, is the minimum k such that G admits a k-subcolouring. Nešetřil, Ossona de Mendez, Pilipczuk, and Zhu (2020), recently raised the problem of finding tight upper bounds for $\chi_{sub}(G^2)$ when G is planar. We show that $\chi_{sub}(G^2) \leq 43$ when G is planar, improving their bound of 135. We give even better bounds when the planar graph G has larger girth. Moreover, we show that $\chi_{sub}(G^3) \leq 95$, improving the previous bound of 364. For these we adapt some recent techniques of Almulhim and Kierstead (2022), while also extending the decompositions of triangulated planar graphs of Van den Heuvel, Ossona de Mendez, Quiroz, Rabinovich and Siebertz (2017), to planar graphs of arbitrary girth. Note that these decompositions are the precursors of the graph product structure theorem of planar graphs. We give improved bounds for $\chi_{sub}(G^p)$ for all $p \ge 2$, whenever G has bounded treewidth, bounded simple treewidth, bounded genus, or excludes a clique or biclique as a minor. For this we introduce a family of parameters which form a gradation between the strong and the weak colouring numbers. We give upper bounds for these parameters for graphs coming from such classes.

Finally, we give a 2-approximation algorithm for the subchromatic number of graphs having a layering in which each layer has bounded cliquewidth and this layering is computable in polynomial time (like the class of all d^{th} powers of planar graphs, for fixed d). This algorithm works even if the power p and the graph G is unknown.

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³ Pankaj Kumar partially completed this work while at Charles University.

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1. Introduction

In this paper, we study a notion which allows us to "colour" dense graphs with few colours. Recall that a *k*-colouring is a function $f : V(G) \rightarrow \{0, ..., k-1\}$ such that for all $e = xy \in E(G)$, we have $f(x) \neq f(y)$. A *k*-subcolouring of a graph *G* is a function $f : V(G) \rightarrow \{0, ..., k-1\}$ such that the set of vertices coloured *i* induce a disjoint union of cliques (first defined in [2]). We let $\chi_{sub}(G)$ be the subchromatic number of *G*, that is, the minimum *k* such that *G* admits a *k*-subcolouring. Of course, if *G* is *k*-colourable, then *G* is *k*-subcolourable. However the converse is far from the truth, as cliques are 1-subcolourable, and hence subcolouring gives us a notion for colouring dense graphs with few colours.

In general, deciding if a graph is k-subcolourable is NP-complete [1]. In particular, it is NP-complete to determine if a triangle-free planar graph has subcolouring number at most 2 [14]. Even approximating the subchromatic number is difficult, for example for any $\varepsilon > 0$, the subchromatic number of *n*-vertex graphs cannot be approximated within a factor of $n^{1/2-\varepsilon}$ in polynomial time, unless NP \subseteq ZPP,⁴ see [6]. On the other hand a recent result of Nešetřil, Ossona de Mendez, Pilipczuk and Zhu [27], gives constant upper bounds for the subchromatic number of powers of graphs coming from sparse classes. To formalize this statement we need some definitions.

First recall that for a graph *G*, the *d*th power of *G* is the graph G^d with vertex set V(G) and $uv \in E(G^d)$ if there is u, v-path of length at most *d* in *G*. Note that the *d*th-power of a graph is generally very dense, even if the original graph is sparse. For example, the square of a star is a clique.

Now we introduce a gradation between the weak and strong colouring numbers of Kierstead and Yang [22], which is useful for this paper. We use the term *generalised colouring numbers* to refer to this family of parameters.

For $k, \ell \in \mathbb{N} \cup \{\infty\}$, a graph *G*, a linear ordering σ of V(G), and two vertices u, v satisfying $u \leq_{\sigma} v$, we say that u is *k*-hop ℓ -reachable from v if there exists a path $P = x_0x_1...x_s$ with $x_0 = v$, $x_s = u$ and $s \leq \ell$, such that $u <_{\sigma} x_{i-1}$ for every $i \in [s]$, and such that

$$|\{j \in [s] \mid x_i <_{\sigma} x_{i-1} \text{ for every } i \in [j]\}| \leq k.$$

The set of vertices that are *k*-hop ℓ -reachable from *v* with respect to σ is denoted by $\text{GReach}_{k,\ell}[G, \sigma, v]$. Note that in particular $v \in \text{GReach}_{k,\ell}[G, \sigma, v]$. The *k*-hop ℓ -colouring number of σ is $\text{gcol}_{k,\ell}(G, \sigma) = \max\{|\text{GReach}_{k,\ell}[G, \sigma, v]| | v \in V(G)\}$ and the *k*-hop ℓ -colouring number of *G*, denoted $\text{gcol}_{k,\ell}(G)$, is the smallest $\text{gcol}_{k,\ell}(G, \sigma)$ for σ ranging over all possible linear orderings of V(G). The parameters $\text{col}_{\ell}(G) := \text{gcol}_{\ell,\ell}(G)$ are the strong and the weak colouring numbers, respectively. Note for instance that we have

$$\operatorname{gcol}_{k,\ell}(G) \leq \operatorname{gcol}_{1,\ell_1}(G) \cdot \operatorname{gcol}_{k-1,\ell-\ell_1}(G)$$

In particular

$$\operatorname{gcol}_{k,\ell}(G) \leq \operatorname{col}_{\ell-k+1}(G) \cdot \operatorname{col}_1(G)^{k-1} \leq \operatorname{wcol}_{\ell-k+1}(G) \cdot \operatorname{wcol}_1(G)^{k-1}.$$

A class \mathscr{C} of graphs has *bounded expansion* if, for every $\ell \in \mathbb{N}$, $\sup_{G \in \mathscr{C}} \operatorname{wcol}_{\ell}(G) < \infty$ [30]. Many natural graph classes have bounded expansion; for example, planar graphs, any graph class omitting a graph H as a minor, or even more generally, any graph class omitting H as a topological minor. We refer the reader to [26] for a more detailed treatment of bounded expansion classes.

With this in hand, we can state the bound obtained in [27] for the subchromatic number of powers of graphs.

Theorem 1.1 (*Nešetřil et al.* [27]). For any graph *G*, and any fixed integer $d \in \mathbb{N}$, we have $\chi_{sub}(G^d) \leq wcol_{2d}(G)$.

In particular, if C is a class of bounded expansion, then for any fixed integer d, there exists an integer c = c(C, d) such that $\chi_{sub}(G^d) \leq c$, for any $G \in C$.

Our first result is a refinement of this upper bound as follows.

Theorem 1.2. For any graph *G*, and any fixed integer $d \in \mathbb{N}$, we have $\chi_{sub}(G^d) \leq gcol_{d,2d}(G)$. Moreover if *d* is odd, then we have $\chi_{sub}(G^d) \leq gcol_{d,2d-1}(G)$.

For the purpose of our main result, we prove a stronger version of this theorem (see Theorem 2.3). Toward this end, we follow a similar template to that used to prove Theorem 1.1 in [27], while using some ideas from the study of the chromatic numbers of exact distance graphs of Van den Heuvel, Kierstead, and Quiroz [18].

Theorem 1.2 motivates us to give bounds for the generalised colouring numbers in various minor closed classes. These results are interesting in their own right and likely have many applications. In particular, they imply tighter bounds on the subchromatic number of arbitrary powers of graphs in these classes. Our results on the generalised colouring numbers are

⁴ ZPP (zero-error probabilistic polynomial time) is the complexity class of problems for which a probabilistic Turing machine exists, which always returns the correct answer within a running time polynomial in expectation for every input.

Tabla 1

Tuble 1						
Upper bounds of	on $gcol_{k,\ell}(G)$	according to	several	constraints	on C	j.

Constraint on G	Upper bound for $gcol_{k,\ell}(G)$
treewidth at most t	$\operatorname{gcol}_{k,\ell}(G) \leq \binom{t+k}{t}$
simple treewidth at most t	$\operatorname{gcol}_{k,\ell}(G) \le (k+1)^{l-1}(\lceil \log k \rceil + 2\lfloor \ell/k \rfloor)$
genus at most g	$\operatorname{gcol}_{k,\ell}(G) \le \left(2g + \binom{k+2}{2} - 1\right)(2\ell+1) + \ell + 1$
K_t -minor free, $t \ge 4$	$\operatorname{gcol}_{k,\ell}(G) \le \left(\binom{t+k-2}{t-2} - 1\right)(t-3)(2\ell+1) + (t-3)\ell + 1$
$K_{2,t}^*$ -minor free, $t \ge 2$	$gcol_{k,\ell}(G) \le (t-1)(k(2\ell+1)+\ell)+1$
$K_{3,t}^*$ -minor free	$\operatorname{gcol}_{k,\ell}(G) \le \left(\binom{k+2}{2} - 1\right)(2t+1)(2\ell+1) + (2t+1)\ell + 1$
$K_{s,t}^*$ -minor free, $t \ge 2$	$\operatorname{gcol}_{k,\ell}(G) \le s(t-1)\binom{s+k}{s}(2\ell+1) - \ell$

summed up in Table 1. There, $K_{s,t}^*$ refers to the complete join $K_s + \overline{K_t}$, that is the graph that can be partitioned into a clique of size *s* and an independent set of size *t* in such a way that every vertex in the independent set is adjacent to every vertex in the clique.⁵ It is useful to compare these to the known bounds on the weak colouring numbers given in Table 2.

