# Optimal Dyck Reachability for Data-dependence and Alias Analysis

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A fundamental algorithmic problem at the heart of static analysis is Dyck reachability. The input is a graph where the edges are labeled with different types of opening and closing parentheses, and the reachability information is computed via paths whose parentheses are properly matched. We present new results for Dyck reachability problems with applications to alias analysis and data-dependence analysis. Our main contributions, that include improved upper bounds as well as lower bounds that establish optimality guarantees, are as follows:

First, we consider Dyck reachability on bidirected graphs, which is the standard way of performing field-sensitive points-to analysis. Given a bidirected graph with n nodes and m edges, we present: (i) an algorithm with worst-case running time  $O(m + n \cdot \alpha(n))$ , where  $\alpha(n)$  is the inverse Ackermann function, improving the previously known  $O(n^2)$  time bound; (ii) a matching lower bound that shows that our algorithm is optimal wrt to worst-case complexity; and (iii) an optimal average-case upper bound of O(m) time, improving the previously known  $O(m \cdot \log n)$  bound.

Second, we consider the problem of context-sensitive data-dependence analysis, where the task is to obtain analysis summaries of library code in the presence of callbacks. Our algorithm preprocesses libraries in almost linear time, after which the contribution of the library in the complexity of the client analysis is only linear, and only wrt the number of call sites.

Third, we prove that combinatorial algorithms for Dyck reachability on general graphs with truly subcubic bounds cannot be obtained without obtaining sub-cubic combinatorial algorithms for Boolean Matrix Multiplication, which is a long-standing open problem. Thus we establish that the existing combinatorial algorithms for Dyck reachability are (conditionally) optimal for general graphs. We also show that the same hardness holds for graphs of constant treewidth.

Finally, we provide a prototype implementation of our algorithms for both alias analysis and data-dependence analysis. Our experimental evaluation demonstrates that the new algorithms significantly outperform all existing methods on the two problems, over real-world benchmarks.

CCS Concepts: • Theory of computation → Program analysis; Graph algorithms analysis;

Additional Key	Words and	Phrases: data	-dependence a	analysis, CF	L reachability	, Dyck reachabil	ity, Bidirected
graphs, treewi	dth						

#### 1 INTRODUCTION

In this work we present improved upper bounds, lower bounds, and experimental results for algorithmic problems related to Dyck reachability, which is a fundamental problem in static analysis. We present the problem description, its main applications, the existing results, and our contributions.

**Static analysis and language reachability.** Static analysis techniques obtain information about programs without running them on specific inputs. These techniques explore the program behavior for all possible inputs and all possible executions. For non-trivial programs, it is impossible to explore all the possibilities, and hence various approximations are used. A standard way to express a plethora of static analysis problems is via *language reachability* that generalizes graph reachability. The input consists of an underlying graph with labels on its edges from a fixed alphabet, and a language, and reachability paths between two nodes must produce strings that belong to the given language [Reps 1997; Yannakakis 1990].

CFL and Dyck reachability. An extremely important case of language reachability in static analysis is *CFL* reachability, where the input language is context-free, which can be used to model, e.g., context-sensitivity or field-sensitivity. The CFL reachability formulation has applications to a very wide range of static analysis problems, such as interprocedural data-flow analysis [Reps et al. 1995], slicing [Reps et al. 1994], shape analysis [Reps 1995], impact analysis [Arnold 1996], type-based flow analysis [Rehof and Fähndrich 2001] and alias/points-to analysis [Shang et al. 2012; Sridharan and Bodík 2006; Sridharan et al. 2005; Xu et al. 2009; Yan et al. 2011a; Zheng and Rugina 2008], etc. In practice, widely-used large-scale analysis tools, such as Wala [Wal 2003] and Soot [Bodden 2012; Vallée-Rai et al. 1999], equip CFL reachability techniques to perform such analyses. In most of the above cases, the languages used to define the problem are those of properly-matched parenthesis, which are known as Dyck languages, and form a proper subset of context-free languages. Thus Dyck reachability is at the heart of many problems in static analysis.

Alias analysis. Alias analysis has been one of the major types of static analysis and a subject of extensive study [Choi et al. 1993; Hind 2001; Landi and Ryder 1992; Sridharan et al. 2013]. The task is to decide whether two pointer variables may point to the same object during program execution. As the problem is computationally expensive [Horwitz 1997; Ramalingam 1994], practically relevant results are obtained via approximations. One popular way to perform alias analysis is via points-to analysis, where two variables may alias if their points-to sets intersect. Points-to analysis is typically phrased as a Dyck reachability problem on Symbolic Points-to Graphs (SPGs), which contain information about variables, heap objects and parameter passing due to method calls [Xu et al. 2009; Yan et al. 2011a]. In alias analysis there is an important distinction between context and field sensitivity, which we describe below.

• Context vs field sensitivity. Typically, the Dyck parenthesis are used in SPGs to specify two types of constraints. Context sensitivity refers to the requirement that reachability paths must respect the calling context due to method calls and returns. Field sensitivity refers to the requirement that reachability paths must respect field accesses of composite types in Java [Sridharan and Bodík 2006; Sridharan et al. 2005; Xu et al. 2009; Yan et al. 2011a], or references and dereferences of pointers [Zheng and Rugina 2008] in C. Considering both types of sensitivity makes the problem undecidable [Reps 2000]. Although one recent workaround is approximation algorithms [Zhang and Su 2017], the standard approach has been to consider only one type of sensitivity. Field

sensitivity has been reported to produce better results, and being more scalable [Lhoták and Hendren 2006]. We focus on context-insensitive, but field-sensitive points-to analysis.

**Data-dependence analysis.** Data-dependence analysis aims to identify the def-use chains in a program. It has many applications, including slicing [Reps et al. 1994], impact analysis [Arnold 1996] and bloat detection [Xu et al. 2010]. It is also used in compiler optimizations, where data dependencies are used to infer whether it is safe to reorder or parallelize program statements [Kuck et al. 1981]. Here we focus on the distinction between *library vs client analysis* and the challenge of *callbacks*.

- Library vs Client. Modern-day software is developed in multiple stages and is interrelated. The vast majority of software development relies on existing libraries and third-party components which are typically huge and complex. At the same time, the analysis of client code is ineffective if not performed in conjunction with the library code. These dynamics give rise to the potential of analyzing library code once, in an offline stage, and creating suitable analysis summaries that are relevant to client behavior only. The benefit of such a process is two-fold. First, library code need only be analyzed once, regardless of the number of clients that link to it. Second, it offers fast client-code analysis, since the expensive cost of analyzing the huge libraries has been spent offline, in an earlier stage. Data-dependence analysis admits a nice separation between library and client code, and has been studied in [Palepu et al. 2017; Tang et al. 2015].
- The challenge of callbacks. As pointed out recently in [Tang et al. 2015], one major obstacle to effective library summarization is the presence of callbacks. Callback functions are declared and used by the library, but are implemented by the client. Since these functions are missing when the library code is analyzed, library summarization is ineffective and the whole library needs to be reanalyzed on the client side, when callback functions become available.

Algorithmic formulations and existing results. We describe below the key algorithmic problems in the applications mentioned above and the existing results. We focus on data-dependence and alias analysis via Dyck reachability, which is the most standard way for performing such analysis. Recall that the problem of Dyck reachability takes as input a (directed) graph, where some edges are marked with opening and closing parenthesis, and the task is to compute for every pair of nodes whether there exists a path between them such that the parenthesis along its edges are matched.

- (1) *Points-to analysis*. Context-insensitive, field-sensitive points-to analysis via Dyck reachability is phrased on an SPG G with n nodes and m edges. Additionally, the graph is *bidirected*, meaning that if G has an edge (u,v) labeled with an opening parenthesis, then it must also have the edge (v,u) labeled with the corresponding closing parenthesis. Bidirected graphs are found in most existing works on on-demand alias analysis via Dyck reachability, and their importance has been remarked in various works [Yuan and Eugster 2009; Zhang et al. 2013]. The best existing algorithms for the problem appear in the recent work of [Zhang et al. 2013], where two algorithms are proposed. The first has  $O(n^2)$  worst-case time complexity; and the second has  $O(m \cdot \log n)$  average-case time complexity and  $O(m \cdot n \cdot \log n)$  worst-case complexity. Note that for dense graphs  $m = \Theta(n^2)$ , and the first algorithm has better average-case complexity too.
- (2) Library/Client data-dependence analysis. The standard algorithmic formulation of context-sensitive data-dependence analysis is via Dyck reachability, where the parenthesis are used to properly match method calls and returns in a context-sensitive way [Reps 2000; Tang et al. 2015]. The algorithmic approach to Library/Client Dyck reachability consists of considering

two graphs  $G_1$  and  $G_2$ , for the library and client code respectively. The computation is split into two phases. In the *preprocessing phase*, the Dyck reachability problem is solved on  $G_1$  (using a CFL/Dyck reachability algorithm), and some summary information is maintained, which is typically in the form of some subgraph  $G'_1$  of  $G_1$ . In the *query phase*, the Dyck reachability is solved on the combination of the two graphs  $G'_1$  and  $G_2$ . Let  $n_1$ ,  $n_2$  and  $n'_1$  be the sizes of  $G_1$ ,  $G_2$  and  $G'_1$  respectively. The algorithm spends  $O(n_1^3)$  time in the preprocessing phase, and  $O((n'_1 + n_2)^3)$  time in the query phase. Hence we have an improvement if  $n'_1 >> n_1$ .

In the presence of callbacks, library summarization via CFL reachability is ineffective, as  $n_1'$  can be as large as  $n_1$ . To face this challenge, the recent work of [Tang et al. 2015] introduced TAL reachability. This approach spends  $O(n_1^6)$  time on the client code (hence more than the CFL reachability algorithm), and is able to produce a summary of size  $s < n_1$  even in the presence of callbacks. Afterwards, the client analysis is performed in  $O((s + n_2)^6)$  time, and hence the cost due to the library only appears in terms of its summary.

(3) Dyck reachability on general graphs. As we have already mentioned, Dyck reachability is a fundamental algorithmic formulation of many types of static analysis. For general graphs (not necessarily bidirected), the existing algorithms require  $O(n^3)$  time, and they essentially solve the more general CFL reachability problem [Yannakakis 1990]. The current best algorithm is due to [Chaudhuri 2008], which utilizes the well-knwon Four Russians' Trick to exhibit complexity  $O(n^3/\log n)$ . The problem has been shown to be 2NPDA-hard [Heintze and McAllester 1997], which yields a conditional cubic lower bound in its complexity.

Our contributions. Our main contributions can be characterized in three parts: (a) improved upper bounds; (b) lower bounds with optimality guarantees; and (c) experimental results. We present the details of each of them below.

*Improved upper bounds.* Our improved upper bounds are as follows:

- (1) For Dyck reachability on bidirected graphs with n nodes and m edges, we present an algorithm with the following bounds: (a) The worst-case complexity bound is  $O(m+n\cdot\alpha(n))$  time and O(m) space, where  $\alpha(n)$  is the inverse Ackermann function, improving the previously known  $O(n^2)$  time bound. Note that  $\alpha(n)$  is an extremely slowly growing function, and for all practical purposes,  $\alpha(n) \leq 4$ , and hence practically the worst-case bound of our algorithm is linear. (b) The average-case complexity is O(m) improving the previously known  $O(m \cdot \log n)$  bound. See Table 1 for a summary.
- (2) For Library/Client Dyck reachability we exploit the fact that the data-dependence graphs that arise in practice have special structure, namely they contain components of small treewidth. We denote by  $n_1$  and  $n_2$  the size of the library graph and client graph, and by  $k_1$  and  $k_2$  the number of call sites in the library graph and client graph, respectively. We present an algorithm that analyzes the library graph in  $O(n_1 + k_1 \cdot \log n_1)$  time and  $O(n_1)$  space. Afterwards, the library and client graphs are analyzed together only in  $O(n_2 + k_1 \cdot \log n_1 + k_2 \cdot \log n_2)$  time and  $O(n_1 + n_2)$  space. Hence, since typically  $n_1 >> n_2$  and  $n_i >> k_i$ , the cost of analyzing the large library occurs only in the preprocessing phase. When the client code needs to be analyzed, the cost incurred due to the library code is small. See Table 2 for a summary.

Lower bounds and optimality guarantees. Along with improved upper bounds we present lower bound and conditional lower bound results that imply optimality guarantees. Note that optimal guarantees for graph algorithms are extremely rare, and we show the algorithms we present have certain optimality guarantees.

	Worst-case Time	Average-case Time	Space	Reference	
Existing	$O\left(n^2\right)$	$O\left(\min\left(n^2, m \cdot \log n\right)\right)$	O(m)	[Zhang et al. 2013]	
Our Result	$O(m + n \cdot \alpha(n))$	O(m)	O(m)	Theorem 3.6 , Corollary 3.7	

**Table 1.** Comparison of our results with existing work for Dyck reachability on bidirected graphs with n nodes and m edges. We also prove a matching lower-bound for the worst-case analysis. Thus our algorithm is optimal wrt worst-case complexity.

- (1) For Dyck reachability on bidirected graphs we present a matching lower bound of  $\Omega(m+n\cdot\alpha(n))$  for the worst-case time complexity. Thus we obtain matching lower and upper bounds for the worst-case complexity, and thus our algorithm is optimal wrt to worst-case complexity. Since the average-case complexity of our algorithm is linear, the algorithm is also optimal wrt the average-case complexity.
- (2) For Library/Client Dyck reachability note that  $k_1 \le n_1$  and  $k_2 \le n_2$ . Hence our algorithm for analyzing library and client code is almost linear time, and hence optimal wrt polynomial improvements.
- (3) For Dyck reachability on general graphs we present a conditional lower bound. In algorithmic study, a standard problem for showing conditional cubic lower bounds is Boolean Matrix Multiplication (BMM) [Abboud and Vassilevska Williams 2014; Henzinger et al. 2015; Lee 2002; Vassilevska Williams and Williams 2010]. While fast matrix multiplication algorithms exist (such as Strassen's algorithm [Strassen 1969]), these algorithms are not "combinatorial". The standard conjecture (called the BMM conjecture) is that there is no truly sub-cubic<sup>2</sup> combinatorial algorithm for BMM, which has been widely used in algorithmic studies for obtaining various types of hardness results [Abboud and Vassilevska Williams 2014; Henzinger et al. 2015; Lee 2002; Vassilevska Williams and Williams 2010]. We show that Dyck reachability on general graphs is BMM hard. More precisely, we show that for any  $\delta > 0$ , any algorithm that solves Dyck reachability on general graphs in  $O(n^{3-\delta})$  time implies an algorithm that solves BMM in  $O(n^{3-\delta/3})$ time. Since all algorithms for Dyck reachability are combinatorial, it establishes a conditional hardness result (under the BMM conjecture) for general Dyck reachability. Additionally, we show that the same hardness result holds for Dyck reachability on graphs of constant treewidth. Our hardness shows that the existing cubic algorithms are optimal (modulo logarithmic-factor improvements), under the BMM conjecture. Existing work establishes that Dyck reachability is 2NPDA-hard [Heintze and McAllester 1997], which yields a a conditional lower bound. Our result shows that Dyck reachability is also BMM-hard, and even on constant-treewidth graphs, and thus strengthens the conditional cubic lower bound for the problem.

