# Optimal Tree-decomposition Balancing and Reachability on Low Treewidth Graphs

Krishnendu Chatterjee<sup>†</sup> Rasmus Ibsen-Jensen<sup>†</sup> Andreas Pavlogiannis<sup>†</sup>

† IST Austria

**Abstract.** We consider graphs with n nodes together with their tree-decomposition that has b=O(n) bags and width t, on the standard RAM computational model with wordsize  $W=\Theta(\log n)$ . Our contributions are two-fold: Our first contribution is an algorithm that given a graph and its tree-decomposition as input, computes a binary and balanced tree-decomposition of width at most  $4 \cdot t + 3$  of the graph in O(b) time and space, improving a long-standing (from 1992) bound of  $O(n \cdot \log n)$  time for constant treewidth graphs. Our second contribution is on reachability queries for low treewidth graphs. We build on our tree-balancing algorithm and present a data-structure for graph reachability that requires  $O(n \cdot t^2)$  preprocessing time,  $O(n \cdot t)$  space, and  $O(\lceil t/\log n \rceil)$  time for pair queries, and  $O(n \cdot t \cdot \log t/\log n)$  time for single-source queries. For constant t our data-structure uses O(n) time for preprocessing, O(1) time for pair queries, and  $O(n/\log n)$  time for single-source queries. This is (asymptotically) optimal and is faster than DFS/BFS when answering more than a constant number of single-source queries.

**Keywords:** Graph algorithms; Low treewidth graphs; Reachability; Balanced tree-decomposition.

## 1 Introduction

In this work we consider two graph algorithmic problems where the input is a graph G with n nodes and a tree-decomposition  $\mathrm{Tree}(G)$  of G with b = O(n) bags and width t. In the first problem we consider the computation of a binary and balanced (i.e., of height  $O(\log n)$ ) tree-decomposition of G with width O(t). In the second problem we present a data-structure to support reachability queries (pair and single-source queries) on G. We consider the computation on a standard RAM with wordsize  $W = O(\log n)$ .

Low treewidth graphs. A very well-known concept in graph theory is the notion of *treewidth* of a graph, which is a measure of how similar a graph is to a tree (a graph has treewidth 1 precisely if it is a tree) [27]. The treewidth of a graph is defined based on a *tree-decomposition* of the graph [20], see Section 2 for a formal definition. Beyond the mathematical elegance of the treewidth property for graphs, there are many classes of graphs which arise in practice and have low (even constant) treewidth. An important example is that the control-flow graph for goto-free programs for many programming languages are of constant treewidth [30]. Also many chemical compounds have treewidth 3 [32]. For many other applications see the surveys [9,7]. Given a tree-decomposition of a graph with low treewidth t, many problems on the graph become complexity-wise easier (i.e., many NP-complete problems for arbitrary graphs can be solved in time polynomial in the size of the graph, but exponential in t, given a tree-decomposition [2,5,8]). Even for problems that can be solved in polynomial time, faster algorithms can be obtained for low treewidth graphs, for example, for the distance (or the shortest path) problem [12].

Tree-decomposition balancing. Tree-decomposition balancing is an important problem for low treewidth graphs. For several problems over trees, it is much simpler to work on a balanced binary tree for algorithmic purposes (such as, searching, indexing problems). This general phenomenon is also true for tree-decompositions, e.g., balanced tree-decompositions are used to solve MSO (Monadic Second Order Logic) queries in log-space [15] as well as in other circuit complexity classes [16]. Besides theoretical complexity, the balanced tree-decomposition is also useful in practical problems such as recursive control-flow graphs with constant treewidth [30], and it was shown that reachability queries can be answered in time proportional to the height of the tree-decomposition [11]. We state the previous results for computing balanced tree-decomposition only for constant treewidth graphs. There exists two known algorithms for the problem: (1) an algorithm by [26] with running time  $O(n \cdot \log n)$  and O(n) space; and (2) an algorithm by [15] that requires  $n^{O(1)}$  time<sup>1</sup> and  $O(\log n)$  space. In either case, the dependency on the treewidth is exponential, and a binary and balanced tree-decomposition is constructed directly without any tree-decomposition being given (in contrast we consider that a tree-decomposition is given as input).

**Reachability/distance problems.** The *pair* reachability (resp. distance<sup>2</sup>) problem is one of the most classic graph algorithmic problems that, given a pair of nodes u, v, asks to compute if there is a path from u to v (resp. the weight of the shortest path from u to v). The *single-source* variant problem given a node u asks to solve the pair problem u, v for every node v. Finally, the *all pairs* variant asks to solve the pair problem for each pair u, v. While there exist many classic algorithms for the distance problem, such as  $A^*$ -algorithm (pair) [21], Dijkstra's algorithm (single-source) [14], Bellman-Ford algorithm (single-source) [3,19,24], Floyd-Warshall algorithm (all pairs) [18,31,28], and Johnson's algorithm (all pairs) [22] and others for various special cases, there exist in essence only two different algorithmic ideas for reachability: Fast matrix multiplication (all pairs) [17] and DFS/BFS (single-source) [13].

**Previous results.** The algorithmic question of the distance (pair, single-source, all pairs) problem for low treewidth graphs has been considered extensively in the literature, and many algorithms have been presented [1,12,25]. The previous results are incomparable, in the sense that the best algorithm depends on the treewidth and the number of queries. Despite the many results for constant (or low) treewidth graphs, none of the previous results improves the complexity for the basic reachability problem, i.e., the bound for DFS/BFS has not been improved in any of the previous works.

<sup>&</sup>lt;sup>1</sup> the constant in the exponent depends on the treewidth

<sup>&</sup>lt;sup>2</sup> we mention the distance problem here, because most previous papers for low treewidth graphs have focused on the distance problem instead of the reachability problem.

Our results. In this work, we present algorithms with optimal time complexity when used on constant treewidth graphs. For our problems the input is a graph G with n nodes and a tree-decomposition of width t and O(n) bags. Our main contributions are as follows:

- 1. Our contribution is an algorithm that computes a binary and balanced tree-decomposition of G with width at most  $4 \cdot t + 3$  in O(n) time and space. We remark that improved algorithms for construction of tree-decompositions is still an active research area, see for instance [10]. An important strength of our algorithm is that it can use any tree-decomposition algorithm as a preprocessing step to compute an initial tree-decomposition, and then computes a binary and balanced approximate tree-decomposition using linear additional time and space. Given an input tree-decomposition of width t the output binary and balanced tree-decomposition of our algorithm has width at most  $4 \cdot t + 3$  (i.e., the width increases by a constant factor), and we also present an example family of graphs where the treewidth is  $2 \cdot t 1$  but any balanced tree-decomposition must have width at least  $3 \cdot t 1$  (i.e., some constant factor increase is unavoidable in general).
- 2. Our second contribution is a data-structure that supports reachability queries in G. The computational complexity we achieve is as follows: (i)  $O(n \cdot t^2)$  preprocessing (construction) time; (ii)  $O(n \cdot t)$  space; (iii)  $O(\lceil t/\log n \rceil)$  pairquery time; and (vi)  $O(n \cdot t \cdot \log t/\log n)$  time for single-source queries. Note that for constant treewidth graphs, the data-structure is *optimal* in the sense that it only uses linear preprocessing time, and supports answering queries in the size of the output (the output requires one bit per node, and thus has size  $\Theta(n/W) = \Theta(n/\log n)$ ). Moreover, also for constant treewidth graphs, the data-structure answers single-source queries *faster* than DFS/BFS, after linear preprocessing time (which is asymptotically the same as for DFS/BFS). Thus there exists a constant  $c_0$  such that the total of the preprocessing and querying time of the data-structure is smaller than that of DFS/BFS for answering at least  $c_0$  single-source queries. To the best of our knowledge, this is the first algorithm which is *faster than DFS/BFS* for solving single-source reachability on an important class of sparse graphs. While our data-structure achieves this using the so-called word-tricks, to the best of our knowledge, DFS/BFS for general, and constant treewidth graphs.

While our main contributions are theoretical, we have implemented our data-structure for reachability and applied it on examples of constant treewidth graphs that arise in practice as control-flow graphs of various programs. In Appendix A we provide a comparison table which shows that the single-source query time of the data-structure is less than that of DFS/BFS, even for relatively small graphs.

**Important techniques.** Our improvements are achieved by introducing the following new techniques.

- 1. (Balancing separator). We provide a data-structure that accepts queries of the following form: We fix a tree-decomposition of a graph G, and the input to the queries is a connected component  $\mathcal{C}$  of c bags defined by k edge-cuts, and requires the output to be a bag B such that each connected sub-components of  $(\mathcal{C} \setminus B)$  consists of at most  $\delta \cdot c$  bags, for  $\delta$  some constant smaller than 1. In our data-structure  $\delta \leq \frac{1}{2}$ . We show that our data-structure can be constructed in O(n) time and space and has query time  $O(((\log c)^2 + k) \cdot \log k)$ . Our main intuition is to use an iterated binary search over the height and number of leaves to achieve the above bounds. Previously, Reed [26] gave an algorithm that finds a balancing separator, with  $\delta \leq \frac{3}{4}$ , in O(c) time for constant treewidth graphs. In the case k is constant, our algorithm requires  $O((\log c)^2)$  time as compared to the previous O(c)-time algorithm. We then show how to use the above queries (while keeping k constant) to construct a binary and balanced tree-decomposition.
- 2. (Local reachability). We present a simple and fast algorithm for local reachability computation (i.e., for each pair of nodes u,v in the same bag of the tree-decomposition whether there is a path from u to v). Our algorithm uses a list data-structure to store sets of nodes of the tree-decomposition. The algorithm is based on two passes of the tree-decomposition, where we do path shortening in each. The algorithm uses  $O(n \cdot t^2)$  time and  $O(n \cdot t)$  space to compute local reachability for all n nodes. The concept of local reachability has been used before, such as in [1], [12] and [23]. The previous algorithms compute the local distance (i.e., for each pair of nodes u,v in the same bag, the distance from u to v), and our algorithm can also be extended to compute local distances with the same time and space usage as for reachability (see Remark 1). However, the previous algorithms use  $\Omega(b \cdot t^2)$  space and  $\Omega(b \cdot t^3)$  time (or  $\Omega(b \cdot t^4)$  in case of [12]), where b is the number of bags, by storing explicitly all-pairs

reachability in each bag, and running Bellman-Ford or Floyd-Warshall type of algorithms in each pass. Note that our algorithm uses both less space and less time, since  $n \le b/t$ .

Given a bag B let  $S_B$  be the set of nodes in ancestor-bags or descendant-bags of B. Given a node u let  $B_u$  be the top bag containing u. Given a binary and balanced tree-decomposition along with the local reachability computation, it is straightforward to compute for each node u in each bag B which nodes in  $S_B$  can reach u, and which nodes in  $S_B$  are reachable from u, in  $O(n \cdot t^2)$  time and  $O(n \cdot t)$  space. Using this information we compute if a node u can reach node v, by first finding the lowest common ancestor (LCA) bag B of  $B_u$  and  $B_v$  and then see if there is a path from u to some node u in u and then from u to u in time u to some node u in u and then from u to u in time u to some node u in u and then from u to u in time u to some node u in u and then from u to u in time u to some node u in u and then from u to u in time u to some node u in u and then from u to u in time u to some node u in u and then from u to u in time u to some node u in u and then from u to u in time u to some node u in u and then from u to u in time u to some node u in u in

**Organization.** Section 2 presents definitions of graphs and tree-decompositions, and two key lemmas on tree-decomposition properties. In Section 3 we present a data-structure for answering multiple balancing separator queries on binary trees. Using this data-structure, in Section 4 we describe how given a tree-decomposition T of any graph G, an approximate, balanced and binary tree-decomposition T' of G can be obtained in linear time in the size of T. Section 5 presents a family of graphs G for which the width of any balanced tree-decomposition must increase by a constant factor from the treewidth of G. Section 6 presents an algorithm for computing local reachability in a tree-decomposition. Building on our balancing (Section 4) and local reachability (Section 6) algorithms, in Section 7 we give a data-structure that preprocesses any constant treewidth graph in linear time and space, and supports answering single-source and pair reachability queries in sublinear and constant time, respectively.

Table 1: Algorithms for pair- and single-source reachability queries on a directed graph with n nodes, m edges, and a tree-decomposition of width t. The model of computation is the standard RAM model with wordsize  $W = \Theta(\log n)$ . Row 1a is the standard DFS/BFS, and row 1b is the result of this paper for any treewidth. Rows 2a and 2b are the results we obtain for constant treewidth.