We highlight that for graphs with bounded treewidth we obtain no dependency on ℓ , and that $\chi_{sub}(G^2) \leq 6$ when *G* has treewidth 2. For graphs with bounded genus and those with excluded minors, we obtain no dependency on ℓ for the binomial coefficients of the corresponding bounds, thus these bounds have a linear dependency on ℓ which is known to be best possible even for planar graphs (see e.g. [25, Proposition A.2]).

Our main result gives even tighter bounds on the subchromatic number of squares and cubes of planar graphs. Previously, the best bound was derived from Theorem 1.1 and the following theorem due to Van den Heuvel, Ossona de Mendez, Quiroz, Rabinovich, and Siebertz [19].

Theorem 1.3 (Van den Heuvel et al. [19]). If G is planar we have $\operatorname{wcol}_d(G) \leq {\binom{d+2}{2}}(2d+1)$.

Thus, if *G* is a planar graph, then $\chi_{sub}(G^2)$ is at most 135, and $\chi_{sub}(G^3)$ is at most 364. Improving the bounds in the case of squares of planar graphs was proposed as a problem in [27]. This problem is very natural considering, for instance, the attention that (usual) colouring of squares of planar graphs has attracted (see e.g. [5,8,29] and the references therein). The main result of this paper is the following improvement of that bound. (Recall that the *girth* of a graph is infinity if the graph is acyclic, or the length of its shortest cycle otherwise.)

Theorem 1.4. For any planar graph G with girth g, we have

$$\chi_{\text{sub}}(G^2) \le \begin{cases} 43 & \text{if } g \ge 3\\ 39 & \text{if } g \ge 10\\ 15 & \text{if } g \ge 17 \end{cases}$$

In particular, we highlight that we improved the bound for subcolouring squares of planar graphs from 135 to 43. As a byproduct, we also improve the bound for cubes of planar graphs from 364 to 95.

Theorem 1.5. *If G is a planar graph, then* $\chi_{sub}(G^3) \leq 95$.

To obtain Theorem 1.4, we rely on techniques recently developed by Almulhim and Kierstead [3,4] to bound (low length) generalised colouring numbers of planar graphs. These techniques make use of and improve on the decompositions of triangulated planar graphs given in [19] to obtain Theorem 1.3. These decompositions are the precursors of the graph product structure theorem of planar graphs [10]. In order to obtain Theorem 1.4, we extend these decompositions beyond triangulated planar graphs, to planar graphs of larger girth (see Theorem 3.6).

We end the paper with algorithmic results. We consider two settings.

In a first setting, we assume that the input is a graph *G* in some class and the power *d* that we are going to take. Then, we compute an ordering σ of *G* which attains the bound we give for the generalised colouring numbers, and use the fact that Theorem 1.2 is algorithmic to give a subcolouring of the d^{th} -power of the graph *G* in polynomial time.

In a second setting, we assume that the input is a graph $H = G^d$, where *G* belongs to a (known) bounded expansion class. However, neither the underlying graphs *G* nor the integer *d* is given. In this case, it is not obvious how to find a proper subcolouring, even though we know one with a bounded number of colours exists. We manage to give a 2-approximation to this problem in some cases. We need some definitions to state the class of graphs for which the algorithm applies. Let *G* be a graph, a *layering* of *G* is a sequence of disjoint sets (L_0, L_1, \ldots, L_t) such that for all $v \in V(G)$, we have $v \in L_i$ for some $i \in \{0, \ldots, t\}$, and if $uv \in E(G)$ where $u \in L_i$ and $v \in L_j$, we have $|i - j| \le 1$. We say a class of graphs *C* has *bounded*

⁵ Such graphs are sometimes called complete split graphs.

Table 2	
Upper bounds on $wcol_k(G)$ according to several constraints on G	3

Constraint on G	Upper bound for $wcol_{\ell}(G)$
treewidth at most <i>t</i>	$\operatorname{wcol}_{\ell}(G) \leq {\binom{t+\ell}{t}} [16]$
simple treewidth at most t	$\operatorname{wcol}_{\ell}(G) \le (\ell+1)^{\ell-1}(\lceil \log \ell \rceil + 2) \lceil 21 \rceil$
genus at most g	$\operatorname{wcol}_{\ell}(G) \le \left(2g + \binom{\ell+2}{2}\right)(2\ell+1)$ [19]
K_t -minor free, $t \ge 4$	$\operatorname{wcol}_{\ell}(G) \le {\binom{t+\ell-2}{t-2}}(t-3)(2\ell+1)$ [19]
$K_{2,t}^*$ -minor free, $t \ge 2$	$\operatorname{wcol}_{\ell}(G) \le (t-1)(\ell+1)(2\ell+1)$ [20]
$K_{3,t}^*$ -minor free	$\operatorname{wcol}_{\ell}(G) \le (2t+1)\binom{\ell+2}{2}(2\ell+1)$ [20]
$K_{s,t}^*$ -minor free, $t \ge 2$	$\operatorname{wcol}_{\ell}(G) \le s(t-1)\binom{s+\ell}{s}(2\ell+1)$ [20]

layered treewidth if there exists an integer k such that for all graphs $G \in C$, there exists a tree decomposition (T, β) of G and a layering $L = (L_0, \ldots, L_t)$ of G such that each bag of (T, β) intersects a layer in at most k vertices. Similarly, we say that a graph class C has bounded layered cliquewidth if for every graph $G \in C$, there exists a layering $L = (L_0, \ldots, L_t)$ of G such that each L_i induces a graph with bounded cliquewidth. We say C has algorithmic bounded layered cliquewidth if C has bounded layered cliquewidth, and further a layering can be found in polynomial time.

Theorem 1.6. If C is a graph class with algorithmic bounded layered cliquewidth, then there exists a 2-approximation algorithm for the subchromatic number of graphs in C.

Planar graphs have bounded layered treewidth (see [10], Theorem 11) and in particular, every breadth-first search layering of a planar graph gives rise to a layering which witnesses this. In Lemma 5.1 we argue that this implies that powers of planar graphs have bounded layered cliquewidth, and such a layering can be computed in polynomial time. Thus a special case of Theorem 1.6 says we can 2-approximate the subchromatic number of powers of planar graphs, without knowledge of the underlying planar graph or the power. We prove this theorem using an algorithm that is similar to the well-known Baker's algorithm. We take a breadth-first search tree in the graph, and its layers have bounded cliquewidth. Then we compute the subchromatic number exactly on each layer, and by using the same colours on odd and respectively even layers, gives a 2-approximation. In fact, with only minor modifications, the algorithm can be used to compute (p + 1)-shrubdepth covers of strongly local transductions of bounded expansion classes that have locally bounded treewidth and such that the bounded cliquewidth layering of the transduction is computable in polynomial time, partially answering a question posed in [13], which asks whether such a shrubdepth cover can be computed in polynomial time for every first order transduction and any bounded expansion class (see [13] for definitions).

The paper is structured as follows. In Section 2 we prove Theorem 1.2 and obtain Theorem 1.5. In Section 3 we prove the decomposition theorem for arbitrary planar graphs and obtain Theorem 1.4 and our decompositions for planar graphs of arbitrary girth. We then obtain bounds for $gcol_{k,\ell}(G)$ for various minor closed classes in Section 4.3. Finally, in Section 5 we prove Theorem 1.6, and give some further remarks in Section 6.

2. Clusterings and the semi-weak colouring number

In this section we prove Theorem 1.2. For future purposes we prove a stronger version, namely Theorem 2.3, which bounds the subchromatic number of the *d*-th power of a graph *G* by its (2d - 1)-th semi-weak colouring number swcol_{2*d*-1}(*G*) (see definition below). From this stronger result, Theorem 1.5 directly follows, as swcol₅(*G*) \leq 95 is known to hold for every planar graph *G* [3, Theorem 3.1.1].

Before we prove Theorem 2.3, we need a lemma, which requires further definitions. A *clustering* \mathcal{X} of G is a partition of V(G) into disjoint sets (called *blocks*) such that each block induces a clique. Given a graph G and a clustering \mathcal{X} , the *quotient graph* G/\mathcal{X} has vertex set the blocks of \mathcal{X} , and two blocks A, B are adjacent in G/\mathcal{X} if there exists a vertex $v \in A$ and a vertex $u \in B$ such that $uv \in E(G)$.

We also need another variant of the generalised colouring numbers, and further related notation.

For some particular cases of the indices, we shall use standard notation: So, we will use the notation $\operatorname{Reach}_{\ell}(G, \sigma, y)$ to refer to $\operatorname{GReach}_{1,\ell}(G, \sigma, y)$ (or simply $\operatorname{Reach}(G, \sigma, y)$, if $\ell = 1$); we will use the notation $\operatorname{WReach}_{\ell}(G, \sigma, y)$ to refer to $\operatorname{GReach}_{\ell,\ell}(G, \sigma, y)$. Also, $\operatorname{col}_1(G)$, which is the *colouring number* of *G*, will be denoted by $\operatorname{col}(G)$, as usual.