*Experimental results.* A key feature of our algorithms are that they are simple to implement. We present experimental results both on alias analysis (see Section 7.1) and library/client data-dependence analysis (see Section 7.2) and show that our algorithms outperform previous approaches for the problems on real-world benchmarks.

<sup>&</sup>lt;sup>1</sup>Not combinatorial means algebraic methods [Le Gall 2014], which are algorithms with large constants. In contrast, combinatorial algorithms are discrete and non-algebraic; for detailed discussion see [Henzinger et al. 2015]

 $<sup>^2</sup>$ Truly sub-cubic means polynomial improvement, in contrast to improvement by logarithmic factors such as  $O(n^3/\log n)$ 

Approach		Time		Space	Reference
	Library	Client	Library	Client	
CFL	$O\left(n_1^3\right)$	$O\left((n_1+n_2)^3\right)$	$O\left(n_1^2\right)$	$O\left((n_1+n_2)^2\right)$	[Tang et al. 2015]
TAL	$O\left(n_1^6\right)$	$O\left((s+n_2)^6\right)$	$O\left(n_1^4\right)$	$O\left((s+n_2)^4\right)$	[Tang et al. 2015]
Our Result	$O(n_1 + k_1 \cdot \log n_1)$	$O(n_2 + k_1 \cdot \log n_1 + k_2 \cdot \log n_2)$	$O(n_1)$	$O(n_1 + n_2)$	Theorem 6.8

**Table 2.** Library/Client CFL reachability on the library graph of size  $n_1$  and the client graph of size  $n_2$ . s is the number of library summary nodes, as defined in [Tang et al. 2015].

#### 1.1 Other related work

We have already discussed in details the most relevant works related to language reachability, alias analysis and data-dependence analysis. We briefly discuss works related to treewidth in program analysis and verification.

Treewidth in algorithms and program analysis. In the context of programming languages, it was shown by [Thorup 1998] that the control-flow graphs for goto-free programs for many programming languages have constant treewidth, which has been followed by practical approaches as well (such as [Gustedt et al. 2002]). The treewidth property has received a lot of attention in algorithm community, for NP-complete problems [Arnborg and Proskurowski 1989; Bern et al. 1987; Bodlaender 1988], combinatorial optimization problems [Bertele and Brioschi 1972], graph problems such as shortest path [Chatterjee et al. 2016; Chaudhuri and Zaroliagis 1995]. In algorithmic analysis of programming languages and verification the treewidth property has been exploited in interprocedural analysis [Chatterjee et al. 2015], concurrent intraprocedural analysis [Chatterjee et al. 2016], quantitative verification of finite-state graphs [Chatterjee et al. 2015], etc. To the best of our knowledge the constant-treewidth property has not be considered for data-dependence analysis. Our experimental results show that in practice many real-world benchmarks have the constant-treewidth property, and our algorithms for data-dependence analysis exploit this property to present faster algorithms.

## 2 PRELIMINARIES

**Graphs and paths.** We denote by G = (V, E) a finite directed graph (henceforth called simply a graph) where V is a set of n nodes and  $E \subseteq V \times V$  is an edge relation of m edges. Given a set of nodes  $X \subseteq V$ , we denote by  $G[X] = (X, E \cap (X \times X))$  the subgraph of G induced by X. A path P is a sequence of edges  $(e_1, \ldots, e_r)$  and each  $e_i = (x_i, y_i)$  is such that  $x_1 = u, y_r = v$ , and for all  $1 \le i \le r - 1$  we have  $y_i = x_{i+1}$ . The length of P is |P| = r. A path P is simple if no node repeats in P (i.e., the path does not contain a cycle). Given two paths  $P_1 = (e_1, \ldots, e_r)$  and  $P_2 = (e'_1, \ldots, e'_\ell)$  with  $e_r = (x, y)$  and  $e'_1 = (y, z)$ , we denote by  $P_1 \circ P_2$  the concatenation of  $P_2$  on  $P_1$ . We use the notation  $x \in P$  to denote that a node x appears in  $x \in P$  to denote that a node x appears in  $x \in P$  to denote that a node  $x \in P$  to denote by  $x \in P$  to denote by  $x \in P$  to denote that a node  $x \in P$  to denote that a node  $x \in P$  to denote that a node  $x \in P$  to denote by  $x \in P$  to denote that a node  $x \in P$  to denote by  $x \in P$  to denote by  $x \in P$  to denote that a node  $x \in P$  to denote that a node  $x \in P$  to denote that a node  $x \in P$  to denote by  $x \in P$  to denote by  $x \in P$  to denote by  $x \in P$  to denote that a node  $x \in P$  to denote by  $x \in P$  to denote that a node  $x \in P$  to denote that  $x \in P$  to den

 $k_1$  is the number of call sites in the library code, with  $k_1 < s$ .

 $k_2$  is the number of call sites in the client code.

**Dyck Languages.** Given a nonnegative integer  $k \in \mathbb{N}$ , we denote by  $\Sigma_k = \{\epsilon\} \cup \{\alpha_i, \overline{\alpha}_i\}_{i=1}^k$  a finite *alphabet* of k parenthesis types, together with a null element  $\epsilon$ . We denote by  $\mathcal{L}_k$  the Dyck language over  $\Sigma_k$ , defined as the language of strings generated by the following context-free grammar  $\mathcal{G}_k$ :

$$S \to S S | \mathcal{A}_1 \overline{\mathcal{A}}_1 | \dots | \mathcal{A}_k \overline{\mathcal{A}}_k | \epsilon; \qquad \mathcal{A}_i \to \alpha_i S; \qquad \overline{\mathcal{A}}_i \to S \overline{\alpha}_i$$

Given a string s and a non-terminal symbol X of the above grammar, we write  $X \vdash s$  to denote that X produces s according to the rules of the grammar. In the rest of the document we consider an alphabet  $\Sigma_k$  and the corresponding Dyck language  $\mathcal{L}_k$ . We also let  $\Sigma_k^O = \{\alpha_i\}_{i=1}^k$  and  $\Sigma_k^C = \{\overline{\alpha}_i\}_{i=1}^k$  be the subsets of  $\Sigma_k$  of only opening and closing parenthesis, respectively.

Labeled graphs, Dyck reachability, and Dyck SCCs (DSCCs). We denote by G=(V,E) a  $\Sigma_k$ -labeled directed graph where V is the set of nodes and  $E\subseteq V\times V\times \Sigma_k$  is the set of edges labeled with symbols from  $\Sigma_k$ . Hence, an edge e is of the form  $e=(u,v,\lambda)$  where  $u,v\in V$  and  $\lambda\in\Sigma_k$ . We require that for every  $u,v\in V$ , there is a unique label  $\lambda$  such that  $(u,v,\lambda)\in E$ . Often we will be interested only on the endpoints of an edge e, in which case we represent e=(u,v), and will denote by  $\lambda(e)$  the label of e. Given a path e, we define the label of e as e0 as e1 as e2. Given two nodes e3, e4, we say that e6 is called a witness path of the reachability. A set of nodes e6 is called a e6 and e7 if for every pair of nodes e8, we have that e8 reaches e8 and e9 reaches e8. Note that there might exist a DSCC e8 and a pair of nodes e9, we have that every witness path e9 is called be such that e9 wight be such that e9 witness path contains nodes outside the DSCC.

#### 3 DYCK REACHABILITY ON BIDIRECTED GRAPHS

In this section we present an optimal algorithm for solving the Dyck reachability problem on  $\Sigma_k$ -labeled *bidirected* graphs G. First, in Section 3.1, we formally define the problem. Second, in Section 3.2, we describe an algorithm BidirectedReach that solves the problem in time  $O(m+n\cdot\alpha(n))$ , where n is the number of nodes of G, m is the number of edges of G, and  $\alpha(n)$  is the inverse Ackermann function. Finally, in Section 3.3, we present an  $\Omega(m+n\cdot\alpha(n))$  lower bound.

## 3.1 Problem definition

We start with the problem definition of Dyck reachability on bidirected graphs. For the modeling power of bidirected graphs we refer to [Yuan and Eugster 2009; Zhang et al. 2013] and our Experimental Section 7.1.

**Bidirected Graphs.** A  $\Sigma_k$  labeled graph G = (V, E) is called *bidirected* if for every pair of nodes  $u, v \in V$ , the following conditions hold. (1)  $(u, v, \epsilon) \in E$  iff  $(v, u, \epsilon) \in E$ ; and (2) for all  $1 \le i \le k$  we have that  $(u, v, \alpha_i) \in E$  iff  $(v, u, \overline{\alpha_i}) \in E$ . Informally, the edge relation is symmetric, and the labels of symmetric edges are complimentary wrt to opening and closing parenthesis. The following remark captures a key property of bidirected graphs that can be exploited to lead to faster algorithms.

Remark 1 ([Zhang et al. 2013]). For bidirected graphs the Dyck reachability relation forms an equivalence, i.e., for all bidirected graphs G, for every pair of nodes u, v, we have that v is Dyckreachable from u iff u is Dyckreachable from v.

Remark 2. We consider without loss of generality that a bidirected graph G has no edge (u, v) such that  $\lambda(u, v) = \epsilon$ , i.e., there are no  $\epsilon$ -labeled edges. This is because in such a case, u, v form a DSCC,

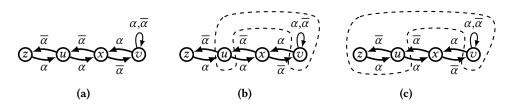
and can be merged into a single node. Merging all nodes that share an  $\epsilon$ -labeled edge requires only linear time, and hence can be applied as a preprocessing step at (asymptotically) no extra cost.

Dyck reachability on bidirected graphs. We are given a  $\Sigma_k$ -labeled bidirected graph G=(V,E), and our task is to compute for every pair of nodes u,v whether v is Dyck-reachable from u. As customary, we consider that k=O(1), i.e., k is fixed wrt to the input graph [Chaudhuri 2008]. In view of Remark 1, it suffices that the output is a list of DSCCs. Note that this way the output has size  $\Theta(n)$  instead of  $\Theta(n^2)$  that would be required for storing one bit of information per u,v pair. Additionally, the pair query time is O(1), simply by testing whether the two nodes belong to the same DSCC.

# 3.2 An almost linear time algorithm

We present our algorithm BidirectedReach, for Dyck reachability on bidirected graphs, with almost linear-time complexity.

**Informal description of** BidirectedReach. We start by providing a high-level description of BidirectedReach. The main idea is that for any two distinct nodes u, v to belong to some DSCC X, there must exist two (not necessarily distinct) nodes x, y that belong to some DSCC Y (possibly  $X = Y)^3$  and a closing parenthesis  $\overline{\alpha}_i \in \Sigma_k^C$  such that  $(x, u, \overline{\alpha}_i), (y, v, \overline{\alpha}_i) \in E$ . See Figure 1 for an illustration. The algorithm uses a Disjoint Sets data structure to maintain DSCCs discovered so far. Each DSCC is represented as a tree T rooted on some node  $x \in V$ , and x is the only node of T that has outgoing edges. However, any node of T can have incoming edges. See Figure 2 for an illustration. Upon discovering that a root node x of some tree T has two or more outgoing edges  $(x, u_1, \overline{\alpha}_i), (x, u_2, \overline{\alpha}_i), \dots (x, u_r, \overline{\alpha}_i)$ , for some  $\overline{\alpha}_i \in \Sigma_k^C$ , the algorithm uses r Find operations of the Disjoint Sets data structure to determine the trees  $T_i$  that the nodes  $u_i$  belong to. Afterwards, a Union operation is performed between all  $T_i$  to form a new tree T, and all the outgoing edges of the root of each  $T_i$  are merged to the outgoing edges of the root of T.



**Fig. 1.** Illustration of the merging principle of BidirectedReach. **(1a)** The nodes u and v are in the same DSCC since node x has an outgoing edge to each of u and v labeled with the closing parenthesis  $\overline{a}$ . **(1b)** Similarly, nodes z and v belong to the same DSCC, since there exist two nodes u and v such that (i) u and v belong to the same DSCC, (ii) u has an outgoing edge to v, and v has an outgoing edge to itself, and (iii) both outgoing edges are labeled with the same closing parenthesis symbol. **(1c)** The final DSCC formation.

Complexity overview. The cost of every Find and Union operation is bounded by the inverse Ackermann function  $\alpha(n)$  (see [Tarjan 1975]), which, for all practical purposes, can be considered constant. Additionally, every edge-merge operation requires constant time, using a linked list for storing the outgoing edges. Although list merging in constant time creates the possibility of duplicate edges, such duplicates come at no additional complexity cost. Since every Union of k

 $<sup>^3</sup>$ That is, x and y might refer to the same node, and X and Y to the same DSCC.

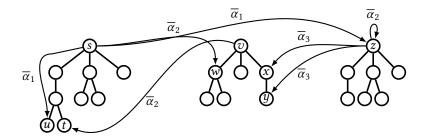


Fig. 2. A state of BidirectedReach consists of a set of trees, with outgoing edges coming only from the root of each tree.

trees reduces the number of existing edges by k-1, the overall complexity of BidirectedReach is  $O(m \cdot \alpha(n))$ . We later show how to obtain the  $O(m+n \cdot \alpha(n))$  complexity.

We are now ready to give the formal description of BidirectedReach. We start with introducing the Union-Find problem, and its solution given by a disjoint sets data structure.

**The Union-Find problem.** The Union-Find problem is a well-studied problem in the area of algorithms and data structures [Cormen et al. 2001; Galil and Italiano 1991]. The problem is defined over a *universe* X of n elements, and the task is to maintain partitions of X under set union operations. Initially, every element  $x \in X$  belongs to a singleton set  $\{x\}$ . A *union-find* sequence  $\sigma$  is a sequence of m (typically  $m \ge n$ ) operations of the following two types.

- (1) Union(x, y), for  $x, y \in X$ , performs a union of the sets that x and y belong to.
- (2) Find(x), for  $x \in X$ , returns the name of the unique set containing x.

The sequence  $\sigma$  is presented online, i.e., an operation needs to be completed before the next one is revealed. Additionally, a Union(x,y) operation is allowed in the i-th position of  $\sigma$  only if the prefix of  $\sigma$  up to position i-1 places x and y on different sets. The output of the problem consists of the answers to Find operations of  $\sigma$ . It is known that the problem can be solved in  $O(m \cdot \alpha(n))$  time, by an appropriate Disjoint Sets data structure [Tarjan 1975], and that this complexity is optimal [Banachowski 1980; Tarjan 1979].

**The** DisjointSets **data structure.** We consider at our disposal a Disjoint Sets data structure DisjointSets which maintains a set of subsets of V under a sequence of set union operations. At all times, the name of each set X is a node  $x \in X$  which is considered to be the representative of x. DisjointSets provides the following operations.