Row	Preprocessing time	Space usage	Pair query time	Single-source query time	From
1a	_	$O(\lceil m/\log n \rceil)$	O(m)	O(m)	DFS/BFS [13]
1b	$O(n \cdot t^2)$	$O(n \cdot t)$	$O(\lceil \frac{t}{\log n} \rceil)$	$O(n \cdot t \cdot \log t / \log n)$	Theorem 5
2a	_	$O(\lceil n/\log n \rceil)$	O(n)	O(n)	DFS/BFS [13]
2b	O(n)	O(n)	O(1)	$O(n/\log n)$	Corollary 2

## 2 Definitions

**Graphs.** We consider a directed graph G=(V,E) where V is a set of n nodes and  $E\subseteq V\times V$  is an edge relation of m edges. Two nodes  $u,v\in V$  are called *neighbors* if  $(u,v)\in E$ . Given a set  $X\subseteq V$ , we denote with  $G\upharpoonright X$  the subgraph  $(X,E\cap (X\times X))$  of G induced by the set of nodes X. A path  $P:u\leadsto v$  is a sequence of nodes  $(x_1,\ldots,x_k)$  such that  $u=x_1,v=x_k$ , and for all  $1\le i\le k-1$  we have  $(x_i,x_{i+1})\in E$ . The path P is *acyclic* if every node appears at most once in P. The length of P is k-1, and a single node is by itself a 0-length path. We denote with  $E^*\subseteq V\times V$  the transitive closure of E, i.e.,  $(u,v)\in E^*$  iff there exists a path  $P:u\leadsto v$ . Given a path P we use the set notation  $u\in P$  to say that a node u appears in P, and  $A\cap P$  to refer to the set of nodes that appear in both P and a set A.

 node with no children. For a node  $u \in V_T$ , we denote with T(u) the subtree of T rooted in u (i.e., the tree consisting of all descendants of u), and with  $s_u$  the number of nodes in T(u). The tree T is binary if every node has at most two children. The height of T is  $\max_u \mathsf{Lv}(u)$  (i.e., it is the length of the longest path  $P_u^r$ ), and T is balanced if its height is  $O(\log n)$ .

Connected components in trees. Given a tree T, a connected component  $C \subseteq V_T$  of T is a set of nodes of T such that for every pair of nodes  $u, v \in C$ , the unique acyclic path  $P_u^v$  in T visits only nodes in C. Given a node u and a connected component C we denote with  $s_u^C$  the number of nodes in  $T(u) \upharpoonright C$ . A set of nodes  $X \subseteq V_T$  is called a border if for all pairs  $(u, v) \in X \times X$  such that  $u \neq v$  we have that neither u nor v is an ancestor of the other. Given a rooted tree T, we represent a connected component C of T as a component pair  $(r_C, X_C)$  where  $r_C$  is the unique node of C with the smallest level (called the root of C) and  $X_C$  is a border. A node u is considered to belong to C iff u is a descendant of  $r_C$  in T and the path  $r_C \leadsto u$  does not contain any node of the border  $X_C$  (i.e., C is obtained from C by cutting the edge of each node from C to its parent).

**Balancing separator.** For a binary tree T and a connected component C, a balancing separator of C is a node  $u \in C$  such that removal of u splits C to at most three connected components  $(C_i)_{1 < i \le 3}$  with  $|C_i| \le \frac{|C|}{2}$  for all i.

**Tree-decomposition.** Given a graph G, a tree-decomposition  $Tree(G) = (V_T, E_T)$  is a tree with the following properties.

T1:  $V_T = \{B_1, \dots, B_b : \text{ for all } 1 \leq i \leq b. \ B_i \subseteq V\} \text{ and } \bigcup_{B_i \in V_T} B_i = V.$ 

T2: For all  $(u, v) \in E$  there exists  $B_i \in V_T$  such that  $u, v \in B_i$ .

T3: For all i, j, k such that there exist paths  $B_i \rightsquigarrow B_k$  and  $B_k \rightsquigarrow B_j$  in  $\mathrm{Tree}(G)$ , we have  $B_i \cap B_j \subseteq B_k$ .

The sets  $B_i$  which are nodes in  $V_T$  are called bags. The width of a tree-decomposition  ${\rm Tree}(G)$  is the size of the largest bag minus 1, and the treewidth of G is the width of a minimum-width tree-decomposition of G. Let G be a graph,  $T={\rm Tree}(G)$ , and  $B_0$  be the root of T. For  $u\in V$ , we say that a bag B is the treevidestalpha is the bag with the smallest level among all bags that contain treevidestalpha. By definition, for every node treevidestalpha there exists a unique bag which is the root of treevidestalpha. We often write treevidestalpha for the root bag of treevidestalpha, i.e., treevidestalpha is a leaf, or treevidestalpha and denote with treevidestalpha by a standard process that increases the size of the tree-decomposition by a constant factor while keeping the width the same).

See Figure 1 for an example of a graph and a tree-decomposition of it. The following lemma states a well-known "separator property" of tree-decompositions.

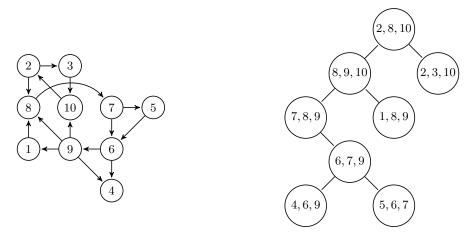


Fig. 1: A graph G with treewidth 2 (left) and a corresponding tree-decomposition Tree(G) (right).

**Lemma 1.** Consider a graph G = (V, E), a tree-decomposition T = Tree(G) and a bag B of T. Denote with  $(C_i)_{1 \leq i \leq 3}$  the components of T created by removing B from T, and let  $V_i$  be the set of nodes that appear in bags of component  $C_i$ . For every  $i \neq j$ , nodes  $u \in V_i$ ,  $v \in V_j$  and  $P : u \leadsto v$ , we that have  $P \cap B \neq \emptyset$  (i.e., all paths between u and v go through some node in B).

Using Lemma 1, we can prove the following version, which will also be useful throughout the paper.

**Lemma 2.** Consider a graph G = (V, E) and a tree-decomposition Tree(G). Let  $u, v \in V$ , and consider bags  $B_1$  and  $B_j$  such that  $u \in B_1$  and  $v \in B_j$ . Let  $P' : B_1, B_2, \ldots, B_j$  be the unique acyclic path in T from  $B_1$  to  $B_j$ . For each  $i \in \{1, \ldots, j-1\}$  and for each path  $P : u \leadsto v$ , there exists a node  $x_i \in (B_i \cap B_{i+1} \cap P)$ .

*Proof.* Let  $T = \operatorname{Tree}(G)$ . Fix a number  $i \in \{1, \dots, j-1\}$ . We argue that for each path  $P: u \leadsto v$ , there exists a node  $x_i \in (B_i \cap B_{i+1} \cap P)$ . We construct a tree T', which is similar to T except that instead of having an edge between bag  $B_i$  and bag  $B_{i+1}$ , there is a new bag B, that contains the nodes in  $B_i \cap B_{i+1}$ , and there is an edge between  $B_i$  and B and one between B and  $B_{i+1}$ . It is easy to see that T' forms a tree-decomposition of G, from the definition. By Lemma 1, each bag B' in the unique path  $P'': B_1, \dots, B_i, B, B_{i+1}, \dots, B_j$  in T' separates u from v in G. Hence, each path  $u \leadsto v$  must go through some node in B, and the result follows.

Nice tree-decomposition. A tree-decomposition T = Tree(G) is called *nice* if every bag B is one of the following types:

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Leaf. |B|=1.

Forget. B has exactly one child B', and B \subset B' and |B|=|B'|-1.

Introduce. B has exactly one child B', and B' \subset B and |B'|=|B|-1.

Join. B has exactly two children B_1, B_2, and B=B_1=B_2.
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For technical convenience in this paper, we also require that the root of a nice tree-decomposition has size 1. Note that in a nice tree-decomposition, every bag is the root bag of at most one node.

**Model and word tricks.** We consider a standard RAM model with word size  $W = \Theta(\log n)$ , where n is the size of the input. Our reachability algorithm (in Section 7) uses so called "word tricks" heavily. We use constant time lowest common ancestor and level ancestor queries which also require word tricks.

# 3 A Data-structure for Balancing Separator Queries in Trees

In this section we consider the problem of finding balancing separators in a fixed binary tree  $T=(V_T,E_T)$ . When the problem needs to be solved several times on T for different components, T can be preprocessed once such that each balancing separator is obtained potentially faster (without traversing the whole component). We provide a data-structure for this purpose.

**Problem description.** Consider a fixed binary tree  $T = (V_T, E_T)$ . Given a component  $\mathcal{C}$  of T as input, the problem consists of finding a balancing separator of  $\mathcal{C}$ .

**Classic algorithmic solution.** The problem admits a well-known  $O(|\mathcal{C}|)$  time algorithm described as follows.

First, apply a post-order traversal on  $T \upharpoonright \mathcal{C}$ , and store in each node u the size  $s_u^{\mathcal{C}}$  of  $T(u) \upharpoonright \mathcal{C}$ . Then, start from the root of  $T \upharpoonright \mathcal{C}$ , and for current node u, and as long as u is not a balancing separator move to the neighbor v of u in the largest component  $\mathcal{C}_i$  created by removing u. In particular, if  $v_1, v_2$  are the children of u, proceed to the child  $v_i$  with  $s_{v_i}^{\mathcal{C}} \geq \frac{|\mathcal{C}|}{2}$ .

The correctness follows from the fact with each step the size of the largest component  $C_i$  reduces at least by 1, and hence after at most  $\frac{|C|}{2}$  steps, all components  $(C_i)_{1 < i \le 3}$  created by removing u have size at most  $\frac{|C|}{2}$ , and u is a balancing separator.

A data structure for balancing separator queries. The preprocessing phase of the data structure receives the binary tree  $T=(V_T,E_T)$  as input. After preprocessing, the data structure supports queries for any component  $\mathcal C$  of T, represented as a component pair  $(r_{\mathcal C},X_{\mathcal C})$ . The goal is to preprocess T in linear time, and answer each balancing separator query  $(r_{\mathcal C},X_{\mathcal C})$  in time that depends only logarithmically on  $|\mathcal C|$ , and slightly superlinearly on  $|X_{\mathcal C}|$ . We present a data-structure BalSep that achieves the desired preprocessing and querying time bounds.

BalSep Preprocessing. The preprocessing of BalSep consists of the following steps.

- 1. Apply a post-order traversal on T and assign to each leaf v a leaf-index  $l_v$  that equals the number of leaves that have been visited before v (i.e., the leftmost leaf is assigned leaf-index 0, the leftmost of the remaining leaves is assigned leaf-index 1 etc). To each node u assign an index number  $i_u$  that equals its visit time. Additionally, store the size  $s_u$  of T(u), and numbers  $l_u^\ell$ ,  $l_u^r$  containing the smallest and largest leaf-index of leaves in T(u) respectively.
- 2. Preprocess T to return for any node u and number k, the ancestor of u at level k in O(1) time [4]. This is similar to preprocessing for answering LCA queries in constant time.

Note that a node u is an ancestor of a node v iff  $l_u^\ell \le l_v^\ell$  and  $l_u^r \ge l_v^r$  and  $\mathsf{Lv}(u) \le \mathsf{Lv}(v)$ , which can be checked in O(1) time. Figure 2 shows an example of the preprocessing.

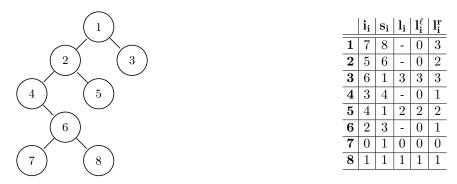


Fig. 2: Preprocessing of BalSep on the tree-decomposition of Figure 1, where the bags have been numbered from 1 to 8.

**Query intuition.** Now we turn our attention to querying. We first make some claims on how after the above preprocessing, certain operations on any component C can be made fast. Afterwards we give a formal description of the querying based on such operations.

Fact 1. Given a node  $u \in \mathcal{C}$ , the indexes  $i_v$  of nodes  $v \in X_{\mathcal{C}}$  that are descendants of u form contiguous interval. Formally, if  $v_1, v_2 \in X_{\mathcal{C}}$  are descendants of u with  $i_{v_1} \leq i_{v_2}$ , then every  $v \in X_{\mathcal{C}}$  with  $i_{v_1} \leq i_v \leq i_{v_2}$  is a descendant of u.

**Median leaf.** Given a node  $u \in \mathcal{C}$ , let v range over all leaves of  $T(u) \upharpoonright \mathcal{C}$  (note that v is either a leaf of T, or the parent of a node in  $X_{\mathcal{C}}$ ). A node w is called the *median leaf* of  $T(u) \upharpoonright \mathcal{C}$  if  $i_w$  is the median of all  $i_v$  (we take the median of a sorted sequence  $(x_1, \ldots x_k)$  to be the element at position  $\lfloor \frac{k}{2} \rfloor + 1$ ). Consider a component pair  $(r_{\mathcal{C}}, X_{\mathcal{C}})$  with  $|X_{\mathcal{C}}| = k$ . We make the following claims.

Claim 1. The pair  $(r_{\mathcal{C}}, X_{\mathcal{C}})$  can be processed in  $O(k \cdot \log k)$  time, so that for any  $u \in \mathcal{C}$ , the size  $s_u^{\mathcal{C}}$  of  $T(u) \upharpoonright \mathcal{C}$  can be determined in  $O(\log k)$  time.

*Proof.* Let  $Y_{\mathcal{C}}=(v_1,\ldots,v_k)$  be the list of nodes of  $X_{\mathcal{C}}$  sorted according to their index, and  $\overline{S}_{\mathcal{C}}=(\overline{s}_0,\overline{s}_1,\ldots,\overline{s}_k)$ such that  $\overline{s}_0 = 0$  and  $\overline{s}_i = \sum_{j=1}^i s_{v_j}$  (recall that  $s_{v_j}$  is the size of  $T(v_j)$ ). The list  $\overline{S}_{\mathcal{C}}$  can be constructed in  $O(k \cdot \log k)$ time, and  $\overline{s}_i$  is the sum of the sizes of the subtrees rooted at the border nodes  $v_1, \dots v_i$ . By Fact 1, there is a leftmost  $v_{\ell}$  and a rightmost  $v_r$  node in  $Y_{\mathcal{C}}$  such that for all  $\ell \leq i \leq r$ , we have that  $v_i$  is a descendant of u. It follows that the size of  $T(u) \upharpoonright \mathcal{C}$  is  $s_u^{\mathcal{C}} = s_u - \overline{s}_r + \overline{s}_{\ell-1}$ . Finally, both  $v_{\ell}$  and  $v_r$  can be determined by a binary search in  $Y_{\mathcal{C}}$ , and thus in  $O(\log k)$  time.