We also define the set SemiReach_k(G, σ, y) so that $x \in \text{SemiReach}_k(G, \sigma, y)$ if there exists an y, x-path $P_x = z_0, ..., z_s$ with $z_0 = x$, $z_s = y$ and $s \le k$ such that it satisfies that x is the minimum in P_x and for every $\lfloor \frac{1}{2}k \rfloor \le i \le s$ we have $y \le_{\sigma} z_i$. In this case we say that y semi-weakly k-reaches x. Then, we define $\text{swcol}_k(G, \sigma)$ as $\text{swcol}_k(G, \sigma) := \max_{y \in V} |\text{SemiReach}_k(G, \sigma, y)|$. Finally, we define the semi-weak k-colouring number $\text{swcol}_k(G)$ as the minimum $\text{swcol}_k(G, \sigma)$ for σ ranging over all the linear orderings of V(G). Note that

$$\operatorname{swcol}_{\ell}(G) \le \operatorname{gcol}_{\lceil \frac{\ell}{2} \rceil, \ell}(G) \tag{2.1}$$

for any integer ℓ . Note also that for odd values of ℓ , $swcol_{\ell}(G)$ coincides with a parameter studied in [3,18] to bound the chromatic numbers of exact distance graphs.

Now we can state our lemma.

Lemma 2.2. Let G be a graph, σ an ordering of V(G) and $d \ge 2$ an integer. Then there exists a clustering \mathcal{X} of G^d that satisfies:

 $\operatorname{col}(G^d/\mathcal{X}) \leq \operatorname{swcol}_{2d}(G, \sigma).$

Moreover, if d is odd then we have

$$\operatorname{col}(G^{u}/\mathcal{X}) \leq \operatorname{swcol}_{2d-1}(G, \sigma).$$

Proof. For $u \in V(G)$ we define m(u) as the minimum vertex with respect to σ in $N^{\lfloor \frac{u}{2} \rfloor}[u]$.

Consider the equivalence relation \sim on V(G) given by $u \sim v$ if and only if m(u) = m(v). We define the blocks of \mathcal{X} as the equivalence classes of \sim .

Claim 1. \mathcal{X} is a clustering of G^d .

Proof of the claim. We need to prove that each block of \mathcal{X} is a clique of G^d . Let u and v belong to a same block (i.e. $u \sim v$). Then,

.

$$d_G(u,v) \le d_G(u,m(u)) + d_G(m(u),v) = d_G(u,m(u)) + d_G(m(v),v) \le \left\lfloor \frac{d}{2} \right\rfloor + \left\lfloor \frac{d}{2} \right\rfloor \le d.$$

Thus, $uv \in E(G^d)$. \triangleleft

For every $A \in V(G^d/\mathcal{X})$, we pick any vertex u in A and define m(A) = m(u). Note that, by the definition of the equivalence relation \sim , the choice of vertex $u \in A$ does not matter.

We now define in G^d/\mathcal{X} the ordering τ by $A <_{\tau} B$ if $m(A) <_{\sigma} m(B)$. Note that $m(A) \neq m(B)$ whenever $A \neq B$, so τ is indeed a linear ordering. For a set $X \subseteq V(G^d/\mathcal{X})$ we let $m(X) = \{m(A) : A \in X\}$. Indeed, for every such X, |m(X)| = |X|.

Claim 2. Let $h = \lfloor d/2 \rfloor$. Then,

 $m(\operatorname{Reach}[G^d/\mathcal{X}, \tau, A]) \subseteq \operatorname{SemiReach}_{d+2h}[G, \sigma, m(A)].$

Proof of the claim. Let $B \in \text{Reach}[G^d/\mathcal{X}, \tau, A]$. If B = A, then we have $m(B) = m(A) \in \text{SemiReach}_{d+2h}[G, \sigma, m(A)]$. Thus, we can assume $B <_{\tau} A$ and $BA \in E(G^d/\mathcal{X})$. Note that $m(B) <_{\sigma} m(A)$ because $B <_{\tau} A$. Since $BA \in E(G^d/\mathcal{X})$, there exists $b \in V(B)$, $a \in V(A)$ such that $ba \in E(G^d)$. Thus, there exists an b, a-path $Q = v_0v_1...v_q$ in G with $v_0 = b$, $v_q = a$ and $1 \le q \le d$.

By definition of m(B) there is an m(B), b-path $P = u_0 u_1 \dots u_p$ in G with $u_0 = m(B)$, $u_p = b$ and $1 \le p \le h$. In a similar way, there is an a, m(A)-path $R = w_0 w_1 \dots w_r$ with $w_0 = a$, $w_r = m(A)$ and $1 \le r \le h$.

We now prove that the m(B), m(A)-walk PQR contains a path T that witnesses that $m(B) \in \text{SemiReach}_{d+2h}[G, \sigma, m(A)]$. Since the walk has length at most d + 2h, any m(B), m(A)-path contained within it will also have a length not exceeding this bound. Moreover we can pick one such path $T = T_1T_2T_3$, where T_1 is a path in P, T_2 a path in Q and T_3 a path in R. Let $t_0...t_s$ be the sequence of vertices of T, with $t_0 = m(B)$, $t_s = m(A)$. Note that for each $i \in [h]$ we have that $m(b) = m(B) \leq_{\sigma} v_i$ and as $v_{q-i} \in N^h[a]$ we get $m(A) \leq_{\sigma} v_{q-i}$. Moreover, for every $i \in [p]$ we have $m(B) \leq_{\sigma} u_i$ and for every $i \in [r]$ as $w_{i-1} \in N^h[a]$ we have $m(a) = m(A) \leq_{\sigma} w_{i-1}$. Since $m(B) <_{\sigma} m(A)$, every vertex $t \in T$ satisfies $m(B) \leq_{\sigma} t$. As the last r + h + 1 vertices of the walk PQR belong to $N^h[a]$, only the first $p + q - h \leq d$ vertices of this walk

As the last r + h + 1 vertices of the walk PQR belong to $N^{n}[a]$, only the first $p + q - h \le d$ vertices of this walk are possibly out of $N^{h}[a]$. It follows that only the first p + q - h vertices of T can possibly be out of $N^{h}[a]$. Hence, for $d \le i \le s$ we have $t_i \in N^{h}[a]$, and thus $t_i \ge_{\sigma} m(A)$. As $\left\lceil \frac{d+2h}{2} \right\rceil = d$, we deduce that T witnesses that we have $m(B) \in$ SemiReach_{d+2h}[$G, \sigma, m(A)$].

From this claim, we get that for every $A \in \mathcal{X}$ we have

 $|\operatorname{Reach}[G^d/\mathcal{X}, \tau, A]| = |m(\operatorname{Reach}[G^d/\mathcal{X}, \tau, A])| \le |\operatorname{SemiReach}_{d+2h}[G, \sigma, m(A)]|.$ Thus, $\operatorname{col}(G^d/\mathcal{X}, \tau) \le \operatorname{swcol}_{d+2h}(G, \sigma).$ \Box

From this we can deduce our version of Theorem 1.1. Note that Theorem 1.2 follows from the following theorem and (2.1).

Theorem 2.3. For any graph *G*, and any fixed integer $d \in \mathbb{N}$, we have $\chi_{sub}(G^d) \leq swcol_{2d}(G)$. Moreover if *d* is odd, then we have $\chi_{sub}(G^d) \leq swcol_{2d-1}(G)$.

Proof. Let $\ell = 2d$ if d is even and $\ell = 2d - 1$ if odd. Let σ be an ordering of V(G) that witnesses $\operatorname{swcol}_{\ell}(G, \sigma) = \operatorname{swcol}_{\ell}(G)$. Lemma 2.2 guarantees there is a clustering such that $\operatorname{col}(G^d/\mathcal{X}) \leq \operatorname{swcol}_{\ell}(G)$. Then we have $\chi_{\operatorname{sub}}(G^d) \leq \chi(G^d/\mathcal{X}) \leq \operatorname{col}(G^d/\mathcal{X}) \leq \operatorname{swcol}_{\ell}(G)$, as desired. \Box

Proof of Theorem 1.5. Theorem 3.1.1 of [3] states that $swcol_5(G) \le 95$ for every planar graph *G*. Our result then follows from Theorem 2.3. \Box

3. Bounding the semi-weak-colouring number of planar graphs

In this section we prove Theorem 1.4. This result follows immediately from Theorem 2.3 and the following theorem, to which we dedicate this section.

Theorem 3.1. For any planar graph G, $swcol_4(G) \le 43$. Further, if we let g be the girth of G, then

$$\operatorname{swcol}_4(G) \leq \begin{cases} 39 & \text{if } g \ge 10\\ 15 & \text{if } g \ge 17 \end{cases}$$

We follow the approach in [4] to bound $wcol_2(G)$ for G planar. This approach builds on techniques developed in [19], and is also used in [3].

As notation, we will use $d_G(x, y)$ to refer to the length of the shortest path in *G* from *x* to *y*. A path *P* in a graph *G* is *isometric* if there is no shorter path in *G* between the endpoints of *P*. For a path *P*, let vPx denote the subpath in *P* from *v* to *x*. We say two vertex disjoint paths *P* and *P'* are *adjacent* if there exists a vertex $v \in V(P)$ and $v' \in V(P')$ such that $vv' \in E(G)$. When two vertex disjoint subpaths xPy and uP'v of two paths *P*, *P'* have their endpoints *y* and *u* adjacent, we denote by xPyuP'v the path from *x* to *v* obtained by concatenating them. Observe that isometric paths are induced subgraphs, and that they satisfy the following easy observation [19], of which we recall a short proof for convenience.