- (1) For a node u, MakeSet(u) constructs the singleton set {u}.
- (2) For a node u, Find(u) returns the representative of the set that u belongs to.
- (3) For a set of nodes  $S \subseteq V$  which are pairwise in different sets, and a distinguished node  $x \in S$ , Union(S, x) performs the union of the sets that the nodes in S belong to, and makes x the representative of the new set.

The DisjointSets data structure can be straightforwardly obtained from the corresponding Disjoint Sets data structures used to solve the Union-Find problem [Tarjan 1975], and has  $O(\alpha(n))$  amortized

complexity per operation. Typically each set is stored as a rooted tree, and the root node is the representative of the set.

**Formal description of** BidirectedReach. We are now ready to present formally BidirectedReach in Algorithm 1. Recall that, in view of Remark 2, we consider that the input graph has no  $\epsilon$ -labeled edges. In the initialization phase, the algorithm constructs a map Edges :  $V \times \Sigma_k^C \to V^*$ . For each node  $u \in V$  and closing parenthesis  $\overline{\alpha}_i \in \Sigma_k^C$ , Edges $[u][\overline{\alpha}_i]$  will store the nodes that are found to be reachable from u via a path P such that  $\overline{\mathcal{A}}_i \vdash \lambda(P)$  (i.e., the label of P has matching parenthesis except for the last parenthesis  $\overline{\alpha}_i$ ). Observe that all such nodes must belong to the same DSCC.

The main computation happens in the loop of Line 12. The algorithm maintains a queue Q that acts as a worklist and stores pairs  $(u, \overline{\alpha}_i)$  such that u is a node that has been found to contain at least two outgoing edges labeled with  $\overline{\alpha}_i$ . Upon extracting an element  $(u, \overline{\alpha}_i)$  from the queue, the algorithm obtains the representatives v of the sets of the nodes in  $\mathrm{Edges}[u][\overline{\alpha}_i]$ . Since all such nodes belong to the same DSCC, the algorithm chooses an element x to be the new representative, and performs a Union operation of the underlying sets. The new representative x gathers the outgoing edges of all other nodes  $v \in \mathrm{Edges}[u][\overline{\alpha}_i]$ , and afterwards  $\mathrm{Edges}[u][\overline{\alpha}_i]$  points only to x. We note that our requirement for specifying the representative of a set union operation (i.e., the x in  $\mathrm{Union}(S,x)$ ) is only so that x and u are distinct nodes in the the loop of Line 12, which makes the algorithm easier to present.

We illustrate our algorithm on an example.

**Example.** Consider the state of the algorithm given by Figure 2, representing the DSCCs of the Union-Find data structure DisjointSets (i.e., the undirected trees in the figure) as well as the contents of the Edges data structure (i.e., the directed edges in the Figure). There are currently 3 DSCCS, with representatives s, v and z. Recall that the queue Q stores (node, closing parenthesis) pairs with the property that the node has at least two outgoing edges labeled with the respective closing parenthesis. Observe that nodes s and s have at two outgoing edges each that have the same type of parenthesis, hence they must have been inserted in the queue s0 at some point. Assume that s1 and s2 are s3 and s3 are defined as the following sequence of steps.

- (1) The element  $(z, \overline{\alpha}_3)$  is extracted from Q. We have  $\operatorname{Edges}[z][\overline{\alpha}_3] = (x, y)$ . Observe that x and y belong to the same DSCC rooted at v, hence in Line 15 the algorithm will construct  $S = \{v\}$ . Since |S| = 1, the algorithm will simply set  $\operatorname{Edges}[z][\overline{\alpha}_3] = (v)$  in Line 33, and no new DSCC has been formed.
- (2) The element  $(s, \overline{\alpha}_1)$  is extracted from Q. We have  $\operatorname{Edges}[s][\overline{\alpha}_1] = (u, z)$ . Since u and z belong to different DSCCs, the algorithm will construct  $S = \{s, z\}$ , and perform a DisjointSets.Union(s, x) operation, where x = z. Note that union-by-rank will make the tree of z a subtree of the tree of s, i.e., z will become a child of s. Afterwards, the algorithm swaps the names of z and s, as required by the choice of x in Line 17. Finally, in Line 22, the algorithm will move  $\operatorname{Edges}[s][\overline{\alpha}_i]$  to  $\operatorname{Edges}[z][\overline{\alpha}_i]$  for i = 1, 2. Since now  $|\operatorname{Edges}[z][\overline{\alpha}_2]| \geq 2$ , the algorithm inserts  $(z, \overline{\alpha}_2)$  in Q. See Figure 3a.
- (3) The element  $(z, \overline{\alpha}_2)$  is extracted from Q. We have  $\operatorname{Edges}[z][\overline{\alpha}_2] = (v, z)$ . Since v and z belong to different DSCCs, the algorithm will construct  $S = \{v, z\}$ , and perform a DisjointSets. Union(S, x) operation, where x = v. Note that union-by-rank will make the tree of v a subtree of the tree of v, i.e., v will become a child of v. Afterwards, the algorithm swaps the names of v and v, as required by the choice of v in Line 17. Finally, in Line 22, the algorithm will move  $\operatorname{Edges}[z][\overline{\alpha}_2]$  to  $\operatorname{Edges}[v][\overline{\alpha}_2]$ . Since now  $|\operatorname{Edges}[v][\overline{\alpha}_2]| \geq 2$ , the algorithm inserts v, v in v. See Figure 3b.

# Algorithm 1: BidirectedReach

```
Input: A \Sigma_k-labeled bidirected graph G = (V, E)
    Output: A DisjointSets map of DSCCs
    // Initialization
 1 \ Q \leftarrow \text{an empty queue}
 <sup>2</sup> Edges \leftarrow a map V \times \Sigma_k^C \rightarrow V^* implemented as a linked list
    DisjointSets \leftarrow a disjoint-sets data structure over V
    foreach u \in V do
          DisjointSets.MakeSet(u)
 5
          for i \leftarrow 1 to k do
 6
                \mathsf{Edges}[u][\overline{\alpha}_i] \leftarrow (v : (u, v, \overline{\alpha}_i) \in E)
                if |Edges[u][\overline{\alpha}_i]| \ge 2 then
                     Insert (u, \overline{\alpha}_i) in Q
          end
10
11 end
    // Computation
    while Q is not empty do
12
          Extract (u, \overline{\alpha}_i) from Q
13
          if u = DisjointSets.Find(u) then
14
                Let S \leftarrow \{ \text{DisjointSets.Find}(w) : w \in \text{Edges}[u][\overline{\alpha}_i] \}
15
                if |S| \ge 2 then
16
                     Let x \leftarrow some arbitrary element of S \setminus \{u\}
17
                     Make DisjointSets.Union(S, x)
18
                     for j \leftarrow 1 to k do
19
                            foreach v \in S \setminus \{x\} do
                                 if u \neq v or i \neq j then
21
                                       Move Edges[v][\overline{\alpha}_i] to Edges[x][\overline{\alpha}_i]
22
                                  else
23
                                       Append (x) to Edges[x][\overline{\alpha}_i]
24
                                  end
25
                            end
26
                            if |Edges[x][\overline{\alpha}_i]| \ge 2 then
27
                                 Insert (x, \overline{\alpha}_i) in Q
28
                      end
29
                else
30
                     Let x \leftarrow the single node in S
31
                end
32
                if u \notin S or |S| = 1 then
33
                     Edges[u][\overline{\alpha}_i] \leftarrow (x)
34
35
    end
    return DisjointSets
```

(4) The element  $(v, \overline{\alpha}_2)$  is extracted from Q. We have  $Edges[v][\overline{\alpha}_2] = (v, t)$ . Observe that v and t belong to the same DSCC rooted at v, hence in Line 15 the algorithm will construct  $S = \{v\}$ . Since |S| = 1, the algorithm will simply set  $Edges[v][\overline{\alpha}_2] = (v)$  in Line 33, and will terminate.

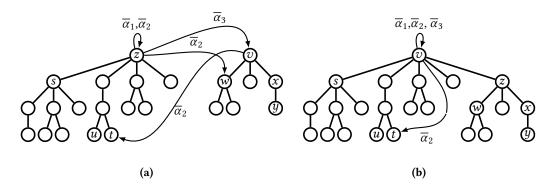


Fig. 3. The intermediate stages of BidirectedReach starting from the stage of Figure 2.

**Correctness.** We start with the correctness statement of BidirectedReach, which is established in two parts, namely the soundness and completeness, which are shown in the following two lemmas.

LEMMA 3.1 (SOUNDNESS). At the end of BidirectedReach, for every pair of nodes  $u, v \in V$ , if DisjointSets.Find(u) = DisjointSets.Find(v) then u and v belong to the same DSCC.

Proof. The proof is in showing by induction on the number of times the loop of Line 12 is executed, that

- (1) every set of DisjointSets forms a DSCC of G, and
- (2) for every pair  $u, v \in V$  and  $\overline{\alpha}_i \in \Sigma^C$ , if v is in the same set of DisjointSets as some node  $\underline{w} \in \text{Edges}[u][\overline{\alpha}_i]$  then there are paths  $P_v^u : v \leadsto u$  and  $P_u^v : u \leadsto v$  such that  $\mathcal{A}_i \vdash \lambda(P_v^u)$  and  $\overline{\mathcal{A}}_i \vdash \lambda(P_v^u)$ .

The claim follows easily after the initialization phase, since every set of DisjointSets is a single node, and the Edges map is initialized with the edges of G. Now, assume that the claim holds before an execution of the loop of Line 12. Let u be the node as defined in Line 13, and  $v_1$ ,  $v_2$  be two nodes of the set S constructed in Line 15. By the induction hypothesis, there exist paths  $P^u_{v_1}: v_1 \leadsto u$  and  $P^{v_2}_{u^2}: u \leadsto v_2$  such that  $\mathcal{A}_i \vdash \lambda(P^u_{v_1})$  and  $\overline{\mathcal{A}}_i \vdash \lambda(P^{v_2}_u)$ , and thus  $S \vdash \lambda(P^u_{v_1} \circ P^{v_2}_u)$  and  $\lambda(P^u_{v_1} \circ P^{v_2}_u) \in \mathcal{L}_k$ . Hence  $v_2$  is Dyck reachable from  $v_1$  and Item 1 holds.

We now consider Item 2 of the claim. Let x be the node of S defined in Line 17, and v any other node of S. By the induction hypothesis, for every j in Line 19, every  $y \in \operatorname{Edges}[v][\overline{\alpha}_j]$  and every z in the same set as y, we have that there exist paths  $P_v^z: v \leadsto z$  and  $P_z^v: z \leadsto v$  such that  $\mathcal{A}_j \vdash \lambda(P_z^z)$  and  $\overline{\mathcal{A}}_j \vdash \lambda(P_z^v)$ . Additionally, there exist paths  $P_v^u: v \leadsto u$ ,  $P_u^x: u \leadsto x$ ,  $P_u^u: x \leadsto u$  and  $P_u^v: u \leadsto v$  such that  $S \vdash \lambda(P_v^u \circ P_u^x)$ ,  $\lambda(P_x^u \circ P_u^v)$ . Let  $P_z^x = P_z^v \circ P_v^x \circ P_u^x$  and  $P_z^z = P_u^x \circ P_v^z \circ P_v^z$ . Observe that  $P_v^x: v \leadsto x$  and  $P_z^x = x \leadsto z$ , and additionally  $\mathcal{A}_j \vdash P_z^x$  and  $\overline{\mathcal{A}}_j \vdash P_z^z$ . Hence Item 2 of the claim holds after Line 22 is executed.

The desired result follows.

LEMMA 3.2 (COMPLETENESS). At the end of BidirectedReach, for every pair of nodes  $u, v \in V$  in the same DSCC, u and v belong to the same set of DisjointSets.

PROOF. The proof is by showing inductively that for every even r, for every pair of nodes  $u, v \in V$  such that v is Dyck-reachable from u via a path  $P_u^v : u \leadsto v$  with  $|P| \le r$ , BidirectedReach will reach a state where u and v belong to the same set of DisjointSets.

After the initialization phase, the claim holds for r = 0. Now assume that the claim holds for some r, and we will show that it holds for r + 2. Let v be Dyck-reachable from u via a path  $P_u^v$  of length r + 2. We consider two cases.

- (1) There exists a node  $x \neq u, v$  such that x is Dyck-reachable from u via a path  $P_u^x : u \leadsto x$  and v is Dyck-reachable from x via a path  $P_x^v : x \leadsto v$  such that  $|P_u^x|, |P_x^v| \leq r$ . Then, by the induction hypothesis, BidirectedReach will reach a state where x is placed in the same set as u, and a state where x is placed in the same set as v. After BidirectedReach has reached both states, u and v are in the same set, as required.
- (2) If there exists no such node x, then there exists a parenthesis pair  $\alpha_i, \overline{\alpha}_i \in \Sigma_k$  such that  $\alpha_i \ S \ \overline{\alpha}_i + \lambda(P_u^v)$ . Thus there exist two nodes y, z and a path  $P_u^v = u \to y \to z \to v$ , where  $u \to y$  and  $z \to v$  are single edges, and  $P_y^z : y \to z$  is a path from y to z of length r, and additionally (i)  $\lambda(u,y) = \alpha_i$ , (ii)  $\lambda(z,v) = \overline{\alpha}_i$ , and (iii)  $S + \lambda(P_z^y)$ . Note that possibly y = z, or even u = y = z. By the induction hypothesis, BidirectedReach will reach a state where y and z are placed in the same set of DisjointSets. Let x be the representative of that set at that point, and by Line 22 (or Line 24, if x = y or x = z) we obtain that  $u, v \in \text{Edges}[\overline{\alpha}_i][x]$  at that point. Since  $|\text{Edges}[\overline{\alpha}_i][x]| \ge 2$ , the element  $(u, \overline{\alpha}_i)$  was inserted in Q at that point. It is easy to verity that at some later point, an element  $(w, \overline{\alpha}_i)$  is extracted from Q (possibly w = x) such that w is the representative of the set of x and  $y \in \text{Edges}[\overline{\alpha}_i][w]$ . Since  $y \in \text{Edges}[\overline{\alpha}_i][w]$  is a representative of the set it belongs to, we have  $y \in \text{Edges}[\overline{\alpha}_i][w]$ , and the condition in Line 14 holds true. If, at that point,  $y \in \text{Edges}[x]$  are still in different sets of DisjointSets, then for the set  $y \in \text{Edges}[x]$  constructed in Line 15 we have  $y \in \text{Edges}[x]$  and  $y \in \text{Edges}[x]$  be placed in the same set of DisjointSets. Union( $y \in \text{Edges}[x]$ ) has been executed in Line 18,  $y \in \text{Edges}[x]$  and  $y \in \text{Edges}[x]$  be placed in the same set of DisjointSets.

The desired result follows.

**Complexity.** We now establish the complexity of BidirectedReach, in a sequence of lemmas.

LEMMA 3.3. The main loop of Line 12 will be executed O(n) times.