**Claim 2.** The pair  $(r_C, X_C)$  can be processed in  $O(k \cdot \log k)$  time, so that for any  $u \in C$ , the median leaf w of  $T(u) \upharpoonright C$ can be determined in  $O(\log k)$  time.

*Proof.* Let  $Y_{\mathcal{C}} = (v_1, \dots, v_k)$  be the list of nodes of  $X_{\mathcal{C}}$  sorted according to their index. For a border node  $v_i \in X_{\mathcal{C}}$ , let  $b_{v_j} = 1$  if the parent of  $v_j$  is a leaf of C and  $v_j$  is either a right child or the only child, else  $b_{v_j} = 0$ . Let  $\overline{L}_{\mathcal{C}}=(\overline{l}_0,\overline{l}_1,\ldots,\overline{l}_k)$  such that  $\overline{l}_0=0$  and  $\overline{l}_i=\sum_{j=1}^i (l_{v_j}^r-l_{v_j}^\ell+1-b_{v_j})$ , (recall that  $l_{v_j}^r$  and  $l_{v_j}^r$  are respectively the smallest and largest leaf-indexes of  $T(v_j)$ ). The list  $\overline{L}_{\mathcal{C}}$  can be constructed in  $O(k \cdot \log k)$  time, and  $\overline{l}_i$  is the sum of the number of leaves of the subtrees rooted at the border nodes  $v_1, \dots v_i$  minus the number of parents of  $v_1, \dots v_i$ that are leaves in  $T \upharpoonright \mathcal{C}$  (i.e., it is the number of leaves of  $T(r_{\mathcal{C}})$  that are "hidden" by the border up to  $v_i$ , minus the new leaves that the border introduces until  $v_i$ ). Given a node  $u \in \mathcal{C}$ , let  $j_1$  and  $j_2$  be such that  $v_{j_1}$  and  $v_{j_2}$  are respectively the leftmost and rightmost nodes in  $Y_{\mathcal{C}}$  that are descendants of u. For each  $j_1 \leq i \leq j_2$ , the number of leaves in  $T(u) \upharpoonright \mathcal{C}$  with index  $< i_{v_i}$  is  $f_u(i) = l_{v_i}^\ell - l_u^\ell - (\bar{l}_{i-1} - \bar{l}_{j_1-1})$ . The number of leaves in  $T(u) \upharpoonright \mathcal{C}$  is  $g(u) = l_u^r - l_u^\ell + 1 - (\bar{l}_{j_2} - \bar{l}_{j_1-1})$ . Let j be such that  $v_j$  is the rightmost descendant of u in  $Y_{\mathcal{C}}$  with  $f_u(j) \leq \lfloor \frac{g(u)}{2} \rfloor$ . There are two cases:

- 1. If  $f_u(j) = \lfloor \frac{g(u)}{2} \rfloor$  and  $b_{v_j} = 1$ , let w be the parent of  $v_j$  and thus a leaf of  $T(u) \upharpoonright \mathcal{C}$ . Then there are  $\lfloor \frac{g(u)}{2} \rfloor + 1$ leaves in  $T(u) \upharpoonright \mathcal{C}$  with index  $\leq i_w$ , and hence w is the median leaf of  $T(u) \upharpoonright \mathcal{C}$ .
- 2. Else, let w be the leaf of T with leaf-index  $l_w = l_{v_j}^r + \lfloor \frac{g(u)}{2} \rfloor f_u(j) + 1$ . Note that w is a leaf of  $T(u) \upharpoonright \mathcal{C}$ , otherwise w is a leaf of  $T(v_{j'})$  for some j' > j, which contradicts our choice of j because then  $f_u(j') f_u(j) \le 1$  $\lfloor \frac{g(u)}{2} \rfloor - f_u(j)$  thus  $f_u(j') \leq \lfloor \frac{g(u)}{2} \rfloor$ . There are  $\lfloor \frac{g(u)}{2} \rfloor + 1$  leaves of  $T(u) \upharpoonright (\mathcal{C})$  with index  $\leq i_w$ , hence w is the median leaf of  $T(u) \upharpoonright \mathcal{C}$ .

Finally, each  $j_1, j_2, j$  can be determined by a binary search on  $Y_C$ , thus in  $O(\log k)$  time.

BalSep Querying. We now give a formal description of the querying. Given a query  $(r_{\mathcal{C}}, X_{\mathcal{C}})$ , the balancing separator u of  $\mathcal{C}$  is found by the following recursive process, initially starting with  $w=r_{\mathcal{C}}$ .

- Step 1. Given a node  $w \in \mathcal{C}$ , find the median leaf v of  $T(w) \upharpoonright \mathcal{C}$ .
- Step 2. Given w and v, obtain the node x that is ancestor of v in level  $\left| \frac{\mathsf{Lv}(w) + \mathsf{Lv}(v)}{2} \right|$  (observe that x is the middle node of the path  $w \rightsquigarrow v$ ).
- Step 3. Execute according to the following conditions.

Cond. C1: If  $s_x^{\mathcal{C}} \geq \frac{|\mathcal{C}|}{2}$  and for each child  $x_i$  of x we have  $s_{x_i}^{\mathcal{C}} \leq \frac{|\mathcal{C}|}{2}$ , take u = x and terminate. Cond. C2: If  $s_x^{\mathcal{C}} \geq \frac{|\mathcal{C}|}{2}$  and for some child  $x_i$  of x we have  $s_{x_i}^{\mathcal{C}} > \frac{|\mathcal{C}|}{2}$ , set  $w = x_i$  and goto Step 1 (i.e., repeat the process for the new w).

Cond. C3: Finally, if  $s_x^{\mathcal{C}} < \frac{|\mathcal{C}|}{2}$ , set v = x and goto Step 2 (i.e., continue the binary search in the new (sub) path

For the nodes w, v of the above process, we denote with  $C_v^w$  the component pair  $(w, X \cup \{v\})$ , where  $X \subseteq X_C$  contains all nodes of  $X_{\mathcal{C}}$  that do not exist in T(v). The following two lemmas establish the correctness and time complexity of a query on BalSep.

**Lemma 3.** On query  $(r_{\mathcal{C}}, X_{\mathcal{C}})$ , BalSep correctly returns a balancing separator u of  $\mathcal{C}$ .

Proof. First note that a node u is a balancing separator of  $\mathcal{C}$  iff condition C1 holds. Every time condition C2 or C3 is executed, the component  $\mathcal{C}^w_v$  reduces in size. We argue inductively that  $\mathcal{C}^w_v$  always contains a node that satisfies condition C1. The claim is true initially, since  $\mathcal{C}^w_v = \mathcal{C}$ . Now assume the claim holds for some w and v, and let x be the current node examined in conditions C1-C3, and w', v' be the new pair of nodes obtained in the next iteration, because C1 did not hold. In case of C2, every node  $x' \in \mathcal{C}^w_v \setminus \mathcal{C}^{w'}_{v'}$  either has  $s^{\mathcal{C}}_{x'} > \frac{|\mathcal{C}|}{2}$  (if x' is an ancestor of x), or  $s^{\mathcal{C}}_{x'} < \frac{|\mathcal{C}|}{2}$  (if x' is a descendant of x and  $x' \neq x$ ). In case of C3, every node  $x' \in \mathcal{C}^w_v \setminus \mathcal{C}^{w'}_{v'}$  has  $s^{\mathcal{C}}_{x'} < \frac{|\mathcal{C}|}{2}$ . Thus in both cases the component  $\mathcal{C}^w_v \setminus \mathcal{C}^{w'}_{v'}$  does not contain a balancing separator. By the induction hypothesis, such a separator exists in  $\mathcal{C}^w_v$  and hence we get that  $u \in \mathcal{C}^{w'}_{v'}$ .

**Lemma 4.** The query phase of BalSep requires  $O(\log^2 |\mathcal{C}| \cdot \log |X_{\mathcal{C}}| + |X_{\mathcal{C}}| \cdot \log X_{\mathcal{C}}))$  time.

Proof. Given a pair of nodes w, v, there will be  $O(\log |\mathcal{C}|)$  choices of x on the path  $P: w \leadsto v$ , since every such path has length at most  $|\mathcal{C}|$ , and every such choice of x halves the length of P. Every time the search moves from a component  $\mathcal{C}_v^w$  to a component  $\mathcal{C}_{v'}^w$  via a child  $x_i = w'$  of x that is not in the path  $w \leadsto v$ , the number of leaves in  $T(w) \upharpoonright \mathcal{C}_{v'}^w$  is half of that of  $T(w) \upharpoonright \mathcal{C}_v^w$ , and hence such choice for  $x_i$  can be made  $O(\log |\mathcal{C}|)$  times. This concludes that the above conditions C2 and C3 will hold  $O(\log^2 |\mathcal{C}|)$  times. Finally, by Claims 1 and 2, after processing  $\mathcal{C}$  in  $O(|X_{\mathcal{C}}| \cdot \log |X_{\mathcal{C}}|)$  time, every median leaf v and sizes  $s_x^{\mathcal{C}}$ ,  $s_{x_i}^{\mathcal{C}}$  can be obtained in  $O(\log |X_{\mathcal{C}}|)$  time. Hence every execution of conditions C2 or C3 requires  $O(\log |X_{\mathcal{C}}|)$  time, and the desired result follows.

We conclude the results of this section with the following theorem.

**Theorem 1.** Consider a binary tree T with n nodes, and a sequence of balancing separator queries of the form  $(r_{\mathcal{C}}, X_{\mathcal{C}})$ . The data-structure BalSep preprocesses T in O(n) time, and answers each query with a balancing separator of  $\mathcal{C}$  in  $O(\log^2 |\mathcal{C}| \cdot \log |X_{\mathcal{C}}| + |X_{\mathcal{C}}| \cdot \log |X_{\mathcal{C}}|)$  time.

# 4 Balancing a Tree-Decomposition in Linear Time

In this section we show how given a graph G and a tree-decomposition  $\mathrm{Tree}(G)$  of b bags and width t, we can construct in O(b) time and space a balanced binary tree-decomposition with O(b) bags and width at most  $4 \cdot t + 3$ . The process has two conceptual steps. First, we construct a *rank tree*  $R_G$  of G that is balanced, and then show how to turn  $R_G$  to a tree-decomposition  $\widehat{R_G}$  by a simple modification.

Constructing a rank tree. In the following, we consider that  $\operatorname{Tree}(G) = (V_T, E_T)$  is binary, has width t, and  $|V_T| = b$  bags. Given  $\operatorname{Tree}(G)$ , we assign to each bag  $B \in V_T$  a rank  $\operatorname{r}(B) \in \mathbb{N}$  according to the following recursive algorithm Rank. Rank operates on input  $(\mathcal{C}, f, k)$  where  $\mathcal{C}$  is a component of  $\operatorname{Tree}(G)$  represented as a component pair  $(r_{\mathcal{C}}, X_{\mathcal{C}})$ ,  $f \in \{\text{False}, \text{True}\}$  a is flag, and  $k \in \mathbb{N}$  is the rank to be assigned, as follows.

- 1. If C contains a single bag B, assign r(B) = k and terminate.
- 2. Else, if f = True, find the bag B that is a balancing separator of C. Assign r(B) = k, and call Rank recursively on input  $(C_i, \neg f, k+1)$  for each component  $(C_i)_{1 < i \le 3}$  created from C by removing B.
- 3. Else, if f = False, let L range over all the LCAs of every pair of bags  $B_1, B_2 \in X_{\mathcal{C}}$  and  $B = \arg\max_L \mathsf{Lv}(B)$ . If  $|X_{\mathcal{C}}| \geq 2$  then such B exists, and assign  $\mathsf{r}(B) = k$ , and call Rank recursively on input  $(\mathcal{C}_i, \neg f, k+1)$  for each component  $(\mathcal{C}_i)_{1 < i \leq 3}$  created from  $\mathcal{C}$  by removing B. Else, call Rank recursively on input  $(\mathcal{C}, \neg f, k)$ .

Algorithm Rank induces a ternary rank tree  $R_G$  of G such that the root is the unique bag B with r(B) = 0, and a bag B' is the i-th child of a bag B iff B' is the separator of the sub-component  $C_i$  of the component C of which B is a separator. The level i of  $R_G$  contains all bags B with r(B) = i. Given the rank function r denote the neighborhood of a bag B with

$$\mathsf{Nh}(B) = \{B' \in V_T : \mathsf{r}(B') < \mathsf{r}(B) \text{ and for all intermediate bags}$$
  
 $B'' \text{ in the path } B \leadsto B', \ \mathsf{r}(B) < \mathsf{r}(B'')\}$ 

i.e, Nh(B) consists of the bags B' that have smaller rank than B, and that B can reach through a path that traverses intermediate bags of larger rank. The following facts are easy to obtain.

**Fact 2.** For each bag B that is a separator of a component represented as a component pair  $(r_{\mathcal{C}}, X_{\mathcal{C}})$  in Rank, if  $\mathsf{r}(r_{\mathcal{C}}) < \mathsf{r}(B)$  then  $\mathsf{Nh}(B) = X_{\mathcal{C}} \cup \{r_{\mathcal{C}}\}$  otherwise  $\mathsf{Nh}(B) = X_{\mathcal{C}}$ . Hence we always have  $X_{\mathcal{C}} \subseteq \mathsf{Nh}(B)$  and  $|X_{\mathcal{C}}| \leq |\mathsf{Nh}(B)| \leq |X_{\mathcal{C}}| + 1$ .