Lemma 3.2 (Van den Heuvel et al. [19]). Suppose H is a graph, P is an isometric path in H, $x, y \in V(P)$, and $v \in V(H)$. If $d_H(v, x) \le r$ and $d_H(v, y) \le r$, then $d_P(x, y) \le 2r$. In particular, there are at most 2r + 1 vertices with distance at most r from v in P.

Proof. Let *P* be an u - w isometric path in *H* and *xPy* be the subpath of *P* that connects *x* and *y*. We note that there is a x - y walk $W_{x,y}$ in *H* of length at most 2*r* that passes through the vertex *v* and so there is a x - y path P'_{xy} in *H* of length at most 2*r*. Suppose $d_P(x, y) > 2r$ in *P*, then we replace the subpath xPy in *P* by P'_{xy} and get an u - w walk of length smaller than the length of *P* and so there is another u - w path of length smaller than *P* in *H*. This contradicts the assumption that the path *P* is an isometric path in *H*.

Without loss of generality, let *x* and *y* be the minimum and the maximum-index vertices in *P* respectively, such that the distances d(x, v), d(v, y) are at most *r*. If there are more than 2r + 1 many vertices with distance at most *r* from *v* in *P*, then $d_P(x, y)$ is more than 2r, a contradiction. \Box

For a planar graph *G* we will create a vertex ordering σ in the following manner: we take an isometric path, put its vertices at the start of the ordering and remove it from the graph. Then, we pick a path which is isometric in the remaining graph, remove it and put it next in the ordering. We proceed inductively in this way, until no vertices are left to be ordered. This motivates the following definition from [19]. We say a *decomposition* of a graph *G* is a set $\mathcal{H} = \{H_1, \ldots, H_s\}$ for some integer $s \in \mathbb{N}$, where H_i is an induced subgraph of *G* (for $1 \le i \le s$), $V(G) = \bigcup_{i=1}^s V(H_i)$, and $V(H_i) \cap V(H_j) = \emptyset$ if $1 \le i < j \le s$. We let $\mathcal{H}_i = G - \bigcup_{i=1}^{i-1} V(H_j)$.

An isometric-path decomposition $\mathcal{P} = \{P_0, \dots, P_s\}$ is a decomposition where every subgraph P_i is an isometric path in \mathcal{P}_i . We will not be happy with just any isometric-path decomposition, but will need a particular type called "reductions". The decompositions from [19] help us bound the number of paths a vertex can reach, but to further bound swcol₄(*G*) we make a more specific choice. Following [4], we define:

Definition 3.3. A reduction of a triangulated planar graph *G* is an isometric-path decomposition $\mathcal{P} = \{P_0, \dots, P_s\}$ of *G* such that:

- (1) The isometric path P_0 consists of two vertices, and P_1 consists of a single vertex.
- (2) For all $i \in \{0, ..., s\}$, the path P_i has endpoints w_i , w'_i (possibly these are equal), and for all $k \in \{2, ..., s\}$, P_k is adjacent to exactly two paths P_h and P_j with h < j < k, where there are some v_k , $v'_k \in V(P_h)$, z_k , $z'_k \in V(P_j)$, such that $v_k z_k w_k$ and $v'_k w'_k z'_k$ both bound faces in G.
- (3) If there are two possible choices for P_k , then we choose the path which minimises the number of vertices in the interior of $v_k P_h v'_k w'_k P_k w_k$.



Fig. 1. A path P_k according to Definition 3.3.

(4) For every component *K* of \mathcal{P}_{k+1} , the boundary of the face of $G[V(P_0) \cup \cdots \cup V(P_k)]$ containing *K* is a cycle of the form $D = vP_hv'z'P_jzv$ for some $h < j \le k$. (See Fig. 1 for an illustration of the definiton.)

In [19] it is shown that every triangulated planar graph has a reduction (although the choice in point (3) was not included in that paper, and was first considered in [3,4]).

Lemma 3.4 (Van den Heuvel et al. [19]). Every triangulated planar graph has a reduction.

We also need to introduce reductions for planar graphs of larger girth. We cannot prove as strong of a result, but we are able to keep the essential property.

Definition 3.5. A *neat reduction* of a planar graph *G* is an isometric-path decomposition $\mathcal{P} = \{P_0, ..., P_t\}$ of *G* satisfying that for $i \ge 1$ each path P_i is adjacent to at least one and at most two paths P_j where j < i.

Theorem 3.6. If *G* is a connected planar graph with girth $g \ge 3$, then *G* contains a neat reduction.

Proof. Let *G* be a planar graph with girth exactly *g*. We build our isometric path decomposition iteratively. We will maintain the following stronger property: If we have obtained paths P_0, \ldots, P_ℓ , $\ell \ge 1$, of our decomposition, and *K* is a component of $\mathcal{P}_{\ell+1}$, then there is at least one and at most two of these paths that contain vertices that are adjacent, in *G*, to vertices in (the outerface of) *K*. Let *C* be the boundary of the outerface of *G*.

Let *x*, *y* be any pair of adjacent vertices in *V*(*C*) and let $P_0 = x$, *y*. Let *x'* be a vertex adjacent to *x* in *C* and if it exists, let *y'* be a vertex adjacent to *x'* in *C*, and otherwise have y' = x'. Then let $P_1 = x'$, *y'*.

Now suppose we have found paths P_0, \ldots, P_i , $i \ge 1$, such that for all $\ell \in \{1, \ldots, i\}$, we have that P_ℓ is isometric in \mathcal{P}_ℓ , and is adjacent to at most two paths P_q where $q < \ell$. Let K be a component of \mathcal{P}_{i+1} (if no such component exists, we are done). By our assumption we know that the facial boundary of K is adjacent to at most two paths in $\{P_0, \ldots, P_i\}$. We consider cases.

First suppose that *K* is adjacent to two distinct paths, P_h and P_j . We first consider the case where P_h is incident to at least two vertices of *K*. Let *x*, *y* be two vertices in P_h that are incident to vertices in *K* where $d_{P_h}(x, y)$ is maximized (note, it is possible that x = y). Let x', y' be vertices in *K* that are incident to *x*, *y* respectively, and let *P* be any isometric path between x' and y' in *K*, and further pick x', y' such that the interior of $xP_hyy'Px'$ is maximized. We claim that letting $P_{i+1} = P$ maintains the desired property. Note that *P* is isometric in \mathcal{P}_{i+1} by construction and that it is adjacent at least to P_h . Further note that any component in \mathcal{P}_{i+1} that was not adjacent to both P_h or P_j is still adjacent to at most two paths in $\{P_0, \ldots, P_{i+1}\}$. If a component K' in \mathcal{P}_{i+1} was adjacent to both P_h and P_j , then K' is distinct from *K* and K' is still only adjacent to P_h or P_j (if K' had an edge to *P*, this would imply K' = K). Lastly, if K' is a new component created by the deletion of *P*, if K' lies in the interior of $xP_hyy'Px'$, then it is adjacent to at most *P* and P_h . If K' lies on the exterior, it is adjacent to at most P_j and *P*, as if such a component was adjacent to P_h , it would contradict that we picked x' and y' such that the interior of $xP_hyy'Px'$ is maximized or that x, y were picked so as to maximise $d_{P_h}(x, y)$.

Therefore by symmetry we may assume that P_h is adjacent to exactly one vertex of K, and P_j is adjacent to exactly one vertex of K. Let x be the vertex in K incident to a vertex in P_h , and y be the vertex in K incident to a vertex in P_j . Note x may equal y. Let P be any isometric path in K from x to y. Let $P_{i+1} = P$. In this case, again the condition holds as any new component created is adjacent to at least P, and any previous component is adjacent to the same paths as before.



Fig. 2. A possible set of paths P_g , P_h , P_k , P_j , P_i , P_f for a triangulated graph (some edges omitted) and a vertex v. In this picture, we have $|W_g| = |W_h| = 9$, $|W_k| = 5$, $|W_j| = 8$, $|W_i| = 8$, and $|W_f| = 0$. Edges in red are part of a path that shows some vertex u is 4-semi-weakly reachable from v (in some cases, more than one such path exists).

Therefore we may assume that *K* is adjacent to at most one path P_h . Suppose first that P_h is adjacent to two vertices *x*, *y* in *K*. Let *P* be any isometric path between *x* and *y*. Let $P_{i+1} = P$. Observing that any new component is adjacent to at most *P* and P_h gives us the desired properties. Finally, if P_h is adjacent to exactly one vertex *x* in *K*, then pick any vertex $y \in V(K)$ (possibly x = y) and let *P* be an isometric (*x*, *y*)-path in *K*. Then setting $P_{i+1} = P$, using the same reasoning as in the previous cases, the desired condition is satisfied, completing the proof. \Box

Observe that the proof of Theorem 3.6 implies that we can find a neat reduction where P_0 contains only two vertices. With these new reductions we are ready to prove Theorem 3.1.

Proof of Theorem 3.1. Let *G* be any planar graph with girth *r* for some integer $r \ge 3$. We may assume that *G* is connected. If r < 10, then as adding edges to *G* cannot decrease $swcol_4(G)$, we may assume *G* is a triangulation, and thus by Lemma 3.4, *G* has a reduction $\mathcal{P} = \{P_0, \ldots, P_s\}$. Otherwise, we take $\mathcal{P} = \{P_0, \ldots, P_s\}$ to be a neat reduction of *G* guaranteed by Theorem 3.6, and by the above discussion, we may assume that $|V(P_0)| = 2$.