PROOF. Initially Q is populated by Line 9, which inserts O(n) elements, as k = O(1). Afterwards, for every  $\ell \le k = O(1)$  elements  $(u, \overline{\alpha}_j)$  inserted in Q via Line 28, there is at least one node  $v \in S$  which stops being a representative of its own set in DisjointSets, and thus will not be in S in further iterations. Hence Q will contain O(n) elements in total, and the result follows.

**The sets**  $S_j$  and  $S_j'$ . Consider an element  $(u, \overline{\alpha}_i)$  extracted from Q in the j-th iteration of the algorithm in Line 12. We denote by  $S_j'$  the set  $Edges[u][\overline{\alpha}_i]$ , and by  $S_j$  the set S constructed in Line 15. If S was not constructed in that iteration (i.e., the condition in Line 14 does not hold), then we let  $S_j = \emptyset$ . It is easy to see that  $|S_j| \leq |S_j'|$  for all j. The following crucial lemma bounds the total sizes of the sets  $S_j'$  constructed throughout the execution of BidirectedReach.

LEMMA 3.4. Let r be the number of iterations of the main loop in Line 12. We have  $\sum_{j=1}^{r} |S'_{j}| = O(m)$ .

PROOF. By Lemma 3.3 we have r = O(n). Let  $J = \{j : |S'_j| \ge 2\}$ , and it suffices to prove that  $\sum_{j \in J} S'_j = O(m)$ .

We first argue that after a pair  $(u, \overline{\alpha}_i)$  has been extracted from Q in some iteration  $j \in J$ , the number of edges in Edges decreases by at least  $|S'_j| - 1$ . We consider the following complementary cases depending on the condition of Line 33.

- (1) If the condition holds, then we have  $|Edges[u][\overline{\alpha}_i]| = 1$  after Line 34 has been executed.
- (2) Otherwise, we must have  $u \in S$  and  $|S| \ge 2$ , hence there exists some  $x \in S \setminus \{u\}$  chosen in Line 17, and all edges in Edges[u] will be moved to Edges[x] for some v = u in Line 20. Hence  $|\text{Edges}[u]|\overline{\alpha}_i| = 0$ .

Note that because of Line 21, the edges in  $\operatorname{Edges}[u][\overline{\alpha}_i]$  are not moved to  $\operatorname{Edges}[x][\overline{\alpha}_i]$ , hence all  $\operatorname{Edges}[u][\overline{\alpha}_i]$  (except possibly one) will no longer be present at the end of the iteration. Since  $S'_j = \operatorname{Edges}[u][\overline{\alpha}_i]$  at the beginning of the iteration, we obtain that the number of edges in Edges decreases by at least  $|S'_i| - 1$ .

We define a potential function  $\Phi: \mathbb{N} \to \mathbb{N}$ , such that  $\Phi(j)$  equals the number of elements in the data structure Edges at the beginning of the j-th iteration of the main loop in Line 12. Note that (i) initially  $\Phi(1) = m$ , (ii)  $\Phi(j) \geq 0$  for all j, and (iii)  $\Phi(j+1) \leq \Phi(j)$  for all j, as new edges are never added to Edges. Let  $(u, \overline{\alpha}_i)$  be an element extracted from Q at the beginning of the j-the iteration, for some  $j \in J$ . As shown above, at the end of the iteration we have removed at least  $|S_j'| - 1$  edges from Edges, and since  $|S_j'| \geq 2$ , we obtain  $\Phi(j+1) \leq \Phi(j) - |S_j'|/2$ . Summing over all  $j \in J$ , we obtain

$$\begin{split} \sum_{j \in J} |S_j'| &\leq 2 \cdot \sum_{j \in J} \left( \Phi(j) - \Phi(j+1) \right) \\ &= 2 \cdot \sum_{\ell=1}^{|J|} \left( \Phi(j_\ell) - \Phi(j_\ell+1) \right) \\ &\leq 2 \cdot \Phi(j_1) \\ &\leq 2 \cdot m \end{split} \qquad \begin{aligned} &\left[ \text{as } \Phi(j+1) \leq \Phi(j) - |S_j'|/2 \right] \\ &\left[ \text{for } j_\ell < j_{\ell+1} \right] \\ &\left[ \text{as } \Phi \text{ is decreasing and thus } \Phi(j_{\ell+1}) \leq \Phi(j_\ell+1) \right] \\ &\leq 2 \cdot m \end{aligned}$$

The desired result follows.

Finally, we are ready to establish the complexity of BidirectedReach.

LEMMA 3.5 (COMPLEXITY). BidirectedReach requires  $O(m \cdot \alpha(n))$  time and O(m) space.

PROOF. The O(m) space bound follows easily by the data-structures, hence our focus will be on the time complexity. It is straightforward that the initialization phase in Line 1-Line11 requires O(m) time, and our focus will be on the main computation.

First we bound the amount of time spent in the operations of the data structure DisjointSets. Since a DisjointSets. Find(w) operation has amortized time  $O(\alpha(n))$  [Tarjan 1975], using Lemma 3.4, the total time for constructing the sets  $S_i$  in Line 15 is bounded by

$$\alpha(n) \cdot \sum_{j=1}^{r} |S'_{j}| = O(m \cdot \alpha(n))$$

Similarly, the total time required for making the DisjointSets. Union $(S_i, x)$  operations in Line 18 is

$$\alpha(n) \cdot \sum_{j=1}^{r} |S_j| \le \alpha(n) \cdot \sum_{j=1}^{r} |S_j'| = O(m \cdot \alpha(n))$$

Second, we bound the amount of time spent within BidirectedReach, i.e., without counting the time in DisjointSets. All lines except for the loop in Line 20 are executed a number of times proportional to the iterations of the main loop in Line 12, hence by Lemma 3.3, the total time spent outside the inner loop of Line 20 is O(n). Finally, observe that every line of the inner loop is executed  $O(|S_j'|)$  times. Hence, by Lemma 3.4, we obtain that the total time spent in the inner loop is O(m). Note that each move operation in Line 22 and append operation in Line 24 takes constant time, by using a linked-list implementation of each set Edges $[u][\overline{\alpha}_i]$ .

The desired result follows.

A speedup for non-sparse graphs. Observe that in the case of sparse graphs m = O(n), and Lemma 3.5 yields the complexity  $O(n \cdot \alpha(n))$ . Here we describe a modification of BidirectedReach that reduces the complexity from  $O(m \cdot \alpha(n))$  to  $O(m + n \cdot \alpha(n))$ , and thus is faster for graphs where the edges are more than a factor  $\alpha(n)$  as many as the nodes (i.e.,  $m = \omega(n \cdot \alpha(n))$ ). The key idea is that if a node u has more than k outgoing edges initially, then it has two distinct outgoing edges labeled with the same closing parenthesis  $\overline{\alpha}_i \in \Sigma_k^C$ , and hence the corresponding neighbors can be merged to a single DSCC in a preprocessing step. Once such a merging has taken place, u only needs to keep a single outgoing edge labeled with  $\overline{\alpha}_i$  to that DSCC. This preprocessing phase requires O(m) time for all nodes, after which there are only O(n) edges present, by amortizing at most u edges per node of the original graph (recall that u =

Theorem 3.6 (Worst-Case complexity). Let G = (V, E) be a  $\Sigma_k$ -labeled bidirected graph of n nodes and  $m = \Omega(n)$  edges. BidirectedReach correctly computes the DSCCs of G and requires  $O(m+n\cdot\alpha(n))$  time and O(m) space.

**Linear-time considerations.** Note that  $\alpha(n)$  is an extremely slowly growing function, and for all practical purposes  $\alpha(n) \leq 4$ . Indeed, the smallest n for which  $\alpha(n) = 5$  far exceeds the estimated number of atoms in the observable universe. Additionally, since it is known that a Disjoint Sets data structure operates in amortized constant expected time per operation [Doyle and Rivest 1976; Yao 1985], we obtain the following corollary regarding the expected time complexity of our algorithm.

COROLLARY 3.7 (AVERAGE-CASE COMPLEXITY). For bidirected graphs, the algorithm Bidirected Reach requires O(m) expected time for computing DSCCs.

# **3.3** An $\Omega(m + n \cdot \alpha(n))$ lower bound

Theorem 3.6 implies that Dyck reachability on bidirected graphs can be solved in almost-linear time. A theoretically interesting question is whether the problem can be solved in linear time in the worst case. We answer this question in the negative by proving that every algorithm for the problem requires  $\Omega(m+n\cdot\alpha(n))$  time, and thereby proving that our algorithm BidirectedReach is indeed optimal wrt worst-case complexity.

The Separated Union-Find problem. A sequence  $\sigma$  of Union-Find operations is called *separated* if all Find operations occur at the end of  $\sigma$ . Hence  $\sigma = \sigma_1 \circ \sigma_2$ , where  $\sigma_1$  contains all Union operations of  $\sigma$ . We call  $\sigma_1$  a *union sequence* and  $\sigma_2$  a *find sequence*. The *Separated Union-Find* problem is the regular Union-Find problem over separated union-find sequences. Note that this version of the problem has an *offline* flavor, as, at the time when the algorithm is needed to produce output (i.e. when the suffix of Find operations starts) the input has been fixed (i.e., all Union operations are known). We note that the Separated Union-Find problem is different from the Static Tree Set Union problem [Gabow and Tarjan 1985], which restricts the type of allowed Union operations, and for which a linear time algorithm exists on the RAM model. The following lemma states a lower bound on the worst-case complexity of the problem.

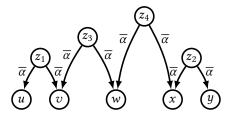
LEMMA 3.8. The Separated Union-Find problem over a universe of size n and sequences of length m has worst-case complexity  $\Omega(m \cdot \alpha(n))$ .

PROOF. The proof is essentially the proof of [Tarjan 1979, Theorem 4.4], by observing that the sequences constructed there to prove the lower bound are actually separated union-find sequences.

**The union graph**  $G^{\sigma_1}$ . Let  $\sigma_1$  be a union sequence over some universe X. The *union graph* of  $\sigma_1$  is a  $\Sigma_1$ -labeled bidirected graph  $G^{\sigma_1} = (V^{\sigma_1}, E^{\sigma_1})$ , defined as follows.

- (1) The node set is  $V^{\sigma_1} = X \cup \{z_i\}_{1 \le i \le |\sigma_1|}$  where the nodes  $z_i$  do not appear in X.
- (2) The edge set is  $E^{\sigma_1} = \{(z_i, x_i, \overline{\alpha}), (z_i, y_i, \overline{\alpha})\}_{1 \le i \le |\sigma_1|}$ , where  $x_i, y_i \in X$  are the elements such that the *i*-th operation of  $\sigma_1$  is Union $(x_i, y_i)$ .

See Figure 4 for an illustration.



 $\sigma_1 = \text{Union}(u, v), \text{Union}(x, y), \text{Union}(w, v), \text{Union}(w, x)$ 

**Fig. 4.** A union sequence  $\sigma_1$  and the corresponding graph  $G^{\sigma_1}$ .

A lower bound for Dyck reachability on bidirected graphs. We are now ready to prove our lower bound. The proof consists in showing that there exists no algorithm that solves the problem in  $o(m \cdot \alpha(n))$  time. Assume towards contradiction otherwise, and let A' be an algorithm that solves the problem in time  $o(m \cdot \alpha(n))$ . We construct an algorithm A that solves the Separated Union-Find problem in the same time.

Let  $\sigma = \sigma_1 \circ \sigma_2$  be a separated union-find sequence, where  $\sigma_1$  is a union sequence and  $\sigma_2$  is a find sequence. The algorithm A operates as follows. It performs no operations until the whole of  $\sigma_1$  has been revealed. Then, A' constructs the union graph  $G^{\sigma_1}$ , and uses A' to solve the Dyck reachability problem on  $G^{\sigma_1}$ . Finally, every Find(x) operation encountered in  $\sigma_2$  is handled by A by using the answer of A' on  $G^{\sigma_1}$ .

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It is easy to see that A handles the input sequence  $\sigma$  correctly. Indeed, for any sequence of union operations  $\mathsf{Union}(x_i,y_i),\ldots,\mathsf{Union}(x_j,y_j)$  that bring two elements x and y to the same set, the edges  $(z_i,x_i,\overline{\alpha}),(z_i,y_i,\overline{\alpha}),\ldots,(z_j,x_j,\overline{\alpha}),(z_j,y_j,\overline{\alpha})$  must bring x and y to the same DSCC of  $G^{\Sigma_1}$ . Finally, the algorithm A requires O(m) time for constructing G and answering all queries, plus  $o(m\cdot\alpha(n))$  time for running A' on  $G^{\Sigma_1}$ . Hence A operates in  $o(m\cdot\alpha(n))$  time, which contradicts Lemma 3.8.

We have thus arrived at the following theorem.

Theorem 3.9 (Lower-bound). Any Dyck reachability algorithm for bidirected graphs with n nodes and  $m = \Omega(n)$  edges requires  $\Omega(m + n \cdot \alpha(n))$  time in the worst case.

Theorem 3.9 together with Theorem 3.6 yield the following corollary.

COROLLARY 3.10 (OPTIMALITY). The Dyck reachability algorithm BidirectedReach for bidirected graphs is optimal wrt to worst-case complexity.

# 4 AN $\Omega(M+N\cdot\alpha(N))$ LOWER BOUND FOR DYCK REACHABILITY ON CONSTANT-TREEWIDTH BIDIRECTED GRAPHS

In this section we consider Dyck reachability on constant-treewidth bidirected graphs. We start with a remark about the question and our result.

Remark 3. In Theorem 3.9 we establish a lower bound for Dyck reachability on general bidirected graphs. For Dyck reachability on bidirected trees, a linear-time (O(n)-time) algorithm is known [Zhang et al. 2013]. For a large variety of problems, the complexity on graphs with constant treewidth coincides with the complexity on trees, e.g., for shortest paths [Chaudhuri and Zaroliagis 1995], various combinatorial optimization problems [Bertele and Brioschi 1972], and even NP-complete problems [Arnborg and Proskurowski 1989; Bern et al. 1987; Bodlaender 1988]. Thus a natural question is whether linear-time algorithm for Dyck reachability can be obtained for constant-treewidth bidirected graphs. Quite unexpectedly, we present a superlinear lower bound of  $\Omega(n \cdot \alpha(n))$  time for Dyck reachability on constant-treewidth bidirected graphs. We show that for Dyck reachability on bidirected graphs, graphs of treewidth 3 are as hard to solve as arbitrary graphs.

**3-access sequences.** A union-find sequence is called *3-access* if every element appears in at most 3 Union operations. As the following lemma shows, the union-find problem over 3-access sequences is as hard as over general sequences.

Lemma 4.1. Any algorithm for the Union-Find problem over 3-access sequences requires  $\Omega(m \cdot \alpha(n))$  time, where n is the length of the input sequence.