Fact 3. Let B and B' be respectively a bag and its parent in  $R_G$ . Then  $Nh(B) \subseteq Nh(B') \cup \{B'\}$ , and thus  $|Nh(B)| \le |Nh(B')| + 1$ .

Intuitively, for a bag B, the set  $\mathsf{Nh}(B)$  is the set of bags separating the component for which B was chosen as a separator by  $\mathsf{Rank}$  from the rest of the graph. Since every bag in  $\mathsf{R}_\mathsf{G}$  corresponds to a bag in  $\mathsf{Tree}(G)$ , the bags of  $\mathsf{R}_\mathsf{G}$  already cover all nodes and edges of G (i.e., properties T1 and T2 of a tree-decomposition). In the following we show how  $\mathsf{R}_\mathsf{G}$  can be modified to also satisfy condition T3, i.e., that every node u appears in a contiguous subtree of  $\mathsf{R}_\mathsf{G}$ . Given a bag B, we denote with  $\mathsf{NhV}(B) = B \cup \bigcup_{B' \in \mathsf{Nh}(B)} B'$ , i.e.,  $\mathsf{NhV}(B)$  is the set of nodes of G that appear in B and its neighborhood. In Lemma 5 we will show the crucial property that for all nodes u and paths u0 and u1 is ancestor of u2 and u3 and u4 is an elementary of u5. We start with a basic claim that will be useful throughout this section.

Claim 3. For all  $B_1, B_2 \in V_T$ , let B be their LCA in  $R_G$  and P be the unique acyclic path  $B_1 \rightsquigarrow B_2$  in  $\mathrm{Tree}(G)$ . Then  $B \in P$  and all B' in P are descendants of B in  $R_G$ .

*Proof.* Let  $\mathcal{C}$  be the smallest component processed by Rank that contains both  $B_1$  and  $B_2$ . Then B was chosen as a separator for  $\mathcal{C}$ , hence  $B \in P$ , and by the recursion, all B' in  $B_1 \leadsto B_2$  will be descendants of B in  $R_G$ .

We say that a pair of bags  $(B_1, B_2)$  form a gap of some node u in a tree T of bags (e.g.,  $R_G$ ) if  $u \in B_1 \cap B_2$  and for the unique acyclic path  $P: B_1 \leadsto B_2$  in T we have that  $|P| \ge 2$  (i.e., there is at least one intermediate bag in P) and for all intermediate bags B in P we have  $u \notin B$ .

**Lemma 5.** For every node u, and pair of bags  $(B_1, B_2)$  that form a gap of u in  $R_G$ , such that  $B_1$  is an ancestor of  $B_2$ , for every intermediate bag B in  $P: B_1 \rightsquigarrow B_2$  in  $R_G$ , we have that  $u \in NhV(B)$ .

*Proof.* The proof is by showing that  $B_1 \in \mathsf{Nh}(B)$ . Fix arbitrarily such a bag B, and since B is ancestor of  $B_2$  we have that  $\mathsf{r}(B_2) > \mathsf{r}(B)$ . Let  $P_1 : B \leadsto B_2$  and  $P_2 : B_2 \leadsto B_1$  be paths in  $\mathrm{Tree}(G)$ . We argue that for all intermediate bags B' in  $P_1$  and  $P_2$ , we have that  $\mathsf{r}(B') > \mathsf{r}(B)$ . When B' is an intermediate bag of  $P_1$ , the statement follows from Claim 3 since the LCA of B and  $B_2$  in  $\mathsf{R}_G$  is B. Now consider that B' is an intermediate bag in  $P_2$ . From property T3 of a tree-decomposition, since  $u \in B_1$  and  $u \in B_2$ , then  $u \in B'$ . Since  $B_1$  is the LCA of  $B_1$  and  $B_2$  in  $\mathsf{R}_G$ , by Claim 3 B' is a descendant of  $B_1$  in  $\mathsf{R}_G$ . Let B'' be the LCA of  $B_2$  and B' in  $\mathsf{R}_G$ , and B'' is also a descendant of  $B_1$ . By Claim 3, B'' is a node in the path  $P_3 : B_2 \leadsto B'$  in  $\mathrm{Tree}(G)$ , hence  $u \in B''$ . Since  $(B_1, B_2)$  form a gap of u, it follows that  $B'' = B_2$ , and B' is a descendant of  $B_2$ , hence  $\mathsf{r}(B') > \mathsf{r}(B_2) > \mathsf{r}(B)$ .

The above conclude that all intermediate nodes B' in the path  $B \rightsquigarrow B_1$  in  $\mathrm{Tree}(G)$  have rank  $\mathsf{r}(B') > \mathsf{r}(B)$ , and since  $\mathsf{r}(B_1) < \mathsf{r}(B)$ , we have that  $B_1 \in \mathsf{Nh}(B)$ . Since,  $u \in B_1$  it is  $u \in \mathsf{NhV}(B)$  and the desired result follows.  $\square$ 

**Procedure** Replace. Lemma 5 suggests a way to turn the rank tree  $R_G$  to a tree-decomposition.Let  $\widehat{R_G} = \text{Replace}(R_G)$  be the tree obtained by replacing each bag B of  $R_G$  with NhV(B). For a bag B in  $R_G$  let  $\widehat{B}$  be the corresponding bag in  $\widehat{R_G}$  and vice versa. The following lemma states that  $\widehat{R_G}$  is a tree-decomposition of G.

**Lemma 6.**  $\widehat{R_G} = \text{Replace}(R_G)$  is a tree-decomposition of G.

*Proof.* It is straightforward to see that the bags of  $\widehat{\mathsf{R}_\mathsf{G}}$  cover all nodes and edges of G (properties T1 and T2 of the definition of tree-decomposition), because for each bag B, we have that  $\widehat{B}$  is a supserset of B. It remains to show that every node u appears in a contiguous subtree of  $\widehat{\mathsf{R}_\mathsf{G}}$  (i.e., that property T3 is satisfied). Assume towards contradiction otherwise, and it follows that there exist bags  $\widehat{B}_1$  and  $\widehat{B}_2$  in  $\widehat{\mathsf{R}_\mathsf{G}}$  that form a gap of some node u and let  $\widehat{P}:\widehat{B}_1 \leadsto \widehat{B}_2$  be the path between them. Observe that for any bag B, if  $u \notin B$ , but  $u \in \widehat{B}$ , then u is also in the parent of  $\widehat{B}$ , by Fact 3. Hence, if  $u \notin (B_1 \cap B_2)$ , then u is in a parent of either  $\widehat{B}_1$  or  $\widehat{B}_2$ .

First we establish that one  $\widehat{B}_i$  must be ancestor of the other. If not, let  $\widehat{L}$  be the LCA of  $\widehat{B}_1$  and  $\widehat{B}_2$  in  $R_G$ . Since  $\widehat{L} \in \widehat{P}$ , we get that  $u \notin \widehat{L}$ . Hence,  $u \notin L$  and thus  $u \notin (B_1 \cap B_2)$  by Claim 3 and property T3 of tree-decomposition. This indicates that u is in a parent of either  $\widehat{B}_1$  or  $\widehat{B}_2$ . But  $\widehat{P}$  goes through both parents, contradicting that  $\widehat{B}_1$  and  $\widehat{B}_2$  form a gap.

Next, consider that  $\widehat{B}_1$  is an ancestor of  $\widehat{B}_2$  (the case where  $\widehat{B}_1$  is a descendant of  $\widehat{B}_2$  is symmetric). Observe that  $u \not\in (B_1 \cap B_2)$ , since otherwise we get a contradiction from Lemma 5. We have that  $u \in B_2$ , since otherwise u also appears in the parent of  $\widehat{B}_2$ , which is in  $\widehat{P}$ . Hence we have that  $u \not\in B_1$ . Let bag  $B' \in \operatorname{Nh}(B_1)$  be such that  $u \in B'$ , and let L' be the LCA of B' and  $B_2$  in  $R_G$ . It follows that L' is an ancestor of  $B_1$ . By Claim 3, L' appears in the path  $B' \leadsto B_2$  in  $\operatorname{Tree}(G)$ , and hence, by property T3 of tree-decomposition we have that  $u \in L'$ . Let B'' be the first bag in the path  $B_1 \leadsto L'$  in  $R_G$  that contains u. Observe that u does not appear in any intermediate bag of the path  $P: B_1 \leadsto B_2$ , because otherwise it contradicts that  $\widehat{B}_1$  and  $\widehat{B}_2$  form a gap of u. Hence, u does not appear in any intermediate bag of the path  $P': B'' \leadsto B_1 \leadsto B_2$ , thus B'' and  $B_2$  form a gap of u in  $R_G$ . By Lemma 5, for each B in P', and thus especially for the ones in P, we have that  $\widehat{B}$  contains u, contradicting that  $\widehat{B}_1$  and  $\widehat{B}_2$  form a gap of u. The desired result follows.

Lemma 6 states that  $\widehat{\mathsf{R}_\mathsf{G}}$  obtained by replacing each bag of  $\mathsf{R}_\mathsf{G}$  with  $\mathsf{NhV}(B)$  is a tree-decomposition of G. The remaining of the section focuses on showing that  $\widehat{\mathsf{R}_\mathsf{G}}$  is a balanced approximate tree-decomposition of G, and that it can be constructed in O(b) time and space. The following lemma states that  $\mathsf{R}_\mathsf{G}$  is balanced (of height  $O(\log b)$ ) and  $|\mathsf{Nh}(B)| \leq 3$  for each bag B of  $\mathsf{R}_\mathsf{G}$ .

#### **Lemma 7.** The following assertions hold:

- 1. The height of  $\widehat{\mathsf{R}_\mathsf{G}}$  is  $O(\log b)$ .
- 2. For each bag  $\widehat{B}$  of  $\widehat{R_G}$ , we have  $|\widehat{B}| < 4 \cdot (t+1)$ .

*Proof.* We prove each item separately by showing that (i) the height of  $R_G$  is  $O(\log b)$ , and (ii)  $|Nh(B)| \leq 3$ .

- 1. It is clear that the height of  $R_G$  equals the recursion depth of Rank. Because of the alternating flag, the size of each input component is at halved at least every two successive recursive calls. Hence, at recursion level i, for each component  $\mathcal C$  processed by Rank in that level we have  $|\mathcal C| \le b \cdot 2^{-\lfloor i/2 \rfloor}$ . The recursion stops when  $|\mathcal C| = 1$ , hence the height of  $R_G$  is  $O(\log b)$ .
- 2. Let B be any bag, and B' its parent in  $R_G$ . By Fact 3, |Nh(B)| can increase by at most one from its parent's. Observe that each bag B with  $2 \le |Nh(B)| \le 3$  which is assigned a rank with f = False appears in the path  $B_1 \leadsto B_2$  in Tree(G) of every two distinct bags  $B_1, B_2 \in Nh(B)$ . Hence for each child B' of B in  $R_G$  we have that  $B_i \in Nh(B')$  for at most one  $B_i \in Nh(B)$ , and thus  $|Nh(B')| \le 2$ . Since the flag f alternates between every successive recursive calls of Rank, we get that for every pair of parent-child bags  $B_1$  and  $B_2$ , it is  $|Nh(B_i)| \le 2$  for at least one  $i \in \{1, 2\}$ . The desired result follows.

**Algorithm** Balance. We are now ready to outline the algorithm Balance, which takes as input a tree-decomposition  $\mathrm{Tree}(G)$  of a graph G, and returns a balanced binary tree-decomposition of G. Balance is based on Rank, with additional preprocessing from Section 3 to obtain the separator bags of Rank fast. Balance operates as follows:

1. Preprocess Tree(G) using the BalSep data-structure from Section 3 so that on recursive call (C, f, k) of Rank with f = True, a balancing separator B of C is returned.

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- 2. Preprocess  $\mathrm{Tree}(G)$  to answer LCA queries in O(1) time. On recursive call  $(\mathcal{C}, f, k)$  of Rank with  $f = \mathsf{False}$ , obtain LCAs L in O(1) time.
- 3. Return  $Replace(R_G)$ .

In Figure 3 we give an example of Balance executed on a tree-decomposition  $\operatorname{Tree}(G)$ . First,  $\operatorname{Tree}(G)$  is turned into a binary and balanced tree  $\widehat{\mathsf{R}_\mathsf{G}}$ . If the numbers are pointers to bags, such that  $\operatorname{Tree}(G)$  is a tree-decomposition for G, then  $\widehat{\mathsf{R}_\mathsf{G}}$  is a binary and balanced tree-decomposition of G.

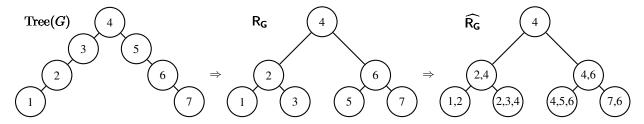


Fig. 3: Given the tree-decomposition Tree(G) on the left, the graph in the middle is the corresponding  $R_G$  and the one on the right is the corresponding balanced tree-decomposition  $\widehat{R_G} = \text{Replace}(R_G)$  after replacing each bag B with NhV(B).