Following the notation of [4], if for $i \ge 2$, P_i is adjacent to P_h and P_j for h < j < i, then we say P_h and P_j are the *bosses* of P_i , and in particular, P_h is the *manager* of P_i , and P_j is the *foreman* of P_i . If P_i is adjacent to only one path P_h , then we say that P_h is a boss and manager of P_i .

We construct an ordering σ on V(G) by first saying that if $v \in V(P_i)$ and $v' \in V(P_j)$, where i < j, then $v <_{\sigma} v'$. Further if $P_i = w^0 w^1 \dots w^n$ we let $w^j <_{\sigma} w^k$ if j < k.

To prove Theorem 3.1, it suffices to show that $swcol_4(G, \sigma)$ is at most 43 (or smaller if the girth of *G* is larger than 9). Fix some path $P_k \in \mathcal{P}$, and let $v \in V(P_k)$. We will prove that $|\text{SemiReach}_4(G, \sigma, v)|$ satisfies the desired bound. If $P_k = P_0$, then as $|V(P_0)| = 2$, there is at most one vertex *x* with $x <_{\sigma} v$, and thus $|\text{SemiReach}_4(G, \sigma, v)| \le 2$. Now suppose $P_k = P_1$. In the case of a reduction as $|V(P_1)| = 1$, we have that there are at most two vertices *x* such that $x <_{\sigma} v$, so $|\text{SemiReach}_4(G, \sigma, v)| \le 3$. In the case of a neat reduction, we have $|V(P_1)| = 2$, and so $|\text{SemiReach}_4(G, \sigma, v)| \le 4$ follows. Therefore we assume that $k \ge 2$. Let P_j and P_h be the bosses of P_k ($P_j = P_h$ is possible when the girth is large, for in this case we only have a neat reduction). If P_k does have two bosses, without loss of generality, we say that P_h is the manager of P_k , and P_j is the foreman. Thus, in this case and if *G* is triangulated, by Property (2) of Definition 3.3 P_h is a boss of P_j . Let P_i be the other boss of P_j in the triangulated case, in the larger girth case, let P_q be a boss of P_j , and let P_g and P_f be the two bosses of P_h . Note these paths need not all be distinct. In particular, it is possible that $P_f = P_i$, or $P_g = P_i$. For $a \in \{k, h, j, f, g, i, q\}$, we let $W_a = V(P_a) \cap \text{SemiReach}_4(G, \sigma, v)$. We encourage the reader to use Fig. 2 as a guide for the following claims.

Claim 3. We have that SemiReach₄(G, σ, ν) $\subseteq W_i \cup W_k \cup W_h \cup W_j \cup W_f \cup W_g \cup W_q$.

Proof of the claim. Suppose that $u \in \text{SemiReach}_4(G, \sigma, v)$ and let Q be a (v, u)-path which witness this. First suppose that in Q, the only vertex x such that $x \leq_{\sigma} v$, is u. As P_h and P_j are the bosses of P_k , it follows that $u \in W_k \cup W_h \cup W_j$. Thus

we only need to consider the case where there are two vertices $x_1, x_2 \in V(Q)$ that are smaller than v under σ . In this case, by the same reasoning as above, we may assume that $x_1 \in V(P_h) \cup V(P_j) \cup V(P_k)$ and that $u = x_2$. Thus if u does not lie in one of P_h , P_j , or P_k , it lies in a boss of P_h , P_k or P_j . These are precisely P_g , P_f , P_q and P_i by definition, and thus the claim follows. \triangleleft

For the rest of the proof, we bound the sizes of the sets W_k , W_h , W_j , W_f , W_g , W_q and W_i .

Claim 4. For any $a \in \{k, h, j, f, g, i, q\}$, we have $|W_a| \le 9$

Proof of the claim. Note P_a is isometric in \mathcal{P}_a , and as $v \in V(\mathcal{P}_a)$ by applying Lemma 3.2 with r = 4, we get that $|W_a| \le 9$. \triangleleft

Claim 5. We have that $|W_k| \leq 5$. Further if the girth of *G* is at least 8, then $|W_k| \leq 4$, and if the girth of *G* is at least 9, then $|W_k| \leq 3$.

Proof of the claim. Let $P_k = w^0 w^1 \dots w^n$ where $v = w^{\ell}$. Our definition of σ implies that v can reach itself, as well as possibly $w^{\ell-1}$, $w^{\ell-2}$, $w^{\ell-3}$ and $w^{\ell-4}$ (if they exist). These are the only such vertices v can reach in P_k by paths of length at most 4 in P_k , as P_k is isometric in \mathcal{P}_k , and $v < w^q$ for all $q > \ell$ in P_k . If G has girth at least 8, then any path witnessing that v semi-weakly 4-reaches $w^{\ell-3}$ creates a cycle of length at most 7, implying that $|W_k| \le 4$. Similarly, if G has girth at least 9, then any path witnessing that v semi-weakly 4-reaches $w^{\ell-4}$ or $w^{\ell-3}$ creates a cycle of length at most 8, implying that $|W_k| \le 3$.

Claim 6. *If G is triangulated and there exists a pair a*, $b \in \{k, h, j, f, g, i\}$, such that $a \neq b$, but $P_a = P_b$, then $|\text{SemiReach}_4(G, \sigma, v_k)| \le 41$.

Proof of the claim. If *G* is triangulated we have SemiReach₄(*G*, σ , v) \subseteq $W_i \cup W_k \cup W_h \cup W_j \cup W_f \cup W_g$ and if we also have $P_a = P_b$ and $a \neq b$ for some pair $a, b \in \{k, h, j, f, g, i\}$, then the set $\{P_k, P_h, P_j, P_f, P_g, P_i\}$ has at most five elements. Thus it follows from Claim 3, Lemma 3.2 and Claim 5 that $|\text{SemiReach}_4(G, \sigma, v)| \leq 4 \times 9 + 5 = 41$.

If *G* is triangulated our goal is to show that $|\text{SemiReach}_4(G, \sigma, \nu)| \le 43$, and by Claim 6 we may assume that for all $a, b \in \{k, h, j, f, g, i\}$, we have that $P_a = P_b$ if and only if a = b.

Claim 7. If G is triangulated we have that P_h is a boss of P_i , and thus P_h is the manager of P_j .

Proof of the claim. Recall that P_h and P_i are the bosses of P_j and that by property (2) of Definition 3.3 these two paths are adjacent. So if P_h is not a boss of P_i , then P_i is a boss of P_h . This implies that either P_f or P_g is P_i , contradicting our assumption. \triangleleft

Claim 8. We have that $|W_j| \le 8$. Further for $a \in \{h, j, f, g, i, q\}$, if the girth of *G* is at least 10, then $|W_a| \le 6$. If the girth of *G* is at least 17, then $|W_a| \le 2$.

Proof of the claim. We first start by showing $|W_j| \le 8$. By Lemma 3.2, it follows that $|W_j| \le 9$. For a contradiction we assume that *G* is a triangulation and $|W_j| = 9$. Observe that for a single path *Q* starting at *v* there can be at most 2 vertices of W_j in the path, as otherwise we contradict the definition of being in SemiReach₄(*G*, σ , *v*). Thus if $|W_j| \ge 4$, then there are two distinct paths Q_1 and Q_2 starting at *v* which certify vertices are in W_j . Suppose Q_1 and Q_2 have endpoints x_1 and x_2 respectively, and that we pick Q_1 and Q_2 such that the distance between x_1 and x_2 is maximized on P_j . As we assume that $|W_j| = 9$, we have that $d_{P_j}(x_1, x_2) = 8$, while by definition we have that $|E(Q_1)| \le 4$ and $|E(Q_2)| \le 4$. Further Q_1 and Q_2 are disjoint from P_j except exactly at x_1 and x_2 and perhaps at the neighbour of x_1 in P_j that is between x_1 and x_2 . Then (using notation from Definition 3.3), the path $w_j P_j x_1 Q_1 v Q_2 x_2 P_j w'_j$ is an isometric path in \mathcal{P}_j with the same length and endpoints as P_j , and further reduces the number of vertices in the interior of the cycle formed with P_h . Since by Claim 7 P_h is the manager of P_j this contradicts property (3) of Definition 3.3. Therefore, we have $|W_j| \le 8$.

Now suppose that the girth of *G* is at least 10, and for contradiction we have that $|W_j| \ge 7$. By Lemma 3.2 the maximum distance between two vertices in W_j is 8. Observe that if a vertex $x \in W_j$ is certified by a path *Q* of length at most 4 where *x* is the only vertex in $V(P_j) \cap V(Q)$, then the neighbours of *x* in P_j are not in W_j , as this would create a cycle of length at most 9. Therefore if all vertices in W_j can be certified by paths of length at most 4 where the endpoint is the only vertex in P_j , we have that $|W_j| \le 5$, as desired. Thus there must be at least one vertex $x \in W_j$ certified by a path *Q* which has at most 3 vertices not in P_j . We may assume that *x* is an endpoint of *Q*, and *Q* contains another vertex $y \in W_j$ (possibly by changing our choice of *x* if needed). Note that *y* must be a neighbour of *x* since otherwise either we contradict the definition of SemiReach₄(G, σ, v), or we contract property (3). If it exists, let x_1 be the neighbour of *x* in P_j , it follows that x_1

and x_2 are not in W_j , as otherwise we create a cycle of length at most 9. As the maximum distance between two vertices in W_j is 8, and given that if $x \in W_j$, and $y \in W_j$, where $xy \in E(G)$, then up to changing x for y, we have $x_1, x_2 \notin W_j$ where $xx_1 \in E(G)$, and $x_1x_2 \in E(G)$, it now follows that $|W_i| \le 5$.