PROOF. Consider any algorithm A' that solves the Union-Find problem over 3-access sequences. We will describe an algorithm A that can handle arbitrary sequences using A as an oracle. Given a sequence  $\sigma$  of length n, the algorithm A will be constructing a new, 3-access sequence  $\sigma'$ , and running A' on  $\sigma'$ .

The algorithm simply uses a fresh surrogate symbol  $x_i \notin X$  to replace the i-th appearance of the symbol  $x \in X$  in  $\sigma$  in a union operation. Consider an operation  $\mathsf{Union}(x,y)$ , where x,y appear for the i-th and j-th time in  $\sigma$ , respectively. The algorithm A introduces two new surrogate symbols  $x_i$ ,  $y_i$ , and extends  $\sigma'$  by the following operations:  $\mathsf{Union}(x_{i-1},x_i)$ ,  $\mathsf{Union}(y_{i-1},y_i)$ ,  $\mathsf{Union}(x_i,y_i)$ .

It is easy to see that  $\sigma'$  is a 3-access sequence of length at most  $3 \cdot m$ . Hence the running time of A is asymptotically equal to that of A', i.e.  $o(m \cdot \alpha(n))$ . This contradicts the lower bound of Lemma 3.8.

The desired result follows.  $\Box$ 

In the next lemma we show that the union graph  $G^{\sigma}$  of a 3-access sequence  $\sigma$  has constant treewidth.

LEMMA 4.2. Given a 3-access union-find sequence  $\sigma$ , the graph  $G^{\sigma}$  has treewidth at most 3.

PROOF. Let *X* be the universe of  $\sigma$ . We construct a tree decomposition Tree( $G^{\sigma}$ ) as follows.

- (1) The node set of  $\operatorname{Tree}(G^{\sigma})$  contains one bag  $B_x$  per node  $x \in X$ . The contents the bag are  $B_x = \{x, z_{i_1}, z_{i_2}, z_{i_3}\}$ , where  $z_{i_j}$ , for  $1 \le j \le 3$ , are the nodes of  $G^{\sigma}$  that have an outgoing edge  $(z_{i_1}, x, \overline{\alpha}) \in E^{\sigma}$ .
- (2) Given two nodes  $x, y \in X$ , there exists an edge  $(B_x, B_y)$  in  $\mathsf{Tree}(G^\sigma)$  iff  $\sigma$  contains an operation  $\mathsf{Union}(x,y)$ .

First, observe that  $\operatorname{Tree}(G^{\sigma})$  is indeed a tree, as if there exists a cycle C, the edge of C that corresponds to the last Union operation of  $\sigma$  represents some  $\operatorname{Union}(x,y)$  such that x and y were already in the same set at that point. By the definition of the Union-Find problem,  $\operatorname{Union}(x,y)$  was not allowed at that point, and  $\sigma$  is an invalid sequence.

Second, we argue that  $\mathsf{Tree}(G^\sigma)$  is a tree decomposition. It is easy to see that every node and edge of  $G^\sigma$  is covered by some bag of  $\mathsf{Tree}(G^\sigma)$ . To argue that every node appears in a contiguous subtree of  $\mathsf{Tree}(G^\sigma)$ , note that (i) every node  $x \in X$  appears in a single bag  $B_x$ , and (ii) every node  $z_{i_j} \in V^\sigma \setminus X$  appears only in two bags  $B_x$ ,  $B_y$ , such that the  $i_j$ -th operation of  $\sigma$  is  $\mathsf{Union}(x,y)$ , and these two bags are connected by an edge.

Finally, it follows easily that  $\mathsf{Tree}(G^\sigma)$  has width at most 3, since, by construction, every bag contains at most 4 nodes. We conclude that  $G^\sigma$  has treewidth at most 3. The desired result follows.

Lemma 4.1 and Lemma 4.2 together with the reduction of Theorem 3.9 lead to the following theorem.

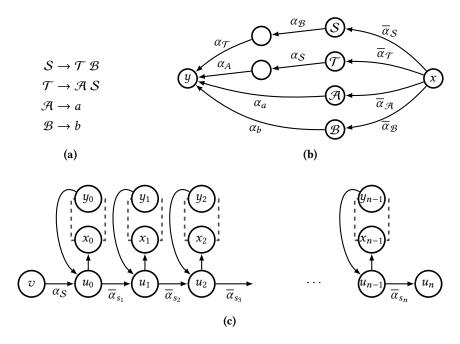
Theorem 4.3. Any Dyck reachability algorithm requires  $\Omega(n \cdot (\alpha(n)))$  time for the class of constant-treewidth bidirected graphs of n nodes.

#### 5 DYCK REACHABILITY ON GENERAL GRAPHS

In this section we present a hardness result regarding the Dyck reachability problem on general graphs, as well as on graphs of constant treewidth.

**Complexity of Dyck reachability.** Dyck reachability on general graphs is one of the most standard algorithmic formulations of various static analyses. The problem is well-known to admit a cubic-time solution, while the currently best bound is  $O(n^3/\log n)$  due to [Chaudhuri 2008]. Dyck reachability is also known to be 2NPDA-hard [Heintze and McAllester 1997], which yields a conditional cubic lower bound wrt polynomial improvements. Here we investigate further the complexity of Dyck reachability. We prove that Dych reachability is Boolean Matrix Multiplication (BMM)-hard. Note that since Dyck reachability is a combinatorial graph problem, techniques such as fast-matrix multiplication (e.g. Strassen's algorithm [Strassen 1969]) are unlikely to be applicable. Hence we consider combinatorial (i.e., discrete, graph-theoretic) algorithms. The standard

BMM-conjecture [Abboud and Vassilevska Williams 2014; Henzinger et al. 2015; Lee 2002; Vassilevska Williams and Williams 2010] states that there is no truly sub-cubic  $(O(n^{3-\delta})$ , for  $\delta>0)$  combinatorial algorithm for Boolean Matrix Multiplication. Given this conjecture, various algorithmic works establish conditional hardness results. Here we show that Dyck reachability is BMM-hard on general graphs, which yields a new conditional cubic lower bound for the problem. Additionally, we show that BMM hardness also holds for Dyck reachability on graphs of constant treewidth. We establish this by showing Dyck reachability on general graphs is hard as CFL parsing, which we present below.



**Fig. 5.** (5a) A grammar  $\mathcal{G}$  for the language  $a^n b^n$ , (5b) The gadget graph  $G^{\mathcal{G}}$ , (5c) The parse graph  $G_s^{\mathcal{G}}$ , given a string  $s = s_1, \ldots, d_n$ .

The gadget graph  $G^{\mathcal{G}}$ . Given a Context-free grammar  $\mathcal{G}$  in Chomsky normal form, we construct the gadget graph  $G^{\mathcal{G}} = (V^{\mathcal{G}}, E^{\mathcal{G}})$  as follows.

- (1) The node set  $V^{\mathcal{G}}$  contains two distinguished nodes x, y, together with a node  $x_i$  for the i-th production rule  $p_i$ . Additionally, if  $p_i$  is of the form  $\mathcal{A} \to \mathcal{B} C$ , then  $V^{\mathcal{G}}$  contains a node  $y_i$ .
- (2) The edge set  $E^{\mathcal{G}}$  contains an edge  $(x, x_i, \overline{\alpha}_{\mathcal{A}})$ , where  $\mathcal{A}$  is the left hand side symbol of the *i*-th production rule  $p_i$  of  $\mathcal{G}$ . Additionally,
  - (a) if  $p_i$  is of the form  $\mathcal{A} \to a$ , then  $E^{\mathcal{G}}$  contains an edge  $(x_i, y, \alpha_a)$ , else
  - (b) if  $p_i$  is of the form  $\mathcal{A} \to \mathcal{B} C$ , then  $E^{\mathcal{G}}$  contains the edges  $(x_i, y_i, \alpha_C)$  and  $(y_i, y, \alpha_{\mathcal{B}})$ .

See Figure 5 (5a), (5b) for an illustration.

The parse graph  $G_s^{\mathcal{G}}$ . Given a grammar  $\mathcal{G}$  and an input string  $s = s_1, \ldots s_n$ , we construct the *parse graph*  $G_s^{\mathcal{G}} = (V_s^{\mathcal{G}}, E_s^{\mathcal{G}})$  as follows. The graph consists of two parts. The first part is a line graph that contains nodes  $v, u_0, u_1, \ldots u_n$ , with the edges  $(v, u_0, \alpha_{\mathcal{S}})$  and  $(u_{i-1}, u_i, \overline{\alpha}_{s_i})$  for all  $1 \le i \le n$ . The second part consists of a n copies of the gadget graph  $G^{\mathcal{G}}$ , counting from 0 to n-1. Finally, we

have a pair of edges  $(u_i, x_i, \epsilon)$ ,  $(y_i, u_i, \epsilon)$  for every  $0 \le i < n$ , where  $x_i$  (resp.  $y_i$ ) is the distinguished x node (resp. y node) of the i-th gadget graph. See Figure 5 (5c) for an illustration.

LEMMA 5.1. The node  $u_n$  is Dyck-reachable from node v iff s is generated by G.

PROOF. Given a path P, we denote by  $\overline{\lambda}(P)$  the substring of  $\lambda(P)$  that consists of all the closing-parenthesis symbols of  $\lambda(P)$ . The proof follows directly from the following observation: the parse graph  $G_s^{\mathcal{G}}$  contains a path  $P: v \rightsquigarrow u_n$  with  $\lambda(P) \in \mathcal{L}$  if and only if  $\overline{\lambda}(P)$  corresponds to a pre-order traversal of a derivation tree of the string s wrt the grammar  $\mathcal{G}$ .

Theorem 5.2. If there exists a combinatorial algorithm that solves the all-pairs Dyck reachability problem in time  $\mathcal{T}(n)$ , where n is the number of nodes of the input graph, then there exists a combinatorial algorithm that solves the CFL parsing problem in time  $O(n + \mathcal{T}(n))$ .

Since CFL-parsing is BMM-hard, combining Theorem 5.2 with [Lee 2002, Theorem 2] we obtain the following corollary.

COROLLARY 5.3 (BMM-HARDNESS: CONDITIONAL CUBIC LOWER BOUND). For any fixed  $\delta > 0$ , if there is a combinatorial algorithm that solves the Dyck reachability problem in  $O(n^{3-\delta})$  time, then there is a combinatorial algorithm that solves Boolean Matrix Multiplication in  $O(n^{3-\delta/3})$  time.

REMARK 4 (**BMM hardness for low-treewidth graphs**). Note that since the size of the grammar  $\mathcal{G}$  is constant, the parse graph  $G_s^{\mathcal{G}}$  has constant treewidth. Hence the BMM hardness of Corollary 5.3 also holds if we restrict our attention to Dyck reachability on graphs of constant treewidth.

# 6 LIBRARY/CLIENT DYCK REACHABILITY

In this section we present some new results for library/client Dyck reachability with applications to context-sensitive data-dependence analysis. One crucial step to our improvements is the fact that we consider that the underlying graphs are not arbitrary, but have special structure. We start with Section 6.1 which defines formally the graph models we deal with, and their structural properties. Afterwards, in Section 6.2 we present our algorithms.

## 6.1 Problem definition

Here we present a formal definition of the input graphs that we will be considering for library/client Dyck reachability with application to context-sensitive data-dependence analysis. Each input graph G is not an arbitrary  $\Sigma_k$ -labeled graph, but has two important structural properties.

- (1) G can be naturally partitioned to subgraphs  $G_1, \ldots G_\ell$ , such that every  $G_i$  has only  $\epsilon$ -labeled edges. Each such  $G_i = (V_i, E_i)$  corresponds to a method of the input program. There are only a few nodes of  $V_i$  that have *incoming* edges that are non- $\epsilon$ -labeled. Similarly, there are only a few nodes of  $V_i$  that have *outgoing* edges that are non- $\epsilon$ -labeled. These are nodes that correspond to the input parameters and return statements of the i-th method of the program, which are almost always only a few.
- (2) Each  $G_i$  is a graph of *low treewidth*. This is an important graph-theoretic property which, informally, means that  $G_i$  is similar to a tree (although  $G_i$  is not a tree).

In the following definitions, we make the above structural properties formal and precise. We start with the first structural property, we captures the fact that the input graph *G* consists of many local

graphs  $G_i$ , one for each method of the input program, and the parenthesis-labeled edges model context sensitivity.

**Program-valid partitionings.** Let G = (V, E) be a  $\Sigma_k$ -labeled graph. Given some  $1 \le i \le k$ , we define the following sets.

$$V_c(\alpha_i) = \{ u : \exists (u, v, \alpha_i) \in E \}$$

$$V_e(\alpha_i) = \{ v : \exists (u, v, \alpha_i) \in E \}$$

$$V_r(\overline{\alpha}_i) = \{ v : \exists (u, v, \overline{\alpha}_i) \in E \}$$

$$V_r(\overline{\alpha}_i) = \{ v : \exists (u, v, \alpha_i) \in E \}$$

In words, (i)  $V_c(\alpha_i)$  contains the nodes that have a  $\alpha_i$ -labeled outgoing edge, (ii)  $V_e(\alpha_i)$  contains the nodes that have a  $\alpha_i$ -labeled incoming edge, (iii)  $V_x(\overline{\alpha}_i)$  contains the nodes that have a  $\overline{\alpha}_i$ -labeled outgoing edge, and (iv)  $V_r(\overline{\alpha}_i)$  contains the nodes that have a  $\overline{\alpha}_i$ -labeled incoming edge. Additionally, we define the following sets.

$$V_c = \bigcup_i V_c(\alpha_i) \quad V_e = \bigcup_i V_e(\alpha_i) \quad V_x = \bigcup_i V_x(\overline{\alpha}_i) \quad V_r = \bigcup_i V_r(\overline{\alpha}_i)$$

Consider a partitioning  $\mathcal{V} = \{V_1, \dots, V_\ell\}$  of the node set V, i.e.,  $\bigcup_i V_i = V$  and  $V_i \cap V_j = \emptyset$  for all  $1 \le i, j \le \ell$ . We say that  $\mathcal{V}$  is *program-valid* if the following conditions hold: for every  $1 \le i \le k$ , there exist some  $1 \le j_1, j_2 \le \ell$  such that (i)  $V_c(\alpha_i), V_r(\overline{\alpha}_i) \subseteq V_{j_1}$ , and (ii)  $V_e(\alpha_i), V_x(\overline{\alpha}_i) \subseteq V_{j_2}$ . Intuitively, the parenthesis-labeled edges of G correspond to method calls and returns, and thus model context sensitivity. Each parenthesis type models the calling context, and each  $G[V_i]$  corresponds to a single method of the program. Since the calling context is tied to two methods (the caller and the callee), conditions (i) and (ii) must hold for the partitioning.