#### **Lemma 8.** Algorithm Balance runs in O(b) time and space.

*Proof.* Let B be any bag that was assigned a rank when Rank was executed for a component pair  $(r_{\mathcal{C}}, X_{\mathcal{C}})$ . By Fact 2 and Lemma 7 Item 2, it is  $|X_{\mathcal{C}}| \leq |\mathsf{Nh}(B)| \leq 3$ . By Theorem 1,  $\mathrm{Tree}(G)$  can be preprocessed in O(b) time, such that each balancing separator of component  $\mathcal{C}$  is obtained in  $O(\log^2 |\mathcal{C}| \cdot \log |X_{\mathcal{C}}| + |X_{\mathcal{C}}| \cdot \log |X_{\mathcal{C}}|) = O(\log^2 |\mathcal{C}|)$  time. Hence when  $f = \mathsf{True}$ , Rank spends  $O(\log^2 |\mathcal{C}|)$  time in  $\mathcal{C}$ . Moreover, with O(b) additional preprocessing time, when  $f = \mathsf{False}$ , in O(1) time the desired bag B is obtained via at most three LCA queries of O(1) time each. We can write the following recurrences for the time complexity  $\mathcal{T}_f(b)$  of Rank on input of size b and flag f:

$$\begin{split} \mathcal{T}_{\mathsf{True}}(b) & \leq \sum_{i=1}^{3} \mathcal{T}_{\mathsf{False}} \left( \gamma_{\mathsf{True}}^{i} \cdot b \right) + O \left( \log^{2} b \right) \\ \mathcal{T}_{\mathsf{False}}(b) & \leq \sum_{i=1}^{3} \mathcal{T}_{\mathsf{True}} \left( \gamma_{\mathsf{False}}^{i} \cdot b \right) + O(1) \end{split}$$

with  $\gamma^i_{\mathsf{True}} \leq \frac{1}{2}$  and  $\sum_i \gamma^i_{\mathsf{True}} < 1$  and  $\sum_i \gamma^i_{\mathsf{False}} < 1$ . Initially the algorithm is called with  $f = \mathsf{True}$ , and the running time  $\mathcal{T}(b)$  satisfies the recurrence

$$\mathcal{T}(b) \le \sum_{i=1}^{9} \mathcal{T}\left(\gamma^{i} \cdot b\right) + O\left(\log^{2} b\right)$$

with  $\gamma^i \leq \frac{1}{2}$  and  $\sum_i \gamma_i < 1$ . It is easy to verify that  $\mathcal{T}(b) = O(b)$  as desired. The space complexity follows.  $\square$ 

We are now ready to wrap-up the results of this section. Given a tree-decomposition  $\mathrm{Tree}(G)$  of b bags and width t, Balance constructs a tree  $\widehat{\mathsf{R}_\mathsf{G}}$  which, by Lemma 6 is a tree-decomposition of G. By Lemma 7,  $\widehat{\mathsf{R}_\mathsf{G}}$  is balanced, and has width at most  $4 \cdot t + 3$ . Finally,  $\widehat{\mathsf{R}_\mathsf{G}}$  can be turned from ternary to binary with only a constant increase in its size, while maintaining the properties of being balanced and having width at most  $4 \cdot t + 3$ . These lead us to the following theorem.

**Theorem 2.** Given a graph G, let  $\mathcal{T}(G)$  be the time and  $\mathcal{S}(G)$  be the space required for constructing a tree-decomposition T = Tree(G) of b bags and width t. Then a balanced binary tree-decomposition T' of G with O(b) bags and width at most  $4 \cdot t + 3$  can be constructed in  $O(\mathcal{T}(G) + b)$  time and  $O(\mathcal{S}(G) + b)$  space.

We remark that in the statement of Theorem 2, the tree-decomposition T' is stored implicitly, as a rank tree  $R_G$ , where each bag B stores (at most) four pointers to bags in  $\mathrm{Tree}(G)$ , i.e., the bags corresponding to the bags in  $(\{B\} \cup \mathsf{Nh}(B))$ . Storing each bag of T' explicitly requires  $O(b \cdot t)$  time and and space (rather than O(b)).

The new tree-decomposition  $\widehat{R_G}$  can be made nice by a standard process which increases the height by a factor of at most t.

**Corollary 1.** Given a graph G, let  $\mathcal{T}(G)$  be the time and  $\mathcal{S}(G)$  be the space required for constructing a tree-decomposition  $T = \operatorname{Tree}(G)$  of b bags and width t. Then a nice binary tree-decomposition T' of G of width at most  $4 \cdot t + 3$  and height  $O(t \cdot \log b)$  can be constructed in  $O(\mathcal{T}(G) + b \cdot t)$  time and  $O(\mathcal{S}(G) + b \cdot t)$  space.

## 5 Lower Bound on Width of Balanced Tree-decompositons

In this section we will argue there exists a family  $\{G^n_t \mid n \geq 3 \cdot t \wedge n \equiv 0 \pmod{t}\}$  of graphs, such that the graph  $G^n_t$  has n nodes and treewidth  $2 \cdot t - 1$  and any tree-decomposition of  $G^n_t$ , with width t' and of height h is such that either  $h \geq \frac{n}{2 \cdot t'}$  or  $t' \geq 3 \cdot t - 1$ . Note that if t is  $o(n/\log n)$ , then only in the later case can the tree-decomposition be balanced. Thus, the width must increase by a factor when one constructs balanced tree-decompositions.

The graph  $G_t^n$ . The graph  $G_t^n$  is defined as follows. Let  $n'=\frac{n}{t}$ . For each  $i\in\{1,\ldots,n'\}$ , let  $V_i$  be a set of t nodes, such that  $V_i\cap V_j=\emptyset$  for  $i\neq j$  and  $V=\bigcup_i V_i$ . Also, let  $V_0=V_{n'+1}=\emptyset$ . For each  $i\in\{1,\ldots,n'\}$ , each node  $u\in V_i$  has an edge to each other node in  $V_{i-1}\cup V_i\cup V_{i+1}$ . There is an illustration of  $G_3^{18}$  in Figure 4.

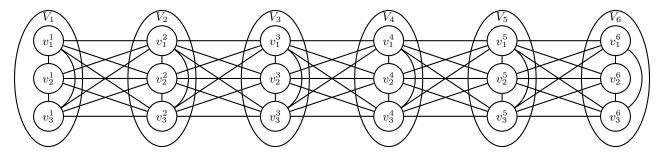


Fig. 4: The graph  $G_3^{18}$ .

We will first argue that the treewidth of  $G_t^n$  is at most 2t-1.

**Lemma 9.** For any t and n the treewidth of  $G_t^n$  is at most  $2 \cdot t - 1$ .

*Proof.* For each  $i \in \{1, ..., n'-1\}$  let bag  $B_i$  consist of  $V_i \cup V_{i+1}$ , and for  $i \le n'-2$ , let it be connected to bag  $B_{i+1}$ . It is easy to see that this is a tree-decomposition of  $G_t^n$ .

Next is a technical lemma that will let us do a case analysis at the end. For the remaining of this section, given a tree of bags T of a graph G, we denote with  $T_v$  the set of nodes of G that appear in the bags of T.

<sup>&</sup>lt;sup>3</sup> the treewidth of  $G_t^n$  is exactly 2t-1, but we will only show the upper bound

**Lemma 10.** For any t and n consider the graph  $G_t^n$  and a tree-decomposition  $\operatorname{Tree}(G_t^n) = (V_T, E_T)$  of it of width t' and height h. Either  $h \geq \frac{n}{2 \cdot t'}$  or there exists a bag B and numbers i, j where  $j - i \geq 2$  and such that  $V_i \cup V_j \subseteq B$ .

*Proof.* For each bag B in  $V_T$  with child-bags  $B_1, \ldots, B_k$  for some k we can without loss of generality assume that  $(T_v(B_i) \setminus B)$  (resp.  $V \setminus T_v(B)$ , if B is not the root) are non-empty, since we otherwise could simply remove the subtree  $T(B_i)$  (resp.  $V \setminus T_v(B)$ ) and still have a tree-decomposition with the same or lower height and width.

There are now two cases. Either (1) there exists a bag B with child-bags  $B_1, \ldots, B_k$  for some  $k \geq 2$  (or  $k \geq 3$ , in case B is the root); or (2) not. If not, the tree-decomposition forms a line with length at least  $\frac{n}{t'}$ , hence no matter how the root of the tree-decomposition is picked, the height is at least  $\frac{n}{2 \cdot t'}$ .

Otherwise, in case (1), pick three nodes  $v_1, v_2, v_3$ , one in each of  $(T_v(B_1) \setminus B)$ ,  $(T_v(B_2) \setminus B)$  and  $V \setminus T_v(B)$  (or  $(T_v(B_3) \setminus B)$  in case B is the root). Let i', j', k' be such that  $v_1 \in V_{i'}, v_2 \in V_{j'}$  and  $v_3 \in V_{k'}$ . We consider the case  $i' \leq j' \leq k'$  (the others are similar). We have that  $j' - i' \geq 2$  (resp.  $k' - j' \geq 2$ ), since otherwise there is an edge between  $v_1$  and  $v_2$  (resp.  $v_2$  and  $v_3$ ) and hence a path between them that does not intersect with nodes in B, contradicting Lemma 1. Also, we have that there exists an i and a j such that i' < i < j' < j < k' (hence,  $j - i \geq 2$ ) and such that each node in  $V_i \cup V_j$  is in B, since otherwise, there is at least one node in  $V_i$  for i' < i < j' (resp. in j' < i < k') which is not in B and thus no nodes in B on some path  $B : v_1 \leadsto v_2$  (resp.  $B : v_2 \leadsto v_3$ ), again contradicting Lemma 1. This completes the proof

The graph G(i, j, t, n). Given numbers i and j and the graph  $G_t^n$ , for some t and n, let G(i, j, t, n) be the graph similar to  $G_t^n$ , except that it has an edge between each pair of nodes in  $V_i \times V_j$ . There is an illustration of G(2, 5, 3, 18) in Figure 5.

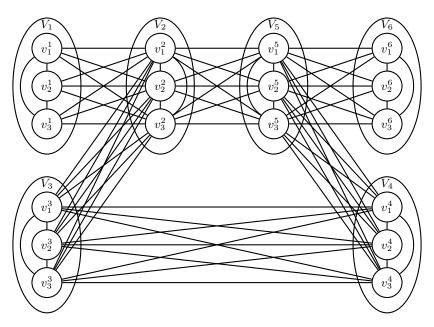


Fig. 5: The graph G(2, 5, 3, 18).

The k-cops and robber game. For some integer k, the k-cops and robber game is a two-player zero-sum game on a graph G, as defined by Seymour and Thomas [29]. Initially, player 1 selects a set of nodes  $X_0$  of size k, where he places a cop on each. Afterwards, player 2 selects a node  $r_0 \in (V \setminus X_0)$  and puts the robber on this node. At this point round 1 begins. In round i, for each  $i \ge 1$ , first player 1 selects a set of nodes  $X_i$  of size k and then player 2 selects a

node  $r_i$  and a path  $P: r_{i-1} \leadsto r_i$ , such that for each node  $v \in P$  we have that  $v \notin (X_i \cap X_{i-1})$ . If player 2 can not do so, player 1 wins, otherwise, the play continues with round i + 1. Player 2 wins in case player 1 never does.

As shown by [29], we have that player 1 has a winning strategy if and only if the treewidth of G is at most k-1. Otherwise player 2 has a winning strategy and the treewidth of G is k or more.

We will next argue that the treewidth of G(i, j, t, n) is a factor larger than the one of  $G_t^n$ .

**Lemma 11.** For any i, j, t, n, where  $j - i \ge 2$  the graph G(i, j, t, n) has treewidth at least  $3 \cdot t - 1$ .

Proof. We will argue that player 2 has a winning strategy in the (3t-1)-cops and robber game on G(i,j,t,n). The main idea of player 2's winning strategy is to stay inside  $V' = \bigcup_{\ell=i}^j V_\ell$ . Since  $j-i \geq 2$  we have that  $|V'| \geq 3 \cdot t$ . Observe that each node in V' has  $3 \cdot t - 1$  adjacent nodes in V'. The strategy is as follows: Let  $r_0$  be some node in  $(V' \setminus X_0)$  (such a node exists, since  $|X_0| = 3 \cdot t - 1$  and  $|V'| \geq 3 \cdot t$ ). In each round i, if  $r_{i-1} \notin X_i$ , set  $r_i = r_{i-1}$ , otherwise, pick a node  $r_i \in V'$  adjacent to  $r_{i-1}$  such that  $r_i \notin X_i$  and let P be the path  $(r_{i-1}, r_i)$ . Such a node exists, since  $r_{i-1}$  has  $3 \cdot t - 1$  distinct adjacent nodes in V' (each different from  $r_{i-1}$ ),  $|X_i| = 3 \cdot t - 1$  and  $r_{i-1} \in X_i$ . In either case, the cops will not catch the robber in that round and thus not ever.

We will next argue that any tree-decomposition of  $G_t^n$  has either large height or large width.

**Lemma 12.** For any n and t, consider a tree-decomposition  $\operatorname{Tree}(G_t^n)$  of  $G_t^n$  of width t' and height h. Either  $h \geq \frac{n}{2 \cdot t'}$  or  $t' \geq 3 \cdot t - 1$ .

*Proof.* If  $h \ge \frac{n}{2t'}$ , we are done. Otherwise, let B be a bag and i, j numbers where  $j - i \ge 2$  and such that  $V_i \cup V_j \subseteq B$ . Such a bag and numbers exists by Lemma 10. But then,  $\operatorname{Tree}(G_t^n)$  is also a tree-decomposition of G(i, j, t, n), since each edge between  $V_i$  and  $V_j$  is in B. We therefore get, by Lemma 11 that the width of  $\operatorname{Tree}(G_t^n)$  is at least  $3 \cdot t - 1$ .