If the girth of *G* is at least 17, then $|W_j| \ge 4$, there are at least two paths Q_1 and Q_2 which certify vertices in W_j . But as the maximum distance between two vertices in W_j on P_j is 8, and $e(Q_i) \le 4$ for $i \in \{1, 2\}$, we have that these paths plus P_j create a cycle of length at most 16, a contradiction. Thus there is at most one such path, and such a path can certify at most 2 vertices, implying that $|W_i| \le 2$.

Similar arguments work for the other $a \in \{h, j, f, g, i, q\}$.

For the large girth cases, we already have our desired bound. If the girth of *G* is at least 10, then SemiReach(G, σ , ν) \leq 3 + 6 × 6 = 39, as desired. Finally, if the girth of *G* is at least 17, then SemiReach(G, σ , ν) \leq 2 × 6 + 3 = 15. Therefore for the rest of the section, we assume *G* is triangulated.

Claim 9. We have that $|W_i| \leq 8$.

Proof of the claim. As P_h is the manager of P_j , if a path witnesses that v semi-weakly 4-reaches a vertex in P_i , then this path must intersect P_j . Let Q_1 and Q_2 be two paths starting at v and ending at $x_1, x_2 \in E(P_i)$ that witness that v semi-weakly 4-reaches x_1 and x_2 respectively, and such that both Q_1 and Q_2 intersect P_j at vertices y_1, y_2 respectively. By definition of Q_1 and Q_2 , and as $y_1, y_2 \leq_{\sigma} v$, we have that $y_1x_1 \in E(G)$, and $y_2x_2 \in E(G)$. Thus $d_{P_i}(x_1, x_2) \leq d_{P_j}(y_1, y_2) + 2$, as otherwise we contradict that P_i is isometric in \mathcal{P}_i because the path from y_1 to y_2 in P_j together with the edges y_1x_1 and y_2x_2 , would form a shorter path. As the paths from v to y_1 and y_2 have length at most 3, this implies that $d_{P_j}(y_1, y_2) \leq 5$, as otherwise either we contradict that P_j is an isometric path, or it contradicts property (3) in the definition of a reduction. Thus $d_{P_i}(x_1, x_2) \leq 7$, and thus there are at most 8 vertices that v can reach in P_i through vertices of P_j .

Claim 10. *Either* $|W_g| \le 6$ or $|W_f| \le 6$. *Further, if both* $|W_g| \ge 4$ *and* $|W_f| \ge 4$, *then* $|W_h| \le 7$. *If both* $|W_g| \ge 3$ *and* $|W_f| \ge 3$, *then* $|W_h| \le 8$. *If* $|W_h| = 9$, *then either* $|W_f| = 0$ or $|W_g| = 0$.

Proof of the claim. As all of P_g , P_h , P_k , P_j , P_i and P_f are distinct, and by property (2) of isometric path decompositions, for one of P_g or P_f the vertices in this path can only be semi-weakly 4-reached by v via the vertices v_k and v'_k of P_h (here we are again using notation from Definition 3.3). Without loss of generality, suppose that is so for P_f . Then each of v_k and v'_k are adjacent to a most 3 vertices in P_f by Lemma 3.2. This implies that $|W_f| \le 6$, as desired. Further, if $|W_f| \ge 4$, we have a path from v to v_k with length at most 3, and one such path from v to v'_k . This implies that the distance from v_k to v'_k in P_h is at most 6, as otherwise we contradict that P_h is isometric in \mathcal{P}_h , and thus by Lemma 3.2 it follows that $|W_h| \le 7$. Similarly, if $|W_f| \le 3$, then without loss of generality, there is a path of length at most three from v to v_k . Let $w \in W_h$ be such that $d_{P_h}(w, v_k)$ is maximised. The distance from v_k to w is at most 7, and thus by Lemma 3.2 we have $|W_h| \le 8$. Finally, if $|W_h| = 9$, then there is no path of length at most 3 from v to v_k or v'_k , as otherwise we contradict that P_h is isometric in \mathcal{P}_h . Therefore we have $|W_f| = 0$ or $|W_g| = 0$ in this case.

To finish the proof, we have to consider cases according to Claim 10. If $|W_h| = 9$, then without loss of generality we have $|W_f| = 0$, and thus $|\text{SemiReach}_4(G, \sigma, v_k)| \le |W_k| + |W_j| + |W_i| + |W_h| + |W_g| + |W_f| \le 5 + 8 \times 2 + 9 \times 2 = 39$. So we may assume that $|W_h| \le 8$. If $|W_h| = 8$, then without loss of generality we may assume that $|W_f| = 3$, and in this case $|\text{SemiReach}_4(G, \sigma, v_k)| \le |W_k| + |W_j| + |W_h| + |W_g| + |W_f| \le 5 + 8 \times 3 + 9 + 3 = 41$. Therefore we may assume that $|W_h| \le 7$. In this case we can assume without loss of generality we have $|W_f| \le 6$. Therefore $|\text{SemiReach}_4(G, \sigma, v_k)| \le |W_k| + |W_g| + |W_f| \le 5 + 8 \times 2 + 7 + 9 + 6 = 43$. \Box

4. Improved bounds for powers of graphs with excluded minors

Together with the bounds on the weak colouring numbers obtained in [16,19–21], Theorem 1.1 gives explicit upper bounds on $\chi_{sub}(G^p)$ when *G* has bounded treewidth, bounded simple treewidth, bounded genus or excludes some minor. In this section we obtain upper bounds for $gcol_{k,\ell}(G)$ when *G* has bounded treewidth, bounded simple treewidth, bounded genus, or excludes some complete minor or some $K_{s,t}^*$ as a minor, where $K_{s,t}^*$ is the complete join of K_s and \bar{K}_t . By Theorem 1.2, we obtain improved upper bounds for $\chi_{sub}(G^p)$ for all such *G*.

Theorem 4.1. Let k, ℓ, t, g be positive integers with $k \le \ell$. For every graph *G* we have the upper bounds on $gcol_{k,\ell}(G)$ displayed in Table 1, depending on the constraints on *G*.

We dedicate the rest of the section to prove this result.

4.1. Bounded treewidth

A graph is a *k*-tree if it is either a clique of order k + 1 or can be obtained from a smaller *k*-tree by adding a vertex and making it adjacent to *k* pairwise-adjacent vertices. The *treewidth*, tw(*G*), of a graph *G* is the smallest *k* such that *G* is a subgraph of a *k*-tree.

For a *k*-tree *G*, we say that an ordering *L* of V(G) is a *simplicial ordering* if it is obtained in the following way. Fix a way of constructing *G* from a (k + 1)-clique K_0 and let the vertices of K_0 be the smallest in the ordering. Then for $v \notin K_0$ let $u <_L v$ if *u* was added to the *k*-tree before *v*.

Lemma 4.2. Let k be a positive integer, G a k-tree, L a simplicial ordering of V(G). For every $v \in V(G)$ we have $\text{Reach}[G, L, v] = \text{Reach}_{\infty}[G, L, v]$

Proof. If *v* is one of the smallest k + 1 vertices in *L* then every vertex $u <_L v$ satisfies $u \in \operatorname{Reach}[G, L, v]$, as desired. Otherwise, consider the component C_v of $(G \setminus \operatorname{Reach}[G, L, v]) \cup \{v\}$ which contains *v* and note that $v \leq_L w$ for every $w \in C_v$. If *x* belongs to $\operatorname{Reach}_{\infty}[G, L, v]$ then every path that witnesses this has all its internal vertices in C_v . But if such a path exists then we must have $x \in \operatorname{Reach}[G, L, v]$ and the results follows. \Box

The following can be deduced from Theorem 4.2 of [16].

Lemma 4.3. Let G = (V, E) be a graph and L a linear ordering of V with $t + 1 \ge \max_{y \in V} |\operatorname{Reach}_{\infty}[G, L, y]|$. For every positive integer k and every $y \in V$ we have

$$|\operatorname{WReach}_k[G,L,y]| \leq {t+k \choose t}.$$

In order to use this result for all generalised colouring numbers, and not just the weak colouring numbers, the following will be key.

Lemma 4.4. Let k, ℓ be positive integers with $k \leq \ell$, G a k-tree, L a simplicial ordering of V(G). For every $v \in V(G)$ we have

WReach_k[G, L,
$$v$$
] = GReach_{k,\ell}[G, L, v].

Proof. By definition we have WReach_k[G, L, v] \subseteq GReach_{k, ℓ}[G, L, v] so let us see that the other inclusion holds. Consider $u \in$ GReach_{k, ℓ}[G, L, v] \ {v}. By definition, there exists an u, v-path $P = w_0...w_s$ with $w_0 = v, w_s = u, s \le \ell$, for every $i \in [s]$ $u <_L w_{i-1}$ and the set $I = \{j \in [s] : w_j \le_L w_{i-1}$ for every $i \in [j]\}$ satisfies that $|I| \le k$.