A program-valid partitioning  $\mathcal{V}=\{V_1,\ldots V_\ell\}$  is called b-bounded if there exists some  $b\in\mathbb{N}$  such that for all  $1\leq j\leq \ell$  we have that  $|V_e\cap V_j|, |V_x\cap V_j|\leq b$ . Note that since  $\mathcal{V}$  is program-valid, this condition also yields that for all  $1\leq i\leq k$  we have that  $|V_c(\alpha_i)|, |V_r(\overline{\alpha}_i)|\leq b$ . In this paper we consider that b=O(1), i.e., b is constant wrt the size of the input graph. This is true since the sets  $V_e\cap V_j$  and  $V_x\cap V_j$  represent the input parameters and the return statements of the j-th method in the program. Similarly, the sets  $V_c(\alpha_i), V_r(\overline{\alpha}_i)$  represent the variables that are passed as input and the variables that capture the return, respectively, of the method that the i-th call site refers to. In all practical cases, each of the above sets has constant size (or even size 1, in the case of return variables).

**Program-valid graphs.** The graph G is called *program-valid* if there exists a constant  $b \in \mathbb{N}$  such that G has b-bounded program valid partitioning. Given a such a partitioning  $\mathcal{V} = \{V_1, \ldots, V_\ell\}$ , we call each graph  $G_i = (V_i, E_i) = G[V_i]$  a *local graph*. Given a partitioning of V to the library partition  $V^1$  and client partition  $V^2$ , V induces a program-valid partitioning on each of the library subgraph  $G^1 = G[V^1]$  and  $G^2 = G[V^2]$ . See Figure 6 for an example.

We now present the second structural property of input graphs that we exploit in this work. Namely, for a program-valid input graph G with a program-valid partitioning  $\mathcal{V} = \{V_1, \ldots, V_\ell\}$  the local graphs  $G_i = G[V_i]$  are graphs of *low treewidth*. It is known that the control-flow graphs (CFGs) of goto-free programs have small treewidth [Thorup 1998]. The local graphs  $G_i$  are not CFGs, but rather graphs defined by def-use chains. As we show in this work (see Section 7.2), the local def-use graphs of real-world benchmarks also have small treewidth in each method. Below, we make the above notions precise.

**Tree decompositions and treewidth** [Robertson and Seymour 1984]. Given a graph G, a tree-decomposition  $Tree(G) = (V_T, E_T)$  is a tree with the following properties.

- C1:  $V_T = \{B_1, \dots, B_b : \text{ for all } 1 \le i \le b. \ B_i \subseteq V\}$  and  $\bigcup_{B_i \in V_T} B_i = V$ . That is, each node of Tree(G) is a subset of nodes of G, and each node of G appears in some node of Tree(G).
- *C2*: For all  $(u, v) \in E$  there exists  $B_i \in V_T$  such that  $u, v \in B_i$ . That is, the endpoints of each edge of G appear together in some node of Tree(G).
- C3: For all  $B_i$ ,  $B_j$  and any bag  $B_k$  that appears in the simple path  $B_i \rightsquigarrow B_j$  in Tree(G), we have  $B_i \cap B_j \subseteq B_k$ . That is, every node of G is contained in a contiguous subtree of Tree(G).

To distinguish between the nodes of G and the nodes of Tree(G), the sets  $B_i$  are called bags. The width of a tree-decomposition Tree(G) is the size of the largest bag minus 1 and the treewidth of G is the width of a minimum-width tree decomposition of G. It follows from the definition that if G has constant treewidth, then m = O(n). A graph has treewidth 1 precisely if it is a tree. For a node  $u \in V$ , we say that a bag B is the treevides bag of treevides if treevides bag with the smallest level among all bags that contain treevides is the treevides bag definition, there is exactly one root bag for each node treevides is treevides bag of node treevides and denote by treevides bag of the largest level that is the root bag of one of treevides of the following well-known theorem states that tree decompositions of constant-treewidth graphs can be constructed efficiently.

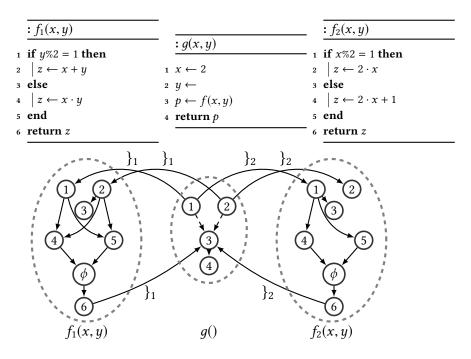
THEOREM 6.1 ([BODLAENDER AND HAGERUP 1995]). Given a graph G = (V, E) of n nodes and treewidth t = O(1), a tree decomposition Tree(G) of O(n) bags, height  $O(\log n)$  and width O(t) = O(1) can be constructed in O(n) time.

The following crucial lemma states the key property of tree decompositions that we exploit in this work towards fast algorithms for Dyck reachability. Intuitively, every bag of a tree decomposition Tree(G) acts as a separator of the graph G.

LEMMA 6.2 ([Bodlaender 1998, Lemma 3]). Consider a graph G = (V, E), a tree-decomposition T = Tree(G), and a bag B of T. Let  $(C_i)_i$  be the components of T created by removing B from T, and let  $V_i$  be the set of nodes that appear in bags of component  $C_i$ . For every  $i \neq j$ , nodes  $u \in V_i$ ,  $v \in V_j$  and path  $P : u \leadsto v$ , we have that  $P \cap B \neq \emptyset$  (i.e., all paths between u and v go through some node in B).

**Program-valid treewidth.** Let G = (V, E) be a  $\Sigma_k$ -labeled program-valid graph, and  $\mathcal{V} = \{V_1, \ldots, V_\ell\}$  a program-valid partitioning of G. For each  $1 \leq i \leq \ell$ , let  $G_i = (V_i, E_i) = G[V_i]$ . We define the graph  $G_i' = (V_i, E_i')$  such that

$$E'_i = E_i \bigcup_{1 \le j \le k} \left( V_c(\alpha_j) \cap V_i \right) \times \left( V_r(\overline{\alpha}_j) \cap V_i \right)$$



**Fig. 6.** Example of a library/client program and the corresponding program-valid data-dependence graph. The library consists of method g() which has a callback function f(x,y). The client implements f(x,y) either as  $f_1(x,y)$  or  $f_2(x,y)$ . The parenthesis-labeled edge model context-sensitive dependencies on parameter passing and return. Note that depending on the implementation of f, there is a data dependence of the variable f on f.

and call  $G_i'$  the *maximal* graph of  $G_i$ . In words, the graph  $G_i'$  is identical to  $G_i$ , with the exception that  $G_i'$  contains an extra edge for every pair of nodes  $u, v \in V_i$  such that u has opening-parenthesis-labeled outgoing edges, and v has closing-parenthesis-labeled incoming edges. We define the treewidth of V to be the smallest integer t such that the treewidth of each  $G_i'$  is at most t. We define the width of the pair (G, V) as the treewidth of V, and the *program-valid treewidth* of G to be the smallest treewidth among its program-valid partitionings.

The Library/Client Dyck reachability problem on program-valid graphs. Here we define the algorithmic problem that we solve in this section. Let G = (V, E) be a  $\Sigma_k$ -labeled, program-valid graph and  $\mathcal{V}$  a program-valid partitioning of G that has constant treewidth (k need not be constant). The set  $\mathcal{V}$  is further partitioned into two sets,  $\mathcal{V}^1$  and  $\mathcal{V}^2$  that correspond to the *library* and *client* partitions, respectively. We let  $V^1 = \bigcup_{V_i \in \mathcal{V}^1} V_i$  and  $V^2 = \bigcup_{V_i \in \mathcal{V}^2} V_i$ , and define the *library graph*  $G^1 = (V^1, E^1) = G[V^1]$  and the *client graph*  $G^2 = (V^2, E^2) = G[V^1]$ .

The task is to answer Dyck reachability queries on G, where the queries are either (i) single source queries from some node  $u \in V^2$ , or (ii) pair queries for some pair  $u, v \in V^2$ . The computation takes place in two phases. In the *preprocessing phase*, only the library graph  $G^1$  is revealed, and we are allowed to do some preprocessing to compute reachability summaries. In the *query phase*, the whole graph G is revealed, and our task is to handle queries fast, by utilizing the preprocessing done on  $G^1$ .

# 6.2 Library/Client Dyck reachability on Program-valid Graphs

We are now ready to present our method for computing library summaries on program-valid graphs in order to speed up the client-side Dyck reachability. The approach is very similar to the work of [Chatterjee et al. 2015] for data-flow analysis of recursive state machines.

**Outline of our approach.** Our approach consists of the following conceptual steps. We let the input graph G = (V, E) be any program-valid graph of constant treewidth, with a partitioning of V into the library component  $V^1$  and the client component  $V^2$ . Since G is program-valid, it has a constant-treewidth, program-valid partitioning V, and we consider  $V^1$  to be the restriction of V to the set  $V^1$ . Hence we have  $V^1 = \{V_1, \dots, V_\ell\}$  be a program-valid partitioning of  $G[V^1]$ , which also has constant treewidth. Our approach consists of the following steps.

- (1) We construct a local graph  $G_i = (V_i, E_i)$  and the corresponding maximal local graph  $G'_i = (V_i, E'_i)$  for each  $V_i \in \mathcal{V}$ . Recall that  $G'_i$  is a conventional graph, since, by definition,  $E'_i$  contains only  $\epsilon$ -labeled edges. Since  $\mathcal{V}$  has constant treewidth, each graph  $G'_i$  has constant treewidth, and we construct a tree decomposition Tree( $G'_i$ ).
- (2) We exploit the constant-treewidth property of each  $G'_i$  to build a data structure  $\mathcal{D}$  which supports the following two operations: (i) Querying whether a node v is reachable from a node u in  $G'_i$ , and (ii) Updating  $G_i$  by inserting a new edge (x, y). Moreover, each such operation is fast, i.e., it is performed in  $O(\log n_i)$  time.
- (3) Recall that  $V^1$ ,  $V^2$  are the library and client partitions of G, respectively. In the preprocessing phase, we use the data structure  $\mathcal{D}$  to preprocess  $G[V^1]$  so that any pair of library nodes that is Dyck-reachable in  $G[V^1]$  is discovered and can be queried fast. Hence this library-side reachability information serves as the summary on the library side.
- (4) In the query phase, we use  $\mathcal{D}$  to process the whole graph G, using the summaries computed in the preprocessing phase.

Step 1. Construction of the local graphs  $G_i$  and the tree decompositions. The local graphs  $G_i$  are extracted from  $G[V^1]$  by means of its program-valid partitioning  $\mathcal{V}^1 = \{V_1, \ldots, V_\ell\}$ . We consider this partitioning as part of the input, since every local graph  $G_i$  in reality corresponds to a unique method of the input program represented by G. Let  $n_i = |V_i|$ . The maximal local graphs  $G_i' = (V_i, E_i')$  are constructed as defined in Section 6.1. Each tree decomposition  $\operatorname{Tree}(G_i')$  is constructed in  $O(n_i)$  time using Theorem 6.1. Observe that since  $E_i \subseteq E_i'$  (i.e.,  $G_i$  is a subgraph of its maximal counterpart  $G_i'$ ),  $\operatorname{Tree}(G_i')$  is also a tree decomposition of  $G_i$ . We define  $\operatorname{Tree}(G_i) = \operatorname{Tree}(G_i')$  for all  $1 \le i \le \ell$ .

Step 2. Description of the data structure  $\mathcal{D}$ . Here we describe the data structure  $\mathcal{D}$ , which is built for a conventional graph  $G_i = (V_i, E_i)$  (i.e.,  $E_i$  has only  $\epsilon$ -labeled edges) and its tree decomposition  $\mathsf{Tree}(G_i)$ . The purpose of  $\mathcal{D}$  is to handle reachability queries on  $G_i$ . The data structure supports three operations, given in Algorithm 2, Algorithm 3 and Algorithm 4.

- (1) The  $\mathcal{D}$ .Build (Algorithm 2) operation builds the data structure for  $G_i$ .
- (2) The  $\mathcal{D}$ . Update (Algorithm 3) updates the graph  $G_i$  with a new edge (x, y), provided that there exists a bag B such that  $x, y \in B$ .
- (3) The  $\mathcal{D}$ . Query (Algorithm 4) takes as input a pair of nodes x, y and returns True iff y is reachable from x in  $G_i$ , considering all the update operations performed so far.

# Algorithm 2: D.Build

```
Input: A tree-decomposition Tree(G_i) = (V_T, E_T)

1 Traverse Tree(G) bottom up

2 foreach encountered bag B do

3 | Construct the graph G(B) = (B, R(B))

4 Compute the transitive closure G^*(B)

5 | foreach (u, v) \in B do

6 | if u \leadsto v in G^*(B) then

7 | Insert u, v in R

8 | end
```

# Algorithm 3: $\mathcal{D}$ . Update Input: A new edge (x, y)

```
Input: A new edge (x, y)

1 Traverse Tree(G) from B_{(u,v)} to the root

2 foreach encountered bag B do

3 | Construct the graph G(B) = (B, R(B))

4 | Compute the transitive closure G^*(B)

5 | foreach u, v \in B do

6 | if u \leadsto v in G^*(B) then

7 | | Insert (u, v) in R

8 | end
```

# Algorithm 4: D. Query

```
Input: A pair of nodes x, y
 1 Let X \leftarrow \{x\}, Y \leftarrow \{y\}
<sup>2</sup> Traverse Tree(G) from B_x to the root
3 foreach encountered bag B do
     foreach u, v \in B do
       if u \in X and (u, v) \in R then
      Add v to X
     end
   Traverse Tree(G) from B_y to the root
   foreach encountered bag B do
11
     foreach u, v \in B do
       if v \in Y and (u, v) \in R then
12
       Add u to Y
13
     end
14
15 end
16 return True iff X \cap Y \neq \emptyset
```

# Algorithm 5: Process

```
Input: Method graphs G_1 = (V_1, E_1), \dots, G_{\ell} = (V_{\ell}, E_{\ell})
 1 foreach 1 \le i \le \ell do
      Construct Tree(G_i)
      Run \mathcal{D}.Build on Tree(G_i)
  Pool \leftarrow \{G_1, \dots G_\ell\}
   while Pool \neq \emptyset do
      Extract G_i from Pool
      foreach u \in V_j \cap V_e, v \in V_j \cap V_x do
8
         if \mathcal{D}.Query(u, v) then
           foreach x : (x, u, \alpha_i) \in E, y : (v, y, \alpha_i) \in E do
10
11
              Let G_r = (V_r, E_r) be the graph such that
                x, y \in V_r
              if not \mathcal{D}.Query(x, y) then
12
                 Run \mathcal{D}. Update on Tree(G_r) on (x, y)
13
                 Insert G_r in Pool
14
           end
15
      end
16
  end
17
```

The reachability set R. The data structure  $\mathcal{D}$  is built by storing a reachability set R between pairs of nodes. The set R has the crucial property that it stores information only between pairs of nodes that appear in some bag of Tree( $G_i$ ) together. That is,  $R = \subseteq \bigcup_B B \times B$ . Given a bag B, we denote by R(B) the restriction of R to the nodes of B. The reachability set is stored as a collection of  $2 \sum_i \cdot n_i$  sets  $R^F(u)$  and  $R^B(u)$ , one for every node  $u \in V_i$ . In turn, the set  $R^F(u)$  (resp.  $R^B(u)$ ) will store the nodes in  $B_u$  (recall that  $B_u$  is the root bag of node u) for which it has been discovered that can be reached from u (resp., that can reach u). It follows directly from the definition of tree decompositions that if  $(u, v) \in E_i$  is an edge of  $G_i$  then  $u \in B_v$  or  $v \in B_u$ . Hence, given a bag B and nodes  $u, v \in B$ , querying whether  $u, v \in B$  reduces to testing whether  $v \in R^F(u)$  or  $v \in R^B(v)$ . Similarly, inserting  $v \in R^B(v)$  to  $v \in R^B(v)$  (if  $v \in R^B(v)$ ).