**Theorem 3.** For numbers n and  $t = o(n/\log n)$ , the graph  $G_t^n$  has treewidth at most  $2 \cdot t - 1$ , but each balanced tree-decomposition of  $G_t^n$  has width at least  $3 \cdot t - 1$ .

*Proof.* The bound on t implies that any tree-decomposition of width  $t' < 3 \cdot t - 1$  and height h, such that  $h \ge \frac{n}{2 \cdot t'}$  cannot be balanced. The statement then follows from Lemmas 9 and 12.

## 6 Local Reachability

Consider a graph G = (V, E), with a tree-decomposition  $\mathrm{Tree}(G) = (V_T, E_T)$  of  $|V_T| = O(n)$  bags and width t. Here we present an algorithm for computing "local reachability" in each bag, in particular, for each bag B and nodes  $u, v \in B$ , compute whether  $(u, v) \in E^*$ . Our algorithm requires  $O(n \cdot t^2)$  time and  $O(n \cdot t)$  space.

Use of the set-list data structure. We use various operations on a set data structure A that contains nodes from a small subset  $V_A \subseteq V$  (i.e.,  $A \subseteq V_A \subseteq V$ ) of size bounded by t+1, for t being the treewidth of G. Each set data structure A is represented as a pair of lists  $(L_1, L_2)$  of size t+1 each. The list  $L_1$  stores  $V_A$  in some predefined order on V, and the list  $L_2$  is a binary list that indicates the elements of  $V_A$  that are in A. The initialization of A takes  $O(t \cdot \log t)$  time, simply by sorting  $V_A$  in  $L_1$ , and initializing  $L_2$  with ones in the indexes corresponding to elements in A. Intersecting two sets  $A_1$ ,  $A_2$ , and inserting in  $A_1$  all elements of  $V_{A_1} \cap A_2$  takes O(t) time, by simultaneously traversing the corresponding  $L_1$  lists of the sets in-order.

<sup>&</sup>lt;sup>4</sup> the treewidth of G(i, j, t, n) is exactly 3t - 1, but we will only show the lower bound

Forward and backward edges. Given a graph G = (V, E) and its tree-decomposition Tree(G), we represent the edges of G as two sets for each node u, using the set-list data structure:

```
FWD(u) = \{v : (u, v) \in E \text{ and } v \in B_u\}; \quad BWD(u) = \{v : (v, u) \in E \text{ and } v \in B_u\}
```

Clearly, for all  $u \in V$ , we have  $|FWD(u)| \le t+1$  and  $|BWD(u)| \le t+1$ . The following lemma states that the sets FWD(u) and BWD(u) store all edges in E. As a corollary, there are at most  $2 \cdot n \cdot t$  edges in a graph G with treewidth t. It is well-known that a slightly stronger statement can be shown (i.e. the number of edges is  $O(n \cdot t)$ , but the hidden constant is below 2), but this statement suffices for our applications.

**Lemma 13.** For all  $(u, v) \in E$ , we have  $v \in \text{FWD}(u)$  or  $u \in \text{BWD}(v)$ .

*Proof.* Consider some  $(u, v) \in E$ , such that Lv(v) < Lv(u). By the definition of tree-decomposition, there exists some  $B_i \in V_T$  such that  $u, v \in B_i$ . Then v appears in all bags  $B_i$  in the unique acyclic path  $P: B_i \leadsto B_v$ , and since  $Lv(v) \leq Lv(u)$ , the bag  $B_u$  appears in P. Hence  $v \in B_u$  and  $v \in FWD(u)$ . Similarly, if  $Lv(v) \geq Lv(u)$ , it follows that  $u \in BWD(v)$ .

Local reachability. We first extend the definition of forward and backward edges to reachability, and then define the local reachability relation. Given a tree-decomposition T = Tree(G) of a graph G and a node  $u \in V$ , we define the local forward and backward sets

$$\text{FWD}^*(u) = \{v : (u, v) \in E^* \text{ and } v \in B_u\}; \quad \text{BWD}^*(u) = \{v : (v, u) \in E^* \text{ and } v \in B_u\}$$

i.e.,  $FWD^*(u)$  (resp.  $BWD^*(u)$ ) is the set of nodes v that can be reached (resp. reach) u. Given a bag B, the local reachability relation is defined as

$$\mathsf{LR}\,(B) = \{(u,v): \ u,v \in B \ \mathsf{and} \ v \in \mathsf{FWD}^*(u) \ \mathsf{or} \ u \in \mathsf{BWD}^*(u)\}.$$

Clearly, for all  $u \in V$ , we have  $|FWD^*(u)| \le t + 1$  and  $|BWD^*(u)| \le t + 1$ . Given the sets  $FWD^*(u)$  and  $BWD^*(u)$ for all  $u \in V$ , the relation LR (B) can be constructed in  $O(t^2)$  time, for each  $B \in V_T$  (note that actually storing  $\mathsf{LR}(B)$  explicitly for all  $B \in V_T$  in total requires  $\Omega(n \cdot t^2)$  space, which is beyond our space requirements). Similarly to Lemma 13 it can be shown that for every bag B and all pairs of nodes  $u, v \in B, (u, v) \in E^*$  iff  $u \in BWD^*(v)$  or  $v \in \text{FWD}^*(u)$ .

Subsuming tree-decomposition. Our algorithm LOCREACH for local reachability computation is more elegantly stated on a nice tree-decomposition. In order to apply LOCREACH on any tree-decomposition T, we first construct a nice tree-decomposition T' out of T, and then execute LOCREACH on T'. Here we describe a slightly technical construction of such a T' such that (i) T' uses asymptotically the same space as T, and (ii) the local forward and backward sets of T and T' are equal.

Given a nice tree-decomposition  $T' = (V_T, E_T)$  and a tree-decomposition  $T = (V_T, E_T)$  of a graph G, we say that T' subsumes T if the following hold.

- 1. For every  $B' \in V_T'$  there exists  $B \in V_T'$  such that  $B' \subseteq B$  2. For every  $B \in V_T$ , there exists  $B' \in V_T'$  with B = B'.

Claim 4. For every tree-decomposition  $T = (V_T, E_T)$  with b bags and width t there exists a tree-decomposition  $T' = (V'_T, E'_T)$  of O(b) bags and width t that subsumes T. Moreover, T' uses  $O(b \cdot t)$  space and can be constructed in  $O(b \cdot t \cdot \log t)$  time.

*Proof.* Let  $B_1, \ldots B_b$  be the bags of  $V_T$ . We present an informal outline of the construction. Along the construction, we build a map  $f: V'_T \to \{1, \dots, b\}$ . First, create T' identical to T, and for each  $B_i \in V'_T$ , sort the nodes of  $B_i$  in some order, and let f(B) = i. Then, as long as one of the following cases holds, proceed accordingly.

- 1. If there exists a  $B \in V_T'$  with two children  $B^1$ ,  $B^2$  such that  $B \neq B^1$  or  $B \neq B^2$ , insert bags  $\overline{B}^1$  and  $\overline{B}^2$  in  $V_T'$  such that  $\overline{B}^1 = \overline{B}^2 = B$ . Make each  $\overline{B}^i$  a child of B, and parent of  $B^i$ . Set  $f(\overline{B}^i) = f(B)$ .
- 2. If there exists a  $B \in V_T'$  which is the root bag of k > 1 nodes  $u_1, \ldots, u_k$ , insert a line of k-1 bags  $B^1, \ldots, B^{k-1}$ , where  $B_i$  is the parent of  $B^{i+1}$ , and  $B^i = B \setminus \{u_{i+1}, \ldots, u_k\}$ . Make  $B^{k-1}$  the parent of B and set  $f(B^i) = f(B)$  for all i.
- 3. If there exists a  $B \in V_T'$  which introduces k > 1 nodes  $u_1, \ldots, u_k$ , insert a line of k-1 bags  $B^1, \ldots, B^{k-1}$ , where  $B_i$  is the child of  $B^{i+1}$ , and  $B^i = B \setminus \{u_{i+1}, \ldots, u_k\}$ . Make  $B^{k-1}$  the unique child of B and set  $f(B^i) = f(B)$  for all i.

Finally, in the above construction each  $B \in V'_T$  is not stored explicitly as a set, but implicitly as a pointer f(B) to a bag  $B_{f(B)}$  of T, and (optionally) two integers  $i_B$ ,  $j_B$ . A node  $u \in B_{f(B)}$  is considered to belong to B if one of the following holds.

- 1.  $B_{f(B)}$  is not the root bag of u, and u is not introduced in  $B_{f(B)}$ .
- 2.  $B_{f(B)}$  is the root bag of u and u is the i-th node with root bag  $B_{f(B)}$  and  $i \leq i_B$ .
- 3. u is the jth node introduced in  $B_{f(B)}$  and  $j \leq j_B$ .

It follows from the definitions that if none of the above three cases holds, T' is a nice tree-decomposition that subsumes T. The construction requires  $O(b \cdot t \cdot \log t)$  time to sort the nodes in each bag of T, and  $O(b \cdot t)$  time to construct the  $O(b \cdot t)$  bags of T'. The space used is  $O(b \cdot t)$  for storing the original T, plus  $O(b \cdot t)$  for storing a pointer and index in each bag of T'.

**Algorithm** Locreach. Given a graph G and a tree-decomposition  $T = \operatorname{Tree}(G)$  with O(n) bags and width t, we present an algorithm for computing the local forward and backward sets. First, construct a nice tree-decomposition  $T' = (V'_T, E'_T)$  of  $O(n \cdot t)$  bags which subsumes T, using the construction of Claim 4. The computation is then performed as a two-way pass on T'. For each node  $u \in V$  maintain two sets  $\operatorname{FWD}'(u)$  and  $\operatorname{BWD}'(u)$  using the set-list data structure, from the universe  $B_u$ . Initially set  $\operatorname{FWD}'(u) = \operatorname{FWD}(u)$  and  $\operatorname{BWD}'(u) = \operatorname{BWD}(u)$  for all  $u \in V$ . Given a bag B, define

$$\mathsf{LR}'(B) = \{(u, v) : u, v \in B \text{ and } v \in \mathsf{FWD}'(u) \text{ or } u \in \mathsf{BWD}'(u)\}.$$

- 1. First pass. Traverse T' level by level starting from the leaves (bottom-up), and for each encountered bag  $B_x$  that is the root bag of node x do as follows. For every pair of nodes  $u, v \in B$  for which  $(u, x), (x, v) \in LR'(B_x)$ , if  $Lv(u) \ge Lv(v)$  insert v in FWD'(u), otherwise insert u in BWD'(v).
- 2. Second pass. Traverse T' level by level starting from the root (top-down), and for each encountered bag  $B_x$  that is the root bag of node x do as follows. For every pair of nodes  $u, v \in B$  for which  $(u, v) \in LR'(B_x)$ , if  $v \in BWD'(x)$  insert u in BWD'(x), and if  $u \in FWD'(x)$  insert v in FWD'(x).

In the following we establish that at the end of the second pass it holds that  $\mathrm{FwD}'(u) = \mathrm{FwD}^*(u)$  and  $\mathrm{BwD}'(u) = \mathrm{BwD}^*(u)$  for each  $u \in V$ . We say that a path  $P: x_1, \ldots, x_k$ , is U-shaped in a bag B if  $x_1, x_k \in B$  and either k = 2, or for every 1 < i < k, the root bag of  $B_{x_i}$  is in T(B). The following lemma captures the main intuition behind U-shaped paths.

**Lemma 14.** Given a bag B and nodes  $u, v \in B$  such that exists an (acyclic) path  $P : u \leadsto v$  which is U-shaped in B, either |P| = 1 or  $P = (u, y_1, \dots, y_k, v)$  and P is U-shaped in  $B_x$ , where  $x = \arg\min_i \mathsf{Lv}(y_i)$ .

*Proof.* Decompose P to  $P_1: u \leadsto x$  and  $P_2: x \leadsto v$ , and we first argue that each  $P_i$  is U-shaped in  $B_x$ . We only focus on  $P_1$ , as the proof is similar for  $P_2$ . For any intermediate node y of  $P_1$ , the LCA L of  $B_x$  and  $B_y$  is  $B_x$ , otherwise by Lemma 1 the subpath  $y \leadsto x$  (or  $x \leadsto y$ ) of  $P_1$  would go through nodes of L of smaller level than Lv(x), contradicting our choice of x. Hence, every  $B_y$  of intermediate nodes y of  $P_1$  is contained in  $T(B_x)$ , and it remains to show that  $u \in B_x$ . Since P is U-shaped in B, we have that  $B_x$  is a descendant of B. If  $B = B_x$  we are done, otherwise let B' be the parent of  $B_x$ . By Lemma 2, there is a node  $y \in B' \cap B_x \cap P_1$ , and it follows that Lv(y) < Lv(x). The only such

**Lemma 15.** For each node  $u \in V$ , the algorithm Locreactly computes the sets  $FWD^*(u)$  and  $BWD^*(u)$ .

*Proof.* It is clear that  $\mathrm{FWD}'(u) \subseteq \mathrm{FWD}^*(u)$  and  $\mathrm{BWD}'(u) \subseteq \mathrm{BWD}^*(u)$ , that is, for every node v inserted in  $\mathrm{FWD}'(u)$  (resp.  $\mathrm{BWD}'(u)$ ) by the algorithm, we have  $(u,v) \in E^*$  (resp.  $(v,u) \in E^*$ ). The proof focuses on showing  $\mathrm{FWD}^*(u) \subseteq \mathrm{FWD}'(u)$  and  $\mathrm{BWD}^*(u) \subseteq \mathrm{BWD}'(u)$ . Note that by the initialization of  $\mathrm{FWD}'(u)$  and  $\mathrm{BWD}'(u)$  we have  $\mathrm{FWD}(u) \subseteq \mathrm{FWD}'(u)$  and  $\mathrm{BWD}(u) \subseteq \mathrm{BWD}'(u)$ . We first claim that after the first pass processes a bag B, for all  $u,v \in B$  for which there exists a (acyclic) path  $P:u \leadsto v$  that is U-shaped in B, we have  $(u,v) \in \mathrm{LR}'(B)$ . The claim follows by induction on the levels processed by the bottom-up pass.