Note that *I* is nonempty because we have $w_s = u \in I$. Sort the elements of *I* in increasing order, that is let $I = \{j_1, ..., j_m\}$ where $j_i \leq j_{i+1}$ for every $i \in [m-1]$. By definition of *I* we have that $w_{j_{i+1}} <_L w_{j_i}$ for every $i \in [m-1]$ and $w_{j_m} = u$.

Let us see that $T = vw_{j_1}...w_{j_{m-1}}u$ is an u, v-path that witnesses that $u \in WReach_k[G, L, v]$. The subpath $v...w_{j_1}$ of P witnesses that $w_{j_1} \in Reach_{\infty}[G, L, v]$ which by Lemma 4.2 implies $w_{j_1} \in Reach[G, L, v]$. Similarly, for every $i \in [m-1]$ we have that $w_{j_1}w_{j_{i+1}} \in E(G)$, because some subpath of P witnesses that $w_{i+1} \in Reach_{\infty}[G, L, w_i] = Reach[G, L, w_i]$. Since u is minimum in T with respect to L, the result follows. \Box

We now obtain our upper bounds for graphs with bounded treewidth. Since $gcol_{k,\ell}(G)$ cannot decrease if we add edges, we may assume that *G* is a *k*-tree, and let *L* be a simplicial ordering of *G*. Given that *G* has treewidth at most *t*, the ordering *L* satisfies that $t + 1 \ge |\operatorname{Reach}[G, L, v]|$ which by Lemma 4.2 implies $t + 1 \ge |\operatorname{Reach}_{\infty}[G, L, v]|$. Moreover, by Lemma 4.4 for every vertex *v* and for every *k*, we have WReach_k[*G*, *L*, *v*] = GReach_{k,\ell}[*G*, *L*, *v*], and the result follows from Lemma 4.3.

4.2. Bounded simple treewidth

Suppose we build a k-tree with the restriction that when adding a new vertex, the clique to which we make it adjacent cannot have been used when adding some other vertex. In such a case, we say that the k-tree is a *simple* k-tree. The *simple treewidth*, stw(G), of a graph G is the smallest k such that G is a subgraph of a simple k-tree. It is not hard to see that we have

$$\mathsf{tw}(G) \le \mathsf{stw}(G) \le \mathsf{tw}(G) + 1.$$

The main ingredient for proving the bound for graphs with bounded simple treewidth is the following lemma for which we need the well-known fact (see [21], for example) that for every *n*-vertex path P_n we have

$$\operatorname{wcol}_{\infty}(P_n) = \lceil \log_2(n+1) \rceil.$$

$$(4.5)$$

Lemma 4.6. Let k, ℓ be positive integers with $k \leq \ell$. For every path P we have $gcol_{k,\ell}(P) \leq \lceil \log k \rceil + 2\lfloor \ell/k \rfloor$.

Proof. The proof builds on that of Theorem [21, Theorem 1]. We enumerate the vertices of P as $v_1, v_2, ..., v_n$ by going from one end of P to the other. If k = 1 we take an ordering L that follows this enumeration and notice that for every $v \in V(P)$ we have $GReach_{k,\ell}[G, L, v] \le 2 \le 2\lfloor \ell/k \rfloor$. So we can assume we have $k \ge 2$, and we let $V_0 = \{v_i \in V(P) \mid i = 0 \pmod{k}\}$. We define an ordering L on V(P) such that $x <_L y$ whenever $x \in V_0$ and $y \notin V_0$, and such that for every component P' of $P - V_0$ we have $wcol_{\infty}(P', L) \le \lceil \log(k) \rceil$ (we can do this because by (4.5) each such component has at most k - 1 vertices).

Note that for any $v \in V(P)$ the number of vertices of $V_0 \setminus \{v\}$ that are at distance at most ℓ from v is at most $2\lceil \ell/k \rceil$. If $v \in V_0$, then by construction we have $\text{GReach}_{k,\ell}[G, L, v] \subseteq V_0$, and so $|\text{GReach}_{k,\ell}[G, L, v]| \leq 2\lceil \ell/k \rceil + 1$. Otherwise, if $v \notin V_0$ the construction gives us $\text{GReach}_{k,\ell}[G, L, v] \subseteq V_0 \cup P_v$, where P_v is the component of $P - V_0$ containing v. If L_v is the restriction of L to P_v then we have $|\text{GReach}_{k,\ell}[P_v, L_v, v]| \leq \text{wcol}_{\infty}(P_v, L_v) \leq \lceil \log(k) \rceil$, and we obtain $|\text{GReach}_{k,\ell}[G, L, v]| \leq \lceil \log(k) \rceil + 2\lceil \ell/k \rceil$, as desired. \Box

The bounds for graphs with bounded simple treewidth follow from this lemma, using straightforward modification of the layering arguments used in the proof of Theorem 2 in [21]

4.3. Bounded genus and excluded minors

In this section we obtain bounds for the generalised colouring numbers in graphs with certain excluded minors. Our bounds generalise those known for the weak colouring numbers [19,20], including small improvements mentioned in [23]. We need some definitions and lemmas which will allow us to use know decompositions to obtain our bounds.

Let $\mathcal{H} = \{H_1, ..., H_s\}$ be a decomposition of a graph *G* (as defined in the previous section). We say \mathcal{H} is *connected* if every H_i is connected. Let *C* be a component of $G[H_{\geq i+1}]$ with $i \in \{1, ..., s-1\}$. The *i*-separating number of *C*, $s_i(C)$, is the number *s* of graphs in $\{H_1, ..., H_i\}$ such that they are connected to *C*. Let $w_i(\mathcal{H})$ be the maximum $s_i(C)$ over all components *C* of $G[H_{\geq i}]$. The width of \mathcal{H} is defined as $\max_{1 \leq i \leq s-1} w_i(\mathcal{H})$.

A spanning tree *T* of *G* rooted at a vertex *r* is a *BFS spanning tree* if $d_G(v, r) = d_T(v, r)$ for every vertex in *v*. A *BFS subtree* is a subtree of a BFS spanning tree that includes the root. A *leaf* in a rooted tree is a non-root vertex of degree 1. We will be interested in decompositions where each H_i is induced by a BFS subtree of $G[H_{\geq i}]$ with a bounded number of leaves. Since every such subtree is the union of a bounded number of isometric paths, Lemma 3.2 allows us to bound the number of vertices of H_i that can be reached from some other fixed vertex.

The following theorem is proved in [19].

Lemma 4.7 (Van den Heuvel et al. [19]). Let G be a graph and let $\mathcal{H} = \{H_1, ..., H_s\}$ be a connected decomposition of G of width at most t. Let H be the graph obtained by contracting each subgraph H_i to a single vertex. Then H has treewidth at most t.

Using this and our bounds for graphs with bounded treewidth, we now prove a lemma that will allow us to use known decompositions of graphs with excluded minors to obtain bounds on the generalised colouring numbers of these graphs.

Lemma 4.8. Let k, ℓ, p, t be positive integers with $k \le \ell$. Let G be a graph that admits a connected decomposition $\mathcal{H} = \{H_1, ..., H_s\}$ of width t in which for every $1 \le i \le s$ H_i is induced by a BFS subtree with at most p leaves in $G - (V(H_1) \cup \cdots \cup V(H_{i-1}))$. Then we have

$$\operatorname{gcol}_{k,\ell}(G) \le p\left(\binom{t+k}{t}-1\right)(2\ell+1)+p\ell+1.$$

Proof. The proof is similar to that of [19, Lemma 3.5]. Let *H* be the graph obtained by contracting the subgraphs H_i in *G*. We identify the vertices of *H* with the subgraphs H_i . Since \mathcal{H} is connected we have by Lemma 4.7 that *H* has treewidth at most *t*. By our bounds for graphs with bounded treewidth we have $gcol_{k,\ell}(H) \leq {t+k \choose t}$, so there exists a linear ordering *L* on V(H) such that for every $H_i \in V(H)$ | GReach_{k,\ell}[H, L, H_i]| $\leq {t+k \choose t}$.

From *L* we define an ordering *L'* on *V*(*G*). For $u \in H_i$ and $v \in H_j$, with $i \neq j$, we let $u <_{L'} v$ if $H_i <_L H_j$. And for every $1 \leq i \leq s$ we order the vertices of H_i in such a way that $u <_{L'} v$ if $d_{H_i}(r_i, u) > d_{H_i}(r_i, v)$, where r_i is the root of the BFS subtree that induces H_i .