Remark 5. The map R requires O(n) space. Since each  $G_i$  is a constant-treewidth graph, every insert and query operation on R requires O(1) time.

**Correctness and complexity of**  $\mathcal{D}$ **.** Here we establish the correctness and complexity of each operation of  $\mathcal{D}$ .

It is rather straightforward to see that for every pair of nodes  $(u, v) \in R$ , we have that v is reachable from u. The following lemma states a kind of weak completeness: if v is reachable from u via a path of specific type, then  $(u, v) \in R$ . Although this is different from strong completeness, which would require that  $(u, v) \in R$  whenever v is reachable from u, it is sufficient for ensuring completeness of the  $\mathcal{D}$ .Query algorithm.

**Left-right-contained paths.** We introduce the notion of left-right contained paths, which is crucial for stating the correctness of the data structure  $\mathcal{D}$ . Given a bag B of  $\mathsf{Tree}(G_i)$ , we say that a path  $P: x \leadsto y$  is left-contained in B if for every node  $w \in P$ , if  $w \ne x$ , we have that  $B_w \in T(B)$ . Similarly, P is right-contained in B if for every node  $w \in P$ , if  $w \ne y$ , we have that  $B_w \in T(B)$ . Finally, P is left-right-contained in B if it is both left-contained and right-contained in B.

Lemma 6.3. The data structure  $\mathcal{D}$  maintains the following invariant. For every bag B and pair of nodes  $u, v \in B$ , if there is a  $P_u^v : u \leadsto v$  which is left-right contained in B, then after  $\mathcal{D}$ . Build has processed B, we have  $(u, v) \in R$ .

PROOF. We prove that the invariant holds (i) at the end of  $\mathcal{D}$ . Build, and (ii) after each execution of  $\mathcal{D}$ . Update.

- (1)  $\mathcal{D}$ . Build. The proof is given by induction on the sequence of bags processed by  $\mathcal{D}$ . Build. The claim is true if B is a leaf of T, as all  $P_u^v$  paths considered can only contain nodes from B. Now let B be some non-leaf bag examined by the algorithm, and by the induction hypothesis the claim holds for all children  $B^1, \ldots B^l$  of B. The claim follows directly from the induction hypothesis if B is not the root bag of any node. Otherwise, let  $x_1, \ldots x_l$  be the nodes whose root bag is B, and note that any path  $P_u^v$  can be decomposed to  $P_u^v = P_u^{x_{i_1}} \circ P_{x_{i_1}}^{x_{i_2}} \circ \cdots \circ P_{x_{i_r}}^v$ , where in all cases  $P_a^b$  is a path  $a \leadsto b$ , and no node  $x_i$  is present in any  $P_a^b$  except possibly for the endpoints A and A. By the induction hypothesis, we have A0 is computed, we have that A1 is A2 in A3.
- (2)  $\mathcal{D}$ . Update. The proof is similar to that of  $\mathcal{D}$ . Build. The key observation is that if a path  $P_u^v: u \leadsto v$  of interest uses the new edge (x, y), then it must be that u, v appear together in  $B_{(x,y)}$  or one of its ancestors, and these are exactly the bags that are processed by  $\mathcal{D}$ . Update.

The desired result follows.

It is rather straightforward that at the end of  $\mathcal{D}$ .Query, for every node  $w \in X$  (resp.  $w \in Y$ ) we have that w is reachable from x (resp. y is reachable from w). This guarantees that if  $\mathcal{D}$ .Query returns True, then y is indeed reachable from x, via some node  $w \in X \cap Y$  (recall that the intersection is not empty, due to Line 16). The following lemma states completeness, namely that if y is reachable from x, then  $\mathcal{D}$ .Query will return True.

Lemma 6.4. On input x, y, if y is reachable from x, then  $\mathcal{D}$ . Query returns True.

PROOF. Let  $P: x \rightsquigarrow y$  be a simple path, and  $z = \arg_{w \in P} \min \mathsf{Lv}(w)$  be the node of P with the minimum level (possibly w = x or w = y). We show that at the end of  $\mathcal{D}$ . Query we have  $w \in X \cap Y$ . We only argue that  $w \in X$ , as the proof of  $w \in Y$  is similar.

First, observe that due to Lemma 6.2,  $B_w$  must be either  $B_x$  or some proper ancestor of  $B_x$ . We show the following: for every ancestor bag B of  $B_x$ , for every node  $z \in B$ , if there exists a right-contained

path  $P_x^z$  in B, then  $z \in X$ . Note that proving this statement yields that  $w \in X$ , as by the choice of w we have that  $P_x^w$  is a right-contained path in  $B_w$ .

We prove the above claim by induction on the ancestors of  $B_x$ . The claim is true when  $B = B_x$ , directly from Lemma 6.3. Now let B be any ancestor of  $B_x$  at level i, and by the induction hypothesis the claim holds for the ancestor B' of B at level i+1. Examine any path of interest  $P_x^z$ , and observe that  $P_x^z$  can be decomposed to paths  $P_x^y \circ P_y^z$  such that (i)  $P_x^y$  is right-contained in the bag B' which is an ancestor of  $B_x$  and child of B, and (ii)  $P_y^z$  is left-right-contained in B. By the induction hypothesis, we have that  $y \in X$ . By Lemma 6.3, we have  $(y, z) \in R$ . Then, in Line 5 will add z in X.

The desired result follows.

The following lemma states the complexity of  $\mathcal{D}$  operations.

LEMMA 6.5.  $\mathcal{D}$ . Build requires  $O(n_i)$  time. Every call to  $\mathcal{D}$ . Update and  $\mathcal{D}$ . Query requires  $O(\log n_i)$  time.

PROOF. We establish the complexity of each method separately.

- (1)  $\mathcal{D}$ . Build. Observe that since the graph has constant treewidth, we have |B| = 1 for each encountered bag, and hence the transitive closure is computed in O(1) time. The algorithm will examine each bag once, and since, by Theorem 6.1, there are O(n) bags, the total running time of  $\mathcal{D}$ . Update is O(n).
- (2)  $\mathcal{D}$ . Update. Similarly as before, the transitive closure in each bag requires O(1) time. By Theorem 6.1, Tree(G) has height  $O(\log n)$ , and  $\mathcal{D}$ . Update will examine  $O(\log n)$  bags.
- (3)  $\mathcal{D}$ .Query. First, note that by Theorem 6.1, Tree(G) has height  $O(\log n)$ , and thus the sets X and Y can be implemented as bit-sets of size  $O(\log n)$ , which allows for O(1)-time insertion and querying, and  $O(\log n)$  time for computing the intersection.

Step 3. Preprocessing the library graph  $G[V^1]$ . Given the library subgraph  $G[V^1]$  and one copy of the data structure  $\mathcal{D}$  for each local graph  $G_i$  of  $G[V^1]$ , the preprocessing of the library graph is achieved via the algorithm Process, which is presented in Algorithm 5. In high level, Process initially builds the data structure  $\mathcal{D}$  for each local graph  $G_i$  using  $\mathcal{D}$ .Build. Afterwards, it iteratively uses  $\mathcal{D}$ .Query to test whether there exists a local graph  $G_j$  and two nodes  $u \in V_j \cap V_e$ ,  $v \in V_j \cap V_x$  such that v is reachable from v in v in v in the algorithm iterates over all nodes v is reachable from v in their respective local graph v in v in the process uses a v in v in the edge v in v in the edge v in v is new edge might affect the reachability relations among other nodes in v in the graph v is inserted in Pool for further processing. See Algorithm 5 for a formal description.

LEMMA 6.6. At the end of Process, for every graph  $G_i = (V_i, E_i)$  and pair of nodes  $u, v \in V_i$ , we have that v is reachable from u in  $G[V^1]$  iff D. Query returns True.

PROOF. Given two nodes x, y and a path  $P: x \rightsquigarrow y$  such that  $S \vdash \lambda(P)$ , we denote by SH(P) the *stack height* of P, defined as the largest number of consecutive opening parenthesis in  $\lambda(P)$ . The proof of the lemma then follows by induction on the stack height of the witness path  $P: u \rightsquigarrow v$ .

In the base case we have SH(P), and the correctness follows directly from the correctness of  $\mathcal{D}$ . Query, since  $\lambda(P) = \epsilon$  (i.e., P traverses only  $\epsilon$ -labeled edges).

Now assume that the claim holds for all witness paths with stack height r, and we show that it holds for witness paths of stack height r+1. Indeed, let  $P:u \leadsto v$  be a witness path of stack height SH(P)=r+1, and  $G_r=(V_r,E_r)$  the graph such that  $u,v\in V_r$ . Then P can be decomposed in the following way:

$$P = u \rightsquigarrow x_1 \rightarrow y_1 \rightsquigarrow w_1 \rightarrow z_1 \rightsquigarrow x_2 \rightarrow y_2 \rightsquigarrow w_2 \rightarrow z_2 \dots z_q \rightsquigarrow v$$

so that the following hold.

- (1) For each j there exists  $1 \le i \le k$  such that  $x_j \in V_c(\alpha_i), y_j \in V_e(\alpha_i), w_j \in V_x(\overline{\alpha}_i)$  and  $z_j \in V_r(\overline{\alpha}_i)$ .
- (2) Each path  $P_i: y_i \leadsto w_i$  has stack height  $SH(P_i) \le r$ .
- (3) The paths  $u \rightsquigarrow x_1$  and  $z_q \rightsquigarrow v$  have stack height 0.

By the induction hypothesis, for each path  $P_j$  above, the algorithm will reach a state where  $\mathcal{D}$ . Query returns True in Line 9, and hence will use  $\mathcal{D}$ . Update on  $(x_j, z_j)$  of the graph  $G_r$  in Line 13. When the last such update operation takes place, we have that v is reachable from v in the graph v0 augmented with the edges v1, v2, and by the correctness of the operations of v2 in Lemma 6.3, we have that v3. Query returns True on query v4, v5.

The desired result follows.

LEMMA 6.7. Let  $n = \sum_i n_i$ , and  $k_1$  be the number of labels appearing in  $E \subseteq V^1 \times V^1 \times \sum_k$  (i.e.,  $k_1$  is the number of call sites in  $G[V^1]$ ). Process requires  $O(n + k_1 \cdot \log n)$  time.

PROOF. First, using Theorem 6.1 we obtain that the algorithm spends  $\sum_i O(n_i) = O(n)$  time for constructing all tree decompositions in Line 2. Similarly, by Lemma 6.5 the algorithm spends  $\sum_i O(n_i) = O(n)$  time for building the data structure  $\mathcal{D}$  in Line 3.

We now turn our attention to the main loop in Line 6. We first bound the time taken for processing the graphs inserted in Pool at the beginning of the loop. Since G is b-bounded for b = O(1), for every graph  $G_j$  defined in Line 7 there will be O(b) = O(1) executions of  $\mathcal{D}$ .Query. Hence the total time taken for  $\mathcal{D}$ .Query operations for the graphs initially in Pool is  $\sum_{i=1}^{\ell} O(\log n_i) = O(n)$ . For the time spent in  $\mathcal{D}$ .Query and  $\mathcal{D}$ .Update due to the loop in Line 10, first note that every  $\mathcal{D}$ ./Query and  $\mathcal{D}$ .Update require  $O(\log n)$  time each. Since the graph G is b-bounded, for constant b, we have  $|V_c(\alpha_i)|, |V_r(\overline{\alpha}_i)| \leq b = O(1)$ , hence summing over all pairs of edges  $(x, u, \alpha_i), (v, y, \overline{\alpha}_i)$  in Line 10, we obtain

$$\sum_{i=1}^{k_1} |V_c(\alpha_i)| \cdot |V_r(\overline{\alpha}_i)| \cdot O(\log n) = O(k_1 \cdot b^2 \cdot \log n) = O(k_1 \cdot \log n)$$

Finally, we bound the time spent in the main loop of Line 6 due to graphs added in Pool in Line 14. Since G is b-bounded, the condition in Line 12 can hold true  $O(b^2)$  times for each graph. Hence, there will be O(n) graphs added in Pool due to Line 14, and the analysis is similar to the previous paragraph, yielding a  $O(k \cdot \log n)$  bound.

The desired result follows.

**Step 4. Library/Client analysis.** We are ready to describe the library summarization for Library/Client Dyck reachability. Let G = (V, E) be the program-valid graph representing library and client code, and  $V^1, V^2$  a partitioning of V to library and client nodes.

- (1) In the preprocessing phase, the algorithm Process is used to preprocess  $G[V^1]$ . Note that since G is a program valid graph, so is  $G[V^1]$ , hence Process can execute on  $G[V^1]$ . The summaries created are in form of  $\mathcal{D}$ . Update operations performed on edges (x, y).
- (2) In the querying phase, the set  $V^2$  is revealed, and thus the whole of G. Hence now Process processes G, without using  $\mathcal{D}$ . Build on the graphs  $G_i$  that correspond to library methods, as they have already been processed in step 1. Note that the graphs  $G_i$  that correspond to library methods are used for querying and updating.

It follows immediately from Lemma 6.6 that at the end of the second step, for every local graph  $G_i = (V_i, E_i)$  of the client graph, for every pair of nodes  $u, v \in V_i$ , v is Dyck-reachable from u in the program-valid graph G if and only if  $\mathcal{D}$ .Query returns True on input u, v.

Now we turn our attention to complexity. Let  $n_1 = |V^1|$  and  $n_2 = |V^2|$ . By Lemma 6.7, the time spent for the first step is,  $O(n_1 + k_1 \cdot \log n_1)$ , and the time spent for the second step is  $O(n_2 + k_1 \cdot \log n_1 + k_2 \cdot \log n_2)$ .

**Constant-time queries.** Recall that our task is to support O(1)-time queries about the Dyck reachability of pairs of nodes on the client subgraph  $G[V^2]$ . As Lemma 6.6 shows, after Process has finished, each such query costs  $O(\log n_2)$  time. We use existing results for reachability queries on constant-treewidth graphs [Chatterjee et al. 2016, Theorem 6] which allow us to reduce the query time to O(1), while spending  $O(n_2)$  time in total to process all the graphs.