- 1. It is trivially true for B being a leaf since |B| = 1.
- 2. If B is not a leaf, by Lemma 14 either |P|=1, or  $P=(u,y_1,\ldots,y_k,v)$  and P is U-shaped in  $B_x$ , where  $x=\arg\min_i \operatorname{Lv}(y_i)$ . If |P|=1, the claim follows from the initialization of FWD' and BWD'. Otherwise, if  $B_x\neq B$ , the proof follows from the induction hypothesis, as  $B_x$  is a descendant of B. Finally, if  $B_x=B$ , decompose P to  $P_1:u\leadsto x$  and  $P_2:x\leadsto v$ . Note that each such  $P_i$  is U-shaped in B, and by the same reasoning (i.e., by either  $|P_i|=1$  or the induction hypothesis) we get that  $(u,x),(x,v)\in\operatorname{LR}'(B)$ . Hence, after LOCREACH processes B, it will hold that either  $u\in\operatorname{BWD}'(v)$  or  $v\operatorname{FWD}'(u)$ , and thus  $(u,v)\in\operatorname{LR}'(B)$ .

We now claim that after the second pass processes a bag  $B_x$  that is the root bag of some node x, it holds that  $\mathrm{FWD}^*(x) \subseteq \mathrm{FWD}'(x)$  and  $\mathrm{BWD}^*(x) \subseteq \mathrm{BWD}'(x)$ . The claim follows by induction on the levels processed by the top-down pass.

- 1. The statement holds trivially if  $B_x$  is the root, since  $|B_x| = 1$ .
- 2. We now proceed inductively to some internal bag  $B_x$  examined by the algorithm in the second pass. We only focus on FwD'(x) (the argument is similar for BwD'(x)). Consider any node v such that there exists a (acyclic) path  $P: x \leadsto v$ . Let u be the first node in P for which  $B_u$  is not in  $T(B_x)$  and decompose P to  $P_1: x \leadsto u$  and  $P_2: u \leadsto v$ . By the choice of u, we have that  $P_1$  is U-shaped, thus by the first pass  $(x,u) \in LR'(B_x)$ . By condition T3 of the tree-decomposition,  $B_v$  is an ancestor of  $B_x$ , and hence the induction hypothesis applies to conclude that  $v \in FwD'(u)$  or  $u \in BwD'(v)$ , and thus  $(u,v) \in LR'(B_x)$ . Hence, after the second pass processes  $B_x$ , we have  $v \in FwD''(x)$ , as desired.

Figure 6 depicts the two passes. At the end of the computation, for all  $x \in V$  we have  $FWD'(x) = FWD^*(x)$  and  $BWD'(x) = BWD^*(x)$ , as desired.

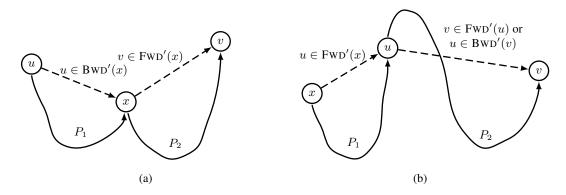


Fig. 6: Illustration of the two passes for the local reachability computation

**Lemma 16.** Algorithm LOCREACH requires  $O(n \cdot t^2)$  time and  $O(n \cdot t)$  space.

*Proof.* By Claim 4, the construction of T' is done in  $O(n \cdot t \cdot \log t)$  time and  $O(n \cdot t)$  space. The algorithm Locreach examines each of the  $O(n \cdot t)$  bags B once in each pass, hence it spends  $O(n \cdot t)$  time in traversing T'. For each bag  $B_x$ , Locreach spends  $O(t^2)$  time to iterate over all pairs  $u, v \in B_x$ , and O(t) time to update each of the O(t) FWD' and BWD' sets, hence it spends  $O(t^2)$  time in total in  $B_x$ . There are n such bags  $B_x$  that are the root bags of a node x, hence the total time of Locreach is  $O(n \cdot t^2)$ . The space bound follows from the size of all forward and backward sets, and the size required to store T and T'.

**Theorem 4.** Given a graph G = (V, E) and a tree-decomposition  $\operatorname{Tree}(G)$  of G of width t and O(n) bags, the algorithm LOCREACH correctly computes the local forward and backward sets, and uses  $O(n \cdot t^2)$  time and  $O(n \cdot t)$  space.

Remark 1. Given a weight function  $w: E \to \mathbb{Z}$ , the two passes of Locreach can be easily modified to compute the local distances in each bag (i.e., for any pair of nodes u, v in a bag, the weight of the minimum weight  $u \leadsto v$  path), with no time or space overhead. Informally, every time a node v is inserted to the  $\mathrm{FWD}^*(u)$  (or u to  $\mathrm{BWD}^*(v)$ ), we also insert a number r which corresponds to the weight of the minimum weight path between u and v, among all paths examined so far. Lemma 15 can be used to show that eventually all acyclic paths between u and v are considered, thus discovering the distance from u to v (or reporting that a negative cycle exists). The focus of the present work is on reachability, and these claims will not be presented formally.

# 7 Optimal Reachability for Low Treewidth Graphs

In this section we present a data-structure Reachability which takes as input a graph G of n nodes and treewidth t, and preprocess it in order to answer single-source and pair reachability queries.

**Intuition.** Informally, the preprocessing consists of first obtaining a binary and balanced tree-decomposition T of G, and computing the local reachability information in each bag. Then, the whole of preprocessing is done on T, by constructing two types of sets, which are represented as bit sequences and packed into words of length  $W = \Theta(\log n)$ . Initially, every node u receives an index  $i_u$ , such that for every bag B, the indexes of nodes whose root bag is in T(B) form a contiguous interval. Then, the following two types of sets are constructed.

- 1. Sets that store information about subtrees. Specifically, for every node u, the set  $\mathsf{F}_u$  stores the relative indexes of nodes v that can be reached from u, and whose root bag is in  $T(B_u)$ . These sets are used to answer single-source queries.
- 2. Sets that store information about parents. Specifically, for every node u, two sequences of sets are stored  $(\mathsf{F}_u^i)_{0 \leq i \leq \mathsf{Lv}(u)}, (\mathsf{T}_u^i)_{0 \leq i \leq \mathsf{Lv}(u)},$  such that  $\mathsf{F}_u^i$  (resp.  $\mathsf{T}_u^i$ ) contains the relative indexes of nodes v in the ancestor bag  $B_i$  of  $B_u$  at level i, such that  $(u,v) \in E^*$  (resp.  $(v,u) \in E^*$ ). These sets are used to answer pair queries.

The sets of the first type are constructed by a bottom-up pass, whereas the sets of the second type are constructed by a top-down pass. Both passes are based on the separator property of tree-decompositions (recall Lemma 1 and Lemma 2), which informally states that reachability properties between nodes in distant bags will be captured transitively, through nodes in intermediate bags.

Reachability **Preprocessing.** We now give a formal description of the preprocessing of Reachability that takes as input a graph G of n nodes and treewidth t, and preprocesses it in order to answer single-source and pair reachability queries. We say that we "insert" set A to set A' meaning that we replace A' with  $A \cup A'$ . Sets are represented as bit sequences where 1 denotes membership in the set, and the operation of inserting a set A "at the i-th position" of a set A' is performed by taking the bit-wise logical OR between A and the segment [i, i+|A|] of A'. The preprocessing consists of the following steps.

- 1. Obtain a binary, balanced tree-decomposition T = Tree(G) of G with O(n) bags and width t (from Theorem 2), and preprocess T to answer LCA queries in O(1) time (since T is balanced, this is standard).
- 2. Compute the local forward and backward sets of each node  $u \in V$  (from Theorem 4).
- 3. Apply a pre-order traversal on T, and assign an incremental index  $i_u$  to each node u at the time the root bag B of u is visited. If there are multiple nodes u for which B is the root bag, assign the indexes to those nodes in some arbitrary order. Additionally, store the number  $s_u$  of nodes whose root bags are in T(B) with index at least  $i_u$ . Finally, for each bag B and  $u \in B$ , assign a unique local index  $l_u^B$  to u, and store in B the number of nodes  $a_B$  contained in all ancestors of B, and the number  $b_B$  of nodes in B.
- 4. For every node u, initialize a bit set  $F_u$  of length  $s_u$ , pack it into words, and set the first bit to 1. Traverse T bottom-up, and for every bag B do as follows. For every pair of nodes  $(u,v) \in LR(B)$  such that B is the root bag of v and  $i_u < i_v$ , insert  $F_v$  to the segment  $[i_v i_u, i_v i_u + s_v]$  of  $F_u$  (hence, the nodes reachable from v now become reachable from u, through v).
- 5. For every node u initialize two sequences of bit sets  $(\mathsf{T}_u^i)_{0 \leq i \leq \mathsf{Lv}(u)}, (\mathsf{F}_u^i)_{0 \leq i \leq \mathsf{Lv}(u)},$  each of size  $b_{B_i}$ , where  $B_i$  is the ancestor of  $B_u$  at level i, and pack them into consecutive words.
- 6. Traverse T top-down, and maintain two sequences of bit sets  $(\overline{\mathsf{T}}_x^i)_{0 \leq i \leq \mathsf{Lv}(B)}$  and  $(\overline{\mathsf{F}}_x^i)_{0 \leq i \leq \mathsf{Lv}(B)}$  for every node x in the current bag B, where the size of  $\overline{\mathsf{T}}_x^i$  and  $\overline{\mathsf{F}}_x^i$  is the size  $b_{B_i}$  of the ancestor of B in level i. Initially, B is the root of T, and set the position  $l_w^B$  of  $\overline{\mathsf{F}}_x^0$  (resp.  $\overline{\mathsf{T}}_x^0$ ) to 1 for every node w in  $\mathsf{FWD}^*(x)$  (resp.  $\mathsf{BWD}^*(x)$ ). For each encountered bag B, do as follows:
  - (a) Delete all set sequences  $(\overline{\mathsf{T}}_x^i)_i$  and  $(\overline{\mathsf{F}}_x^i)_i$  for each  $x \notin B$ .
  - (b) For each remaining set sequence of a node x, create a set  $\overline{\mathsf{T}}_x$  (resp.  $\overline{\mathsf{F}}_x$ ) of  $b_B$  0s, and for every  $w \in B$  such that  $(x,w) \in \mathsf{LR}(B)$  (resp.  $(w,x) \in \mathsf{LR}(B)$ ), set the  $l_w^B$ -th bit of  $\overline{\mathsf{F}}_x$  (resp.  $\overline{\mathsf{T}}_x$ ) to 1. Append the set  $\overline{\mathsf{T}}_x$  (resp.  $\overline{\mathsf{F}}_x$ ) to  $(\overline{\mathsf{T}}_x^i)_i$  (resp.  $(\overline{\mathsf{F}}_x^i)_i$ ).
  - (c) For each  $u \in B$  whose root bag is B initialize set sequences  $(\overline{\mathsf{F}}_u^i)_i$  and  $(\overline{\mathsf{T}}_u^i)_i$  of  $a_B + b_B$  0s each, and set the bit at position  $l_u^B$  of  $\overline{\mathsf{F}}_u^{\mathsf{Lv}(B)}$  and  $\overline{\mathsf{T}}_u^{\mathsf{Lv}(B)}$  to 1. For every  $w \in \mathsf{FWD}^*(u)$  (resp.  $w \in \mathsf{BWD}^*(u)$ ), insert  $(\overline{\mathsf{F}}_w^i)_i$  (resp.  $(\overline{\mathsf{T}}_u^i)_i$ ) to  $(\overline{\mathsf{F}}_u^i)_i$  (resp.  $(\overline{\mathsf{T}}_u^i)_i$ ).
  - (d) Finally, set  $(\mathsf{F}_u^i)_i$  (resp.  $(\mathsf{T}_u^i)_i$ ) equal to  $(\overline{\mathsf{F}}_u^i)_i$  (resp.  $(\overline{\mathsf{T}}_u^i)_i$ ).

It is fairly straightforward that at the end of the preprocessing, the *i*-th position of each set  $F_u$  is 1 only if  $(u, v) \in E^*$ , where v is such that  $i_v - i_u = i$ . The following lemma states the opposite direction, namely that all such *i*-th positions will be 1, as long as the path  $P: u \leadsto v$  only visits nodes with certain indexes.

**Lemma 17.** At the end of preprocessing, for every node u and v with  $i_u \leq i_v \leq i_u + s_u$ , if there exists a path  $P: u \leadsto v$  such that for every  $w \in P$ , we have  $i_u \leq i_w \leq i_u + s_u$ , then the  $i_v - i_u$ -th bit of  $F_u$  is 1.

*Proof.* We prove inductively the following claim. For every ancestor B of  $B_v$ , if there exists  $w \in B$  and a path  $P_1: w \leadsto v$ , then exists  $x \in B \cap P_1$  such that  $i_x \le i_v \le i_x + s_x$  and the  $i_v - i_x$ -th bit of  $F_x$  is 1. The proof is by induction on the length of  $P_2: B \leadsto B_v$ .

- 1. If  $|P_2| = 0$ , the statement is true by taking x = v, since the 0-th bit of  $F_v$  is 1.
- 2. If  $|P_2| > 0$ , examine the child B' of B in  $P_2$ . By Lemma 2, there exists  $x \in B \cap B' \cap P$ , and let  $P_3: x \leadsto v$ . By the induction hypothesis there exists some  $y \in B' \cap P_3$  with  $i_y \le i_v \le i_y + s_y$  and the  $i_v i_y$ -th bit of  $\mathsf{F}_y$  is 1. If  $y \in B$ , we are done. Otherwise, B' is the root bag of y, and by the local distance computation, it is  $(x,y) \in \mathsf{LR}(B')$ . Additionally, by construction  $i_x \le i_y$  and  $s_x \ge s_y + i_y i_x$ , thus by the induction hypothesis,  $i_x \le i_v \le i_x + s_x$ . Then  $\mathsf{F}_y$  is inserted in position  $i_y i_x$  of  $\mathsf{F}_x$ , thus the bit at position  $i_y i_x + i_v i_y = i_v i_x$  of  $\mathsf{F}_x$  will be 1, and we are done.

When  $B_u$  is examined, by the above claim there exists  $x \in P$  such that  $i_x \le i_v$  and the  $i_v - i_x$ -th bit of  $\mathsf{F}_x$  is 1. If x = u we are done. Otherwise,  $B_u$  is also the root bag of x, and  $\mathsf{F}_x$  is inserted in position  $i_x - i_u$  of  $\mathsf{F}_u$ , and hence the bit at position  $i_x - i_u + i_v - i_x = i_v - i_u$  of  $\mathsf{F}_x$  will be 1, as desired.

**Lemma 18.** At the end of preprocessing, for every node u and  $v \in B_i$  where  $B_i$  is the ancestor of  $B_u$  at level i, we have that if  $(u, v) \in E^*$  (resp.  $(v, u) \in E^*$ ), then the  $l_v^{B_i}$ -th bit of  $\mathsf{F}_u^i$  (resp.  $\mathsf{T}_u^i$ ) is 1.

**Lemma 19.** Given a graph G with n nodes and treewidth t, let  $\mathcal{T}(G)$  be the time and  $\mathcal{S}(G)$  be the space required for constructing a tree-decomposition of G with O(n) bags and width t. The preprocessing phase of Reachability on G requires  $O(\mathcal{T}(G) + n \cdot t^2)$  time and  $O(\mathcal{S}(G) + n \cdot t)$  space.

*Proof.* We establish the complexity of each preprocessing step separately.

- 1. By Theorem 2, this step requires  $O(\mathcal{T}(G)+n)$  time and  $O(\mathcal{S}(G)+n)$  space for obtaining a binary, balanced tree-decomposition of b=O(n) bags, O(t) width, and height  $h=O(\log b)=O(\log n)$ . By a standard construction for balanced trees, preprocessing T to answer LCA queries in O(1) time requires O(b)=O(n) time.
- 2. By Theorem 4, this step requires  $O(n \cdot t^2)$  time and  $O(n \cdot t)$  space.
- 3. Every bag B is visited once, and all operations on B take constant time, hence this step requires  $O(b \cdot t) = O(n \cdot t)$  time.
- 4. The space required in this step is the space for storing all the sets  $F_u$  of size  $s_u$  each, packed into words of length W:

$$\begin{split} \sum_{u \in V} \left\lceil \frac{s_u}{W} \right\rceil &= \sum_{i=0}^h \sum_{u: \mathsf{Lv}(u)=i} \left\lceil \frac{s_u}{W} \right\rceil \leq \sum_{i=0}^h \sum_{u: \mathsf{Lv}(u)=i} \left( \frac{s_u}{W} + 1 \right) \\ &= \frac{1}{W} \cdot \sum_{i=0}^h \sum_{u: \mathsf{Lv}(u)=i} s_u + \sum_{i=0}^h \sum_{u: \mathsf{Lv}(u)=i} 1 \leq \frac{1}{W} \cdot \sum_{i=0}^h n \cdot t + n = O(n \cdot t) \end{split}$$

since  $h = O(\log n)$  and  $W = \Theta(\log n)$ . Note that we have  $\sum_{u: \mathsf{Lv}(u) = i} s_u \le n \cdot t$  because  $|\bigcup_u \mathsf{F}_u| \le n$  and every element of  $\bigcup_u \mathsf{F}_u$  belongs to at most t such sets  $\mathsf{F}_u$  (i.e., for those u that share the same root bag at level i). The time required in this step is  $O(n \cdot t)$  in total for iterating over all pairs of nodes (u, v) in each bag B such that B is the root bag of either u or v, and  $O(n \cdot t)$  for the set operations, by amortizing a constant number of operations per word used.

5. The time and space required for storing each sequence of the sets  $(\mathsf{F}^i_u)_{0 \leq i \leq \mathsf{Lv}(u)}$  and  $(\mathsf{T}^i_u)_{0 \leq i \leq \mathsf{Lv}(u)}$  is:

$$\sum_{u \in V} 2 \cdot \left\lceil \frac{a_{B_u} + b_{B_u}}{W} \right\rceil \le 2 \cdot n \cdot \left\lceil \frac{t \cdot h}{W} \right\rceil = O(n \cdot t)$$

since  $a_{B_u} + b_{B_u} \le t \cdot h$ ,  $h = O(\log n)$  and  $W = \Theta(\log n)$ .

6. The space required is the space for storing the set sequences  $(\overline{\mathsf{T}}_v^i)$  and  $(\overline{\mathsf{F}}_v^i)$ , which is  $O(t^2)$  by a similar argument as in the previous item. The time required is O(t) for initializing every new set sequence  $(\overline{\mathsf{T}}_u^i)$  and  $(\overline{\mathsf{F}}_u^i)$  and this will happen once for each node u at its root bag  $B_u$ , hence the total time is  $O(n \cdot t)$ .

Reachability Querying. Given the preprocessing of Reachability, each query is answered as follows.

Pair query. Given a pair query (u,v), find the LCA B of bags  $B_u$  and  $B_v$ . Obtain the sets  $\mathsf{F}_u^{\mathsf{Lv}(B)}$  and  $\mathsf{T}_v^{\mathsf{Lv}(B)}$  of size  $b_B$ . Both sets start in bit position  $a_B$  of the sequences  $(\mathsf{F}_u^i)_i$  and  $(\mathsf{T}_v^i)_i$ . Return True iff the logical-AND of the sets  $\mathsf{F}_u^{\mathsf{Lv}(B)}$  and  $\mathsf{T}_v^{\mathsf{Lv}(B)}$  contains a non-0 entry.

Single-source query. Given a single-source query u, create a bit set A of size n, initially all 0s. Then start from  $B_u$ , and for every ancestor  $B_i$  of  $B_u$  at level i, for every node  $v \in B_i$  whose root bag is  $B_i$ , if the  $l_v^B$ -th bit of  $\mathsf{F}_u^i$  is 1, insert  $\mathsf{F}_v$  to the segment  $[i_v, i_v + s_v]$  of A. Report that the set of nodes v reached from v is those for which the v-th bit of v is 1.

**Lemma 20.** After the preprocessing phase of Reachability, pair and single-source reachability queries are answered correctly in  $O\left(\left\lceil \frac{t}{\log n}\right\rceil\right)$  and  $O\left(\frac{n \cdot t \cdot \log t}{\log n}\right)$  time respectively.

*Proof.* The correctness of the pair query comes immediately from Lemma 18 and Lemma 1, which implies that every path  $u \leadsto v$  must go through the LCA of  $B_u$  and  $B_v$ . The time complexity follows from the  $O\left(\left\lceil \frac{t}{W}\right\rceil\right)$  word operations on the sets  $\mathsf{F}_u^{\mathsf{Lv}(B)}$  and  $\mathsf{T}_v^{\mathsf{Lv}(B)}$  of size O(t) each. Now consider the single-source query from a node u. Let v be any node such that there is a path  $P: u \leadsto v$ , and let x be the node with the smallest index in P. It follows from Lemma 1 that  $B_x$  is an ancestor of  $B_u$ , and by Lemma 17, the  $i_v - i_x$  bit in  $\mathsf{F}_x$  will be 1. Hence when  $B_x$  is examined by the query phase of Reachability,  $\mathsf{F}_x$  will be inserted in position  $i_x$  of A, and the  $i_v$  bit of A will be 1. This concludes the correctness of the single-source reachability query. Regarding the time complexity, there are at most t nodes u whose root bag is the current examined bag  $B_i$  at level i, and the size of each  $\mathsf{F}_u$  is  $\min\left(\frac{b\cdot t}{2^i},n\right)$ , hence:

$$\sum_{i=0}^h t \cdot \left\lceil \min\left(\frac{b \cdot t}{2^i}, n\right) \cdot \frac{1}{W} \right\rceil = t \cdot \left(\sum_{i=0}^{\log t} \left\lceil \frac{n}{W} \right\rceil + \sum_{i=\log t}^h \left\lceil \frac{b \cdot t}{2^i \cdot W} \right\rceil \right) = t \cdot \left(\frac{n \cdot \log t}{W} + \frac{b}{W} + h\right) = O\left(\frac{n \cdot t \cdot \log t}{\log n}\right)$$

since 
$$h = O(\log n)$$
,  $b = O(n)$  and  $W = \Theta(\log n)$ .

**Theorem 5.** Given a graph G of n nodes and treewidth t, let  $\mathcal{T}(G)$  be the time and  $\mathcal{S}(G)$  be the space required for constructing a tree-decomposition  $\mathrm{Tree}(G)$  of O(n) bags and width t on a standard RAM with wordsize  $W = \Theta(\log n)$ . The data-structure Reachability requires  $O(\mathcal{T}(G) + n \cdot t^2)$  preprocessing time,  $O(\mathcal{S}(G) + n \cdot t)$  preprocessing space, and correctly answers (i) pair reachability queries in  $O\left(\left\lceil \frac{t}{\log n} \right\rceil\right)$  time, (ii) single-source reachability queries in  $O\left(\left\lceil \frac{n \cdot t \cdot \log t}{\log n} \right\rceil\right)$  time.

Remark 2. A single-source query can alternatively be answered by breaking it down to n pair queries, which requires  $O\left(n\cdot\left\lceil\frac{t}{\log n}\right\rceil\right)$  time. Then the time required for a single-source query can be written as  $O\left(\min\left(\frac{n\cdot t\cdot \log t}{\log n},n\cdot\left\lceil\frac{t}{\log n}\right\rceil\right)\right)$ .

Finally, for constant treewidth graphs we have that  $\mathcal{T}(G) = O(n)$  and  $\mathcal{S}(G) = O(n)$  [10], and thus along with Theorem 5 we obtain the following corollary.

**Corollary 2.** Given a graph G of n nodes and constant treewidth, the data-structure Reachability requires O(n) preprocessing time and space, and correctly answers (i) pair reachability queries in O(1) time, (ii) single-source reachability queries in  $O\left(\frac{n}{\log n}\right)$  time.

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## A Experimental results

We have applied our algorithm Reachability to a number of benchmarks of the DaCapo benchmark suit [6] that consist of real-world Java programs. Our results clearly demonstrate that our preprocessing is faster than the complete preprocessing (all-pairs reachability) and we obtain a significant speedup of our single-source query over the BFS, even by factors of 15-30 times faster. Each benchmark gives a collection of graphs (control-flow graph for methods of the program). We report the average size and the average treewidth of the graphs (with at least five hundred nodes) for each benchmark, and report the average running time over all pair queries, and all single-source queries (i.e., the average is over all possible queries). Note that the advantages of Reachability are demonstrated on relatively small graphs (n is small), which indicates that the hidden constants in the O-notations are small. The  $O(\log n)$  improvement over DFS/BFS will be more pronounced for larger n. Our results are reported in Table 2. We thank Prateesh Goyal for a help with the implementation of our algorithm.

		Preprocessing		Query				
				Single		Pair		
	n	$\mid t \mid$	Our	Complete Preprocess	Our	No Preprocess	Our	No Preprocess
antlr	698	1.0	89027	136145	15.3	166.3	0.15	14.34
bloat	696	2.3	27597	54335	3.9	72.5	0.10	14.34
chart	1159	1.5	28887	90709	2.3	80.9	0.13	22.32
eclipse	656	1.6	44930	138905	6.7	239.1	0.19	15.76
fop	1209	1.7	36284	91795	2.9	60.6	0.12	43.0
hsqldb	698	1.0	73076	180333	13.0	219.0	0.14	13.89
jython	748	1.5	52176	68687	7.2	85.7	0.11	12.84
luindex	885	1.3	46212	142005	5.6	202.7	0.16	26.44
lusearch	885	1.3	63809	189251	12.8	211.4	0.13	26.01
pmd	644	1.4	37686	52527	2.5	83.9	0.13	12.5
xalan	698	1.0	70967	138420	8.0	235.0	0.19	14.28
Jflex	1091	1.6	60468	91742	3.1	50.8	0.11	20.46
muffin	1022	1.7	34733	66708	2.6	52.7	0.10	18.57
javac	711	1.8	43089	59793	4.8	75.2	0.11	11.86
polyglot	698	1.0	81762	150799	12.2	184.5	0.14	14.14

Table 2: Mean times in microseconds.