Note that every vertex $v \in H_i$ satisfies

$$GReach_{k,\ell}[G, L', v] \subseteq N^{\ell}[v] \cap \{V(H_j) | H_j \in GReach_{k,\ell}[H, L, H_i]\}$$

Hence, we have that there are at most $\binom{t+k}{t}$ subgraphs among $H_1, ..., H_s$ in G that contain vertices from $\text{GReach}_{k,\ell}[G, L', v]$. Since each such subgraph H_i is the union of at most p isometric paths, by Lemma 3.2, we get that $|N^{\ell}[v] \cap V(H_i)| \le p(2\ell+1)$. Moreover, if H_i contains v then it is not hard to see that, by construction of L', $|\text{GReach}_{k,\ell}[G, L', v] \cap H_i| \le p\ell + 1$. The result follows. \Box Now we are ready to reap the remaining results of this section. We start with graphs with bounded genus. The proof of this bound is similar to that of [19, Theorem 1.6]. Since the isometric path decompositions guaranteed by Lemma 3.4 are of width 2, and since the generalised colouring numbers cannot decrease if we add edges, Lemma 4.8 gives us the bound when the genus is g = 0. (Note that every subgraph of an isometric-path decomposition has two leaves, so we would be first inclined to use p = 2 in Lemma 4.8. But in the proof of Lemma 4.8 the relevant thing is that every subgraph of the decomposition is the union of at most p isometric paths. Thus for isometric paths decompositions we can use p = 1.) Now suppose G is a graph with genus $g \ge 1$. Such a graph contains an non-separating cycle C that consists of two isometric paths and such that G - C has genus at most g - 1 [24, page 111]. We take the vertices of one such a cycle and start constructing a linear L ordering of V(G) by placing these vertices first. If after removing this cycle, the graph obtained has positive genus, we take a cycle of this type, remove it and put its vertices next in the ordering. We proceed like this inductively until we arrive at a planar graph G'. We then order the vertices of G' in a way that it satisfies the bound for g = 0. The bound on $gcol_{k,\ell}(G)$ now follows easily from Lemma 3.2.

The following is proved in [19] and together with Lemma 4.8 directly implies the bounds for graph excluding K_t as a minor.

Lemma 4.9 (Van den Heuvel et al. [19]). Every K_t -minor free graph G has a connected partition H_1, \ldots, H_s with width at most t - 2, where each H_i is induced by a BFS subtree of $G - (V(H_1) \cup \cdots \cup V(H_{i-1}))$ with at most t - 3 leaves.

The following lemmas are proved in [20] and together with Lemma 4.8 (or, in the last case, the arguments from the proof of this lemma) imply the bounds for graphs excluding $K_{2,t}^*$, $K_{3,t}^*$ or $K_{s,t}^*$ as a minor, respectively.

Lemma 4.10 (Van den Heuvel and Wood [20]). Every $K_{2,t}^*$ -minor free graph G has a connected partition H_1, \ldots, H_s with width 1, where each H_i is induced by a BFS subtree of $G - (V(H_1) \cup \cdots \cup V(H_{i-1}))$ with at most t - 1 leaves.

Lemma 4.11 (Van den Heuvel and Wood [20]). Every $K_{3,t}^*$ -minor free graph G has a connected partition H_1, \ldots, H_s with width 2, where each H_i is induced by a BFS subtree of $G - (V(H_1) \cup \cdots \cup V(H_{i-1}))$ with at most 2t + 1 leaves.

Lemma 4.12 (Van den Heuvel and Wood [20]). Every $K_{s,t}^*$ -minor free graph G has a connected partition H_1, \ldots, H_s with width s in which for every $1 \le i \le r V(H_i) = V(P_{i,1}) \cup \cdots \cup V(P_{i,p_i})$, where $p_i \le s(t-1)$ and each $P_{i,j}$ is an isometric path in $G - ((V(H_1) \cup \ldots \vee V(H_{i-1})) \cup (V(P_{i,1}) \cup \cdots \cup V(P_{i,j-i})))$.

5. Approximation algorithms for the subchromatic number of powers of planar graphs

In this section we give a 2-approximation for computing the subchromatic number of graph classes with algorithmically bounded layered cliquewidth, which in particular will give a 2-approximation for computing the subchromatic number of powers of planar graphs, even if we are not given the underlying planar graph. For clarity, we first include a proof that powers of planar graphs have algorithmically bounded layered cliquewidth. Of course, this works in more general graph classes as well.

Lemma 5.1. Let *d* be a fixed positive integer, and let *G* be a connected planar graph. Then there exists c = c(d) such that for any spanning tree *T* of G^d , where (L_1, \ldots, L_t) is the associated layering with $L_1 = \{r\}$, we have that $G^d[L_i]$ has cliquewidth at most *c*. In particular, this layering is computable in polynomial time.

Proof. Let T' be a spanning tree of G and let (L'_1, \ldots, L'_q) be the associated layering where $L'_1 = \{r\}$. By combining Lemma 6 and Theorem 11 of [10], there exists a tree decomposition (T', β) of G for which each bag intersects L'_i in at most 9 vertices. Thus for any set of d layers, any bag intersects these layers in at most 9d vertices. Thus if H is an induced subgraph of any d consectutive layers has treewidth at most 9d. Observe that in the layering of G^d , a layer L_i is the d^{th} power of at most d consecutive layers of (L'_1, \ldots, L'_q) . Thus L_i is the power of a graph with treewidth at most 9d, and thus has cliquewidth at most $c(d) := 2(d + 1)^{9d+1}$ [17]. As L_i is an arbitrarily layer, this implies that every layer of G^d has cliquewidth at most c(d). \Box

Proof of Theorem 1.6. Let $G \in C$, and without loss of generality we will assume that G is connected, as otherwise we simply apply the algorithm to each connected component. Let $(L_1, ..., L_t)$ be a layering of G such that each layer has bounded cliquewidth, which exists as G has bounded layered cliquewidth, and further by the assumption, can be computed in polynomial time. Observe that we can check if a graph H has subchromatic number at most t by checking the following MSO₁-formula:

t

$$\exists V_1, \dots, V_t \quad \left(\forall v \bigvee_{i=1}^{v} v \in V_k \right)$$
$$\wedge \bigwedge_{i=1}^t \left(\forall u, v, w \left(u \in V_i \land v \in V_i \land w \in V_i \land E(u, v) \land E(v, w) \right) \rightarrow E(u, w) \right).$$

By [7], this formula can be checked in polynomial time on graphs of bounded cliquewidth. Therefore, we can determine exactly the subchromatic number of the graph L_i for all $i \in \{1, ..., k\}$. Let t be the maximum subchromatic number in the layers. Then by using a set of t colours on odd layers, and a set of t different colours on even layers, as there is no edge between two layers of the same parity, this gives a 2t-subcolouring of G, and hence a 2-approximation for the subchromatic number of G. \Box

We note that this algorithm can in fact find a subcolouring that uses at most twice the optimal number of parts. Indeed, we can put *t* marks (i.e. unary predicates) A_1, \ldots, A_t on the vertices of *G* and test whether a layer admits a subcolouring with *t* colours extending A_1, \ldots, A_t using the algorithm in [7]. Doing this on each layer means we can find a subcolouring using *t*-colours, and thus find a 2*t*-subcolouring of *G*.

For our algorithm we used that powers of planar graphs have algorithmically bounded layered cliquewidth. We note that this algorithm works more generally than just for the subchromatic number as all we needed was that layers of the BFS tree had bounded cliquewidth, and that we could compute exactly the subchromatic number on graphs of bounded cliquewidth. For example, consider the *c*-chromatic number of a graph, which is the minimum size of a vertex partition with the property that every class induces a cograph [15]. It is known that every first-order transduction of a class with bounded expansion has bounded *c*-chromatic number [13] (and refer to [13] for definitions of first-order transductions). The exact same argument in Theorem 1.6 shows that there exists a 2-approximation to the *c*-chromatic number assuming our class is a strongly local transduction of a bounded expansion class with bounded layered treewidth and such that the bounded cliquewidth layering is computable in polynomial time (see [28] for formal definition of strongly local transduction). Even more generally, the exact same algorithm can compute a (p + 1)-low-shrubdepth cover (see [13] for a definition) of such graph classes with parameter *p* in polynomial time, which gives a partial answer to the question in [13]. We note that by a small extension of the result on neighbourhood covers presented in [9], we can compute in $O(n^{9.8})$ -time an $O((\log n)^2)$ -subcolouring of G^d , when *G* is a graph of order *n* in a fixed class with bounded expansion, or an $O(n^{\epsilon})$ -subcolouring of G^d for *G* of order *n* in a fixed nowhere dense class.

6. Open problems

The bounds obtained in [19] for the $wcol_{\ell}(G)$ when *G* is planar or excludes a fixed minor are polynomial in ℓ (see Table 2), and thus imply polynomial bounds on $col_{\ell}(G)$ for these graphs. Joret and Wood (see [12]), asked if every graph class with polynomial upper bounds on the strong colouring numbers also has polynomial bounds on the weak colouring numbers. This turns out not to be the case as shown by Grohe, Kreutzer, Rabinovich, Siebertz and Stavropoulos [16] and by Dvořák, Pekárek, Ueckerdt, and Yuditsky [11], where the second paper gives a more natural class of graphs not satisfying the property. We ask then, the following question.

Question 6.1. What is the largest $k = k(\ell)$ such that having polynomial bounds on the strong colouring numbers guarantees polynomial bounds on $gcol_{k,\ell}$?

It would be interesting to the search for improved lower bounds for $\chi_{sub}(G^d)$ when *G* belongs to a minor closed class. The most immediate question (for which Theorem 4.1 might be of help) is the following.

Question 6.2. What is the maximum value of $\chi_{sub}(G^d)$ when G has treewidth at most t?

It would also be interesting to find improved lower bounds for the subchromatic number of squares of planar graphs. Currently the best known lower bound is five [27], leaving a large gap from our upper bound of 43.

Declaration of competing interest

We have no conflicts of interest.

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