Theorem 6.8. Consider a  $\Sigma_k$ -labeled program-valid graph G = (V, E) of constant program-valid treewidth, and the library and client subgraphs  $G^1 = (V^1, E^1)$  and  $G^2 = (V^2, E^2)$ . For  $i \in \{1, 2\}$  let  $n_i = |V^i|$  be the number of nodes, and  $k_i$  be the number of call sites in each graph  $G^i$ , with  $k_1 + k_2 = k$ . The algorithm DynamicDyck requires

- (1)  $O(n_1 + k_1 \cdot \log n_1)$  time and  $O(n_1)$  space in the preprocessing phase, and
- (2)  $O(n_2 + k_1 \cdot \log n_1 + k_2 \cdot \log n_2)$  time and  $O(n_1 + n_2)$  space in the query phase,

after which pair reachability queries are handled in O(1) time.

# 7 EXPERIMENTAL RESULTS

In this section we report on experimental results obtained for the problems of (i) alias analysis via points-to analysis on SPGs, and (ii) library/client data-dependence analysis.

#### 7.1 Alias analysis

**Implementation.** We have implemented our algorithm BidirectedReach in C++ and evaluated its performance in performing Dyck reachability on bidirected graphs. The algorithm is implemented as presented in Section 3, together with the preprocessing step that handles the  $\epsilon$ -labeled edges. Besides common coding practices we have performed no engineering optimizations. We have also implemented [Zhang et al. 2013, Algorithm 2], including the Fast-Doubly-Linked-List (FDLL), which was previously shown to be very efficient in practice.

**Experimental setup.** In our experimental setup we used the DaCapo-2006-10-MR2 suit [Blackburn 2006], which contains 11 real-world benchmarks. We used the tool reported in [Yan et al. 2011b] to extract the Symbolic Points-to Graphs (SPGs), which in turn uses Soot [Vallée-Rai et al. 1999] to process input Java programs. Our approach is similar to the one reported in [Xu et al. 2009; Yan et al. 2011b; Zhang et al. 2013]. The outputs of the two compared methods were verified to ensure validity of the results. No compiler optimizations were used. All experiments were run on a Windows-based laptop with an Intel Core i7-5500U 2.40 GHz CPU and 16 GB of memory, without any compiler optimizations.

**SPGs and points-to analysis.** For the sake of completeness, we outline the construction of SPGs and the reachability relation they define. A more detailed exposition can be found in [Xu et al. 2009; Yan et al. 2011b; Zhang et al. 2013]. An SPG is a graph, the node set of which consists of the following three subsets: (i) variable nodes  $\mathcal{V}$  that represent variables in the program, (ii) allocation nodes  $\mathcal{O}$  that represent objects constructed with the *new* expression, and (iii) symbolic nodes  $\mathcal{S}$  that represent abstract heap objects. Similarly, there are three types of edges, as follows, where Fields =  $\{f_i\}_{1 \le i \le k}$  denotes the set of all fields of composite data types.

- (1) Edges of the form  $\mathcal{V} \times \mathcal{O} \times \{\epsilon\}$  represent the objects that variables point to.
- (2) Edges of the form  $\mathcal{V} \times \mathcal{S} \times \{\epsilon\}$  represent the abstract heap objects that variables point to.
- (3) Edges of the form  $(O \cup S) \times (O \cup S) \times$  Fields represent the fields of objects that other objects point to.

We note that since we focus on context-insensitive points-to analysis, we have not included edges that model calling context in the definition of the SPG. Additionally, only the forward edges labeled with  $f_i$  are defined explicitly, and the backwards edges labeled with  $\overline{f}_i$  are implicit, since the SPG is treated as bidirected. Memory aliasing between two objects  $o_1, o_2 \in S \cup O$  occurs when there is a path  $o_1 \leadsto o_2$ , such that every opening field access  $f_i$  is properly matched by a closing field access  $\overline{f}_i$ . Hence the Dyck grammar is given by  $S \to S S \mid f_i \mid S \mid \overline{f}_i \mid \epsilon$ . This allows to infer the objects that variable nodes can point to via composite paths that go through many field assignments. See Figure 7 for a minimal example.

Fig. 7. A minimal program and its (bidirected) SPG. Circles and squares represent variable nodes and object nodes, respectively. Only forward edges are shown.

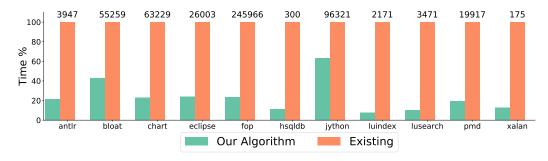
**Analysis of results.** The running times of the compared algorithms are shown in Figure 8 as well as Table 3. We can see that the algorithm proposed in this work is much faster than the existing algorithm of [Zhang et al. 2013] in all benchmarks. The highest speedup is achieved in benchmark *luindex*, where our algorithm is 13x times faster. We also see that all times are overall small.

# 7.2 Library/Client data dependence analysis.

**Implementation.** We have implemented our algorithm DynamicDyck in Java and evaluated its performance in performing Library/Client data-dependency analysis via Dyck reachability. Our algorithm is built on top of Wala [Wal 2003], and is implemented as presented in Section 6. Besides common coding practices we have performed no engineering optimizations. We used the LibTW

Benchmark	Fields	Nodes	Edges	Our Algorithm	<b>Existing Algorithm</b>
antlr	172	13708	23547	0.428783	1.34152
bloat	316	43671	103361	17.7888	34.6012
chart	711	53500	91869	8.99378	34.9101
eclipse	439	34594	52011	3.62835	12.7697
fop	1064	101507	178338	42.5447	148.034
hsqldb	43	3048	4134	0.012899	0.073863
jython	338	56336	167040	40.239	55.3311
luindex	167	9931	14671	0.068013	0.636346
lusearch	200	12837	21010	0.163561	1.12788
pmd	357	31648	58025	2.21662	8.92306
xalan	41	2342	2979	0.006626	0.045144

**Table 3.** Comparison between our algorithm and the existing from [Zhang et al. 2013]. The first three columns contain the number of fields (Dyck parenthesis), nodes and edges in the SPG of each benchmark. The last two columns contain the running times, in seconds.



**Fig. 8.** Running time of our algorithm vs [Zhang et al. 2013] for context-insensitive field-sensitive points-to analysis on SPGs of various benchmarks. The top row shows the total time (in ms) taken for the slowest method to perform the analysis. The total time is taken as the sum of the time spent in analyzing library and client code. The y-axis shows the percentage of time that each method took as compared to the slowest method.

library [van Dijk et al. 2006] for computing the tree decompositions of the input graphs, under the *greedy degree* heuristic.

**Experimental setup.** We have used the tool of [Tang et al. 2015] for obtaining the data-dependence graphs of Java programs. In turn, that tool uses Wala [Wal 2003] to build the graphs, and specifies the parts of the graph that correspond to library and client code. Java programs are suitable for Library/Code analysis, since the ubiquitous presence of callback functions makes the library and client code interdependent, so that the two sides cannot be analyzed in isolation. Our algorithm was compared with the TAL reachability and CFL reachability approach, as already implemented in [Tang et al. 2015]. The comparison was performed in terms of running time and memory usage, first for the analysis of library code to produce the summaries, and then for the analysis of the library summaries with the client code. The outputs of all three methods were compared to ensure validity of the results. The measurements for our algorithm include the time and memory used for

computing the tree decompositions. All experiments were run on a Windows-based laptop with an Intel Core i7-5500U 2.40 GHz CPU and 16 GB of memory, without any compiler optimizations.

**Benchmarks.** Our benchmark suit is similar to that of [Tang et al. 2015], consisting of 12 Java programs from SPECjvm2008 [SPE 2008], together with 4 randomly chosen programs from GitHub [Git 2008]. We note that as reported in [Tang et al. 2015], they are unable to handle the benchmark serial from SPECjvm2008, due to out-of-memory issues when preprocessing the library (recall that the space bound for TAL reachability is  $O(n^4)$ ). In contrast, our algorithm handles serial easily, and is thus included in the experiments.

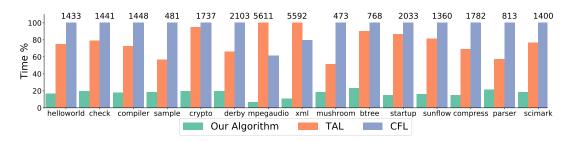
**Analysis of results.** Our experimental comparison is depicted in Figure 9, as well as Table 4 for running time and Table 5 for memory usage We briefly discuss our findings.

Treewidth. First, we comment on the treewidth of the obtained data-dependence graphs, which is reported on Table 4 and Table 5. Recall that our interest is not on the treewidth of the whole data-dependence graph, but on the treewidth of its program-valid partitioning, which yields a subgraph for each method of the input program. In each line of the tables we report the *maximum* treewidth of each benchmark, i.e. the maximum treewidth over the subgraphs of its program-valid partitioning. We see that the treewidth is typically very small (i.e., in most cases it is 5 or 6) in both library and client code. One exception is the client of mpegaudio, which has large treewidth. Observe that even this corner case of large treewidth was easily handled by our algorithm.

Time. Figure 9 compares the total running times for analyzing library and client code. Table 4 shows the time spent by each algorithm for analyzing library code and client code separately. We first focus on total time, taken to be the sum of the times spent by each algorithm in the library and client graph of each benchmark. We see that in every benchmark, our algorithm significantly outperforms both TAL and CFL reachability, reaching a 10x-speedup compared to TAL (in *mpegaudio*), and 5x-speedup compared to CFL reachability (in *helloworld*). Note that the benchmark *serial* is missing from the figure, as TAL reachability runs out of memory. The benchmark can be found on Table 4, where our algorithm achieves a 630x-speedup compared to CFL reachability.

We now turn our attention to the trade-off between library preprocessing and client querying times. Here, the advantage of TAL over CFL reachability is present for handling client code. However, even for client code our algorithm is faster than TAL in all cases except one, and reaches even a 30x-speedup over TAL (in *sunflow*). Finally, observe that in all cases, the total running time of our algorithm on library and client code combined is much smaller than each of the other methods on library code alone.

Memory. Table 5 compares the total memory used for analyzing library and client code. We see that our algorithm significantly outperforms both TAL and CFL reachability in all benchmarks. Again, TAL uses more memory that CFL in the preprocessing of libraries, but less memory when analyzing client code. However, our algorithm uses even less memory than TAL in all benchmarks. The best performance gain is achieved in *serial*, where TAL runs out of memory after having consumed more than 12 GB. For the same benchmark, CFL reachability uses more than 4.3 GB. In contrast, our algorithm uses only 130 MB, thus achieving a 33x-improvement over CFL, and at least a 90x-improvement over TAL. We stress that for memory usage, these are tremendous gains. Finally, observe that for each benchmark, the maximum memory used by our algorithm for analyzing library and client code is smaller than the minimum memory used between library and client, by each of the other two methods.



**Fig. 9.** Time comparison of our algorithm with TAL and CFL for performing data dependence analysis via CFL reachability. The top row shows the total time (in ms) taken for the slowest method to perform the analysis. The total time is taken as the sum of the time spent in analyzing library and client code. The y-axis shows the percentage of time that each method took as compared to the slowest method. The benchmark *serial* is missing, as TAL mems-out.

	No	des	TV	$\mathcal{N}$	Our	Algorithm	TA	AL	CFL	
Benchmark	Lib.	Cl.	Lib.	Cl.	Lib.	Cl.	Lib.	Cl.	Li.	Cl.
helloworld	16003	296	5	3	229	5	1044	31	855	578
check	16604	3347	5	4	228	54	1062	72	821	620
compiler	16190	536	5	3	248	11	995	57	876	572
sample	3941	28	4	1	86	1	258	14	368	113
crypto	20094	3216	5	5	273	66	1451	196	961	776
derby	23407	1106	6	3	389	22	1301	83	1003	1100
mpegaudio	28917	27576	5	24	204	177	5358	253	1864	1586
xml	71474	2312	5	3	489	115	5492	100	1891	2570
mushroom	3858	7	4	1	86	1	230	14	349	124
btree	6710	1103	4	4	144	34	583	111	571	197
startup	19312	621	5	3	279	17	1651	110	1087	946
sunflow	15615	85	5	2	217	1	1073	31	811	549
compress	16157	1483	5	3	240	23	1119	112	783	999
parser	7856	112	4	1	172	3	443	21	572	241
scimark	16270	2027	5	5	220	34	1004	70	805	595
serial	69999	468	8	3	440	9	MEM-OUT	MEM-OUT	117147	165958

**Table 4.** Running time of our algorithm vs the TAL and CFL approach for data-dependence analysis with library summarization. Times are in milliseconds. MEM-OUT indicates that the algorithm run out of memory. The number of nodes and treewidth reflects the average and maximum case, respectively, among all methods in each benchmark.

**Improvement independent of callbacks.** We note that in contrast to TAL reachability, the improvements of our algorithm are not restricted to the presence of callbacks. Indeed, the algorithms introduced here significantly outperform the CFL approach even in the presence of no callbacks. This is evident from Table 4, which shows that our algorithm processes the library graphs much faster than both CFL and TAL reachability.

	No	des	TV	V	Our Algorithm		TA	CFL		
Benchmark	Lib.	Cl.	Lib.	Cl.	Lib.	Cl.	Lib.	Cl.	Li.	Cl.
helloworld	16003	296	5	3	31	27	321	44	104	126
check	16604	3347	5	4	34	31	336	89	132	184
compiler	16190	536	5	3	31	28	329	44	108	137
sample	3941	28	4	1	19	16	232	59	59	64
crypto	20094	3216	5	5	45	45	261	61	127	188
derby	23407	1106	6	3	46	41	600	88	204	265
mpegaudio	28917	27576	5	24	96	96	516	219	262	397
xml	71474	2312	5	3	108	108	463	153	373	480
mushroom	3858	7	4	1	19	16	230	59	58	58
btree	6710	1103	4	4	22	19	308	65	72	89
startup	19312	621	5	3	66	66	345	92	178	230
sunflow	15615	85	5	2	30	27	315	43	102	124
compress	16157	1483	5	3	32	29	338	50	105	131
parser	7856	112	4	1	22	19	320	64	73	83
scimark	16270	2027	5	5	32	29	134	49	106	140
serial	69999	468	8	3	130	130	MEM-OUT	MEM-OUT	3964	4314

**Table 5.** Memory usage of our algorithm vs the TAL and CFL approach for data-dependence analysis with library summarization. Memory usage is in Megabytes. MEM-OUT indicates that the algorithm run out of memory. The number of nodes and treewidth reflects the average and maximum case, respectively, among all methods in each benchmark.

#### 8 CONCLUSION

In this work we consider Dyck reachability problems for alias and data-dependence analysis. For alias analysis, bidirected graphs are natural, for which we present improved upper bounds, and present matching lower bounds to show our algorithm is optimal. For data-dependence analysis, we exploit constant treewidth property to present almost-linear time algorithm. We also show that for general graphs Dyck reachability bounds cannot be improved without achieving a major breakthrough. Interesting directions of future work would be to consider other optimizations along with our algorithms to develop more scalable tools for program analysis.